

1 **Lower levels of human disturbance correspond with longer-term persistence of Endangered**  
2 **Green Peafowl populations**

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12 **Summary**

13 Galliformes are one of the most threatened groups of birds in Southeast Asia, with 27% of the  
14 species threatened with extinction. Long term population viability and extinction probability  
15 studies, at different levels of threat and management, are lacking due to weak life history data. This  
16 study aimed to define the long-term viability and extinction risk of two populations of the  
17 endangered Green Peafowl, facing different threat and protection levels, using Bayesian Population  
18 Viability Analysis (BPVA), which requires less data than traditional methods. The results showed  
19 an increasing trend in the Green Peafowl population in HuaiKhaKhaeng Wildlife Sanctuary  
20 (western Thailand), with a high protection level and low disturbance, high probability of assuring  
21 long-term persistence for the next 100 years; while the population in YokDon National Park  
22 (southcentral Vietnam), with a high habitat disturbance level and hunting pressure, is predicted to  
23 decline and has a high probability with 99% of extinction by 2097. Also, the BPVA showed  
24 minimum viable population (MVP) sizes of 250 and 450 calling males are required for the  
25 HuaiKhaKhaeng and YokDon populations respectively, both assuring high probability of long-term

26 persistence if the minimum numbers of males are available. However, the population size of 219  
27 calling males at YokDon during the 2013 survey is lower than the MVP threshold of 450 calling  
28 males, which suggests the species has a low probability of long-term persistence in the area. Despite  
29 the limited life history data, BPVA predicted future population trend under site-specific conditions,  
30 and the information could be useful for proper management and population restoration.

31

32 Keywords: Galliformes, Minimum Viable Population, Bayesian Population Viability Analysis,  
33 HuaiKhaKhaeng Wildlife Sanctuary, YokDon National Park

34

### 35 **Introduction**

36 Vertebrate diversity has drastically declined over the past decades in tropical regions (Hoffmann et  
37 al., 2010). Southeast Asia in particular has a high proportion of species at risk of extinction within  
38 the next 50 years (Sodhi et al. 2004, Laurance 2006). This is due primarily to the degradation of  
39 natural habitat (Sodhi et al. 2010) and overhunting (Harrison et al. 2016). Birds particularly at risk  
40 with about 10% of the 2,696 species are designated as threatened with extinction, including about  
41 27% of the 76 Galliformes species (IUCN 2016). However, there are no empirical data on the  
42 extinction probability of the Galliformes species in Southeast Asia (Grainger et al. 2018).

43 To support conservation and management decisions, it is imperative to determine,  
44 quantitatively, the extinction probability of threatened species in the region. In the past, this has  
45 been achieved using Population Viability Analysis (PVA), which predicts long-term population  
46 survival, extinction risk and associated threats within a specific environment (Boyce 1992, William  
47 et al. 2002). PVA analyzes how population growth parameters, such as initial population size,  
48 population growth rate, survival rate, productivity and predation rate are affected by environmental  
49 factors, such as habitat quality, disturbance level and protection level (Ruggiero et al. 1994).

50 Understanding the variables affecting the survival trend of a population is crucial to defining the

51 actions needed for the species long term management (Brook et al. 2000). Besides providing  
52 important details on the probability of extinction, PVA can be used to determine the Minimum  
53 Viable Population (MVP), defined as the minimum number of individuals of a species inhabiting a  
54 given area needed to guarantee survival of the population over a specific time frame (Shaffer 1981);  
55 higher extinction rate is typically observed in small populations in comparison with large ones  
56 (Schoener and Spiller 1987). In addition, MVP can be used to estimate the minimum area required  
57 for any given carrying capacity.

58         Although PVAs have potential to provide critical information for conservation planning,  
59 some studies have questioned the reliability of PVA due to large uncertainty in model outcomes  
60 (Flather et al. 2011). Viability is generally predicted using population models such as those  
61 incorporated in the Vortex software (Lindenmayer et al. 1995), that requires multiple parameters  
62 (e.g. productivity data, population growth rate and survival rate), however some parameters are not  
63 often available, as they require long-term studies (Boyce 1992). Gilpin and Soule (1986) suggested  
64 that a study of 12 generations, at least, is required for a robust extinction risk prediction.  
65 Unfortunately, most ecological studies are carried out over a three-year period (Tilman 1989,  
66 Baskin 1997), providing data that often underestimate the extinction risk (Reed et al. 2003).  
67 Generally, the life history of a species is dependent on environmental factors and management  
68 strategies (Flather et al. 2011) and therefore, each population within an area ought to be separately  
69 considered while performing a PVA analysis. Lack of relevant data has been reported as the main  
70 hindrance to viability analