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3 **Pre-definitive plumage in Golden Eagle *Aquila chrysaetos* – an**  
4 **aggression or submission signal?**

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31 In most species of birds, immature plumages are more cryptic than later plumages (Bostwick  
32 2016). Possible explanations for this include as a means of avoiding predation, and as causing  
33 more efficient thermoregulation (Kilner 2006). Alternatively, the submission hypothesis  
34 posits that immature plumage can signal lower competitive ability to avoid heightened  
35 aggression from adults (Lyon and Montgomerie 1986, Muehter et al. 1997, Vander Werf and  
36 Freed 2003).

37 Young Golden Eagles (*Aquila chrysaetos*) (i.e. 1<sup>st</sup> winter to 4<sup>th</sup> winter) have conspicuous  
38 white coloration on the basal half of the tail and white patches on the base of the inner  
39 primaries and secondaries (i.e. pre-definitive plumage; Bloom and Clark 2001, Liguori 2004,  
40 Clark and Pyle 2015). These areas of white are lacking in adults, making it easy to separate  
41 young and adult eagles. It is also possible to separate juveniles (i.e. 1<sup>st</sup> winter birds) from  
42 immatures (i.e. 2<sup>nd</sup> winter to 4<sup>th</sup> winter birds), even though the general coloration and patching  
43 between these age classes are more subtle. Normally, Golden Eagles develop adult plumage  
44 after 5-7 years (Forsman 2016). Young Golden Eagles look very different from other young  
45 *Aquila* eagles and Golden Eagles are the only member of the genus where young birds have a  
46 strikingly more conspicuous plumage than adults. Young Steppe Eagles (*A. nipalensis*) also  
47 have patches of white on the wings that are lacking in adults, but young and adult birds are  
48 not as strikingly different as in Golden Eagles (Table 1).

49 According to Negro and Galván (2018), bright feathers worn by adult birds may ease  
50 conspecific detection of a displaying individual at a long distance. Accordingly, immature  
51 birds should not exhibit bright feathers since they have no territory to defend. Negro and  
52 Galván (2018) mention the Golden Eagle as an exception, and state that “without careful

53 behavioural observations and comparative studies, the function of these age-related plumage  
54 differences will remain a mystery.”

55 Bold dark and white immature plumage has been explained as a signal that allays aggression  
56 from territorial adults (Ellis and Lish 2006, Watson 2010, Ellis and Schmitt 2017).

57 Observations made by these authors and many others strongly suggest that intruding  
58 immature birds are tolerated more than interloping adults, supporting the submission  
59 hypothesis.

60 An objection to the submission hypothesis used to explain the contrasting age-related  
61 plumages in the Golden Eagle is that cryptic immature plumage, as in most other *Aquila*  
62 species (Table 1), would be enough to signal their lower competitive ability to adult birds. We  
63 therefore propose an additional selection pressure for the evolution of distinct immature  
64 plumage in Golden Eagles, termed the aggression hypothesis.

65 Inexperienced young Golden Eagles may have difficulties hunting live prey during harsh  
66 winter conditions in the Northern Hemisphere. Hence, access to ungulate carcasses may be  
67 their only chance of surviving. Immature Golden Eagles have longer secondary remiges, and  
68 especially for females, also lower wing loading than adults (Lish et al. 2016). This makes  
69 soaring flight more efficient at the expense of the manoeuvrability necessary to capture agile  
70 live prey such as the grouse, hares, and marmots which dominate the adult diet in most  
71 regions (Watson 2000). It appears probable that this is an adaptation for more favourable  
72 energetics while searching for carcasses. We propose that the contrasting dark and white  
73 immature plumage in this species has evolved primarily as an aggressive rather than a  
74 submissive signal, used to obtain access to the carcasses that they depend on. While on  
75 carcasses, young eagles often spread their wings and tail (i.e. mantling) or raise their wings to

76 expose the white tail and wing patches (Fig. 1). Display of this conspicuous plumage may  
77 function to advertise that they are willing to fight for a carcass. This benefits them by saving  
78 them from attacks from adult birds, preventing unnecessary energy loss and possible injury by  
79 avoiding physical confrontations. Older and more experienced birds, less dependent on  
80 carcasses, are benefited by recognising this and either waiting for access to the carcass after  
81 the immature has fed, or opting to hunt live prey. They also benefit from a clear signal,  
82 through avoiding energy demanding and potentially dangerous fights. It was reported in the  
83 18<sup>th</sup> century that young Golden Eagles dominated other eagles at carcasses (Strøm 1762).  
84 These eagles were named “skjorvinge” in Norwegian (English; “magpie wing”) because of  
85 the black and white wing pattern. These were thought to be a different species than the adults  
86 (Strøm 1762). Here we set out to examine the aggression hypothesis using observations and  
87 data collected previously.

88 Halley and Gjershaug (1998) found that younger Golden Eagles tend to dominate older  
89 conspecifics at carcasses during the winter (15 of 21 conflicts, One-sample Binomial Test,  $n =$   
90 21,  $P = 0.08$ ). We reanalysed all observed conflicts from that study, including those between  
91 the same birds and therefore not statistically independent.

92 Juveniles (1<sup>st</sup> winter) attacked immatures (2<sup>nd</sup> winter to 4<sup>th</sup> winter) in 17 cases, while  
93 immatures attacked juveniles in 14 cases, which is not significantly different statistically  
94 (One-sample Binomial Test,  $n = 31$ ,  $P = 0.72$ ). Comparing juveniles and immatures, and  
95 focusing on defenders, juveniles won in four out of 6 cases (One-sample Binomial Test,  $n = 6$ ,  
96  $P = 0.69$ ). Comparing juveniles and immatures, and focusing on attackers, juveniles won in  
97 15 out of 25 cases (One-sample Binomial Test,  $n = 25$ ,  $P = 0.42$ ). These results indicate that  
98 there were no differences in the initiation and outcome of aggression events between juveniles  
99 and immatures. The plumage of both age categories (juveniles and immatures) was very

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100 similar and presumably had the same function. Therefore, we merged these two groups in  
101 comparisons of interactions with adults.

102 Adult Golden Eagles were observed at carcasses 35 times. In seven of these cases they were  
103 observed together with a young bird, resulting in conflicts. In two of these, adults were  
104 classified as the attackers, while in the remaining five cases the adults were classified as the  
105 defenders. Adults lost the conflict in all 7 cases. This suggests that juveniles/immatures have  
106 the upper hand in such conflicts.

107 Out of 82 observations of young Golden Eagles at carcasses, conflicts were observed between  
108 different young eagles 48 times, while the remaining 34 cases did not result in any conflict.

109 Adults were involved in antagonistic interactions with younger birds (7 out of 35) less often  
110 than young birds with other young birds (48 out of 82,  $\chi^2 = 10.89$ ,  $P < 0.001$ ).

111 Relative hunger of each bird involved in the conflict may influence the results above.

112 Individuals that have been at the carcass for a long time ( $> 20$  min) may lose some of the  
113 motivation to defend it as they become satiated. We reanalysed our data to include conflicts  
114 where the attacked individual had been present at the carcass: 1) for  $< 20$  min; and, 2) for  $> 20$   
115 min. Combining all age classes together, defending individuals won in 13 out of 52 cases. Of  
116 these 13 cases, we found no difference based on the time the defender was at the carcass prior  
117 to the attack (defender at carcass  $< 20$  min,  $n = 7$ ; defender at carcass  $> 20$  min,  $n = 6$ ; One-  
118 sample Binomial Test,  $n = 13$ ,  $P = 1.00$ ). In the remaining 39 cases, the attacker won. Again,  
119 we found no difference based on the time the defender was at the carcass prior to the attack  
120 (defender at carcass  $< 20$  min,  $n = 25$ ; defender at carcass  $> 20$  min,  $n = 14$ ; One-sample  
121 Binomial Test,  $n = 39$ ,  $P = 0.11$ ). Thus, it appears that hunger of the defending individual (as  
122 measured by time at carcass before attack) did not seem to influence the outcome of the

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123 interaction. However, while we do not know the details of relative hunger between the  
124 attacking and defending bird, these results show that attackers won more often ( $n = 39$ ) than  
125 defenders ( $n = 13$ ) (One-sample Binomial Test,  $n = 52$ ,  $P < 0.001$ ). This result may indicate  
126 that attackers are relatively more hungry than the defenders, but we cannot rule out the  
127 possibility that the high momentum of attackers when approaching the carcass may scare off  
128 defending individuals.

129 Relative size of each bird involved in the conflict may influence the results too. We have very  
130 few observations of conflicts where both birds were sexed. In conflicts between juvenile and  
131 immature birds where sex was known, the smaller males won 7 out of 16 conflicts (One-  
132 sample Binomial Test,  $n = 16$ ,  $P = 0.80$ ). In 6 out of 7 conflicts where males won, the male  
133 was the attacker. Females won 9 out of 16 conflicts. In 5 out of 9 conflicts where females  
134 won, the female was the attacker. Hence, the outcome of conflicts seemed to more dependent  
135 on the status (attacker/defender) rather than the size of the bird.

136 Despite their smaller size, Golden Eagles dominated White-tailed Eagles (*Haliaeetus*  
137 *albicilla*) in direct competition for access to carcasses (44 of 50 conflicts won by Golden  
138 Eagles (all ages),  $P < 0.001$ ; Halley and Gjershaug 1998). No White-tailed Eagle were  
139 observed to successfully resist an attacking Golden Eagle. On one occasion, a juvenile male  
140 Golden Eagle displaced seven White-tailed Eagles from on or near a carcass or the immediate  
141 vicinity (Halley and Gjershaug 1998). Fourteen conflicts were recorded between adult Golden  
142 Eagles and White-tailed Eagles, of which 11 were won by the Golden Eagle (One-sample  
143 Binomial Test,  $n = 14$ ,  $P = 0.06$ ). Golden Eagles won 9 out of 9 conflicts where they attacked  
144 White-tailed Eagles, while they won two out of 5 conflicts where they were attacked by  
145 White-tailed Eagles.

146 In addition to our observations, the leader of the Norwegian White-tailed Eagle project (A. O.  
147 Folkestad) studied Golden Eagles and White-tailed Eagles on carcasses each winter from  
148 1979–1994. One winter he observed a minimum of 20 different juvenile Golden Eagles on  
149 and near carcasses simultaneously. While he did not record quantitative data, Folkestad  
150 reports that juvenile Golden Eagles dominated older birds in most conflicts for access to  
151 carcasses (A. O. Folkestad pers. comm.).

152 In obligate avian scavengers, such as the new world vultures (Wallace and Temple 1987;  
153 Donazar et al. 1999) and old world vultures (Mundy et al. 1992, Bosè and Sarrazin 2007),  
154 adult birds normally win interactions with juveniles. Most eagles in the *Aquila* genus are  
155 known to feed on carcasses (Table 1), but apart from the Golden Eagle, the Wedge-tailed  
156 Eagle (*A. audax*) and the Steppe Eagle seem to be the only *Aquila* eagles where the juveniles  
157 are apparently dependent on carcasses. The Wedge-tailed Eagle is social with typically up to  
158 12 (exceptionally 40) young birds at large carcasses (Debus and Kirwan 2018). The Steppe  
159 Eagle is also gregarious on migration and during winter, where  $\geq 20$  can be found in a small  
160 area (Brown and Amadon 1968), and  $> 300$  have been counted at a landfill in southern Oman  
161 (Knobel 2012). Both these species, unlike Golden Eagles, apparently tolerate conspecifics at  
162 carcasses.

163 Dominance over a spatially concentrated and limited food source by immatures, coupled with  
164 distinct plumage patterns and high level of aggression, has also been reported in honeyguides  
165 (Indicatoridae). In several species of honeyguides, specialized in feeding on beeswax,  
166 immatures are especially aggressive and dominate adults at the food source. Immature Greater  
167 Honeyguides (*Indicator indicator*) have a highly distinctive plumage and are absolutely  
168 dominant at the beeswax source (Short and Horne 2002).

169 The juvenile Golden Eagle is the only *Aquila* species that is both dependent on carcasses and  
170 that normally does not tolerate other large raptors at the carcasses. At the same time, the  
171 juvenile Golden Eagle is also the *Aquila* species with the most conspicuous plumage  
172 compared to adult plumage. The contrasting dark and white plumage in juvenile and  
173 immature Golden Eagles may therefore be a product of natural selection where young eagles  
174 that are best able to advertise their high motivation to gain or retain a carcass receive a  
175 competitive advantage. However, this plumage may also function as a signal that allays  
176 aggression from territorial adults outside a carcass situation; the two hypotheses are not  
177 mutually exclusive. In other *Aquila* species, the juvenile plumage has probably primarily  
178 evolved to allay territorial aggression from the adults; but without the function as a warning  
179 signal, the juvenile plumage in these species, while different, is not more conspicuous than  
180 that of adults.

181

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278 Table 1. Juvenile and immature plumage, use of carcasses, and aggression in *Aquila* eagles.

279

Species	Are young birds more cryptic than adults?	Do young birds depend on carcasses?	Do young birds tolerate conspecifics at carcasses?	Do young birds tolerate other eagle species at carcasses?	Source	Comments
<i>Aquila chrysaetos</i>	no	yes	no	no	Orta et al. 2018a	
<i>Aquila nipalensis</i>	no	yes	yes	?	Brown and Amadon 1968, Knobel 2012, Meyburg et al. 2018b	Immatures often feed at carcasses during migration and in winter
<i>Aquila audax</i>	yes	yes	yes	?	Debus and Kirwan 2018	Carcasses mainly important during non-breeding season. Gathers (mainly immatures, typically up to 12, exceptionally 40) at carcasses of large animals, where dominates smaller scavengers
<i>Aquila verreauxi</i>	yes	no	?	?	Kemp and Kirwan 2018a	Rarely feeds on carcasses
<i>Aquila adalberti</i>	yes	no	?	?	Meyburg et al. 2018a	
<i>Aquila rapax</i>	yes	?	?	?	Kemp and Kirwan 2018b	May feed on carcasses
<i>Aquila gurneyi</i>	yes	no	?	?	Debus et al. 2018	Feeding habits poorly known

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<i>Aquila heliaca</i>	yes	?	?	?	Meyburg and Kirwan 2018	Often feed on carcasses during winter
<i>Aquila africana</i>	yes	no	?	?	Kemp and Kirwan 2018c	Feeding habits poorly known
<i>Aquila fasciata</i>	yes	no	?	?	Orta et al. 2018b	
<i>Aquila spilogaster</i>	yes	no	?	?	Kemp et al. 2018	May feed on carcasses

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281 Figure legends

282

283 Figure 1. A juvenile Golden Eagle mantling over a carcass, while the adult Golden Eagle is  
284 waiting. Photo by Livar Ramvik.





287 **Figure 1**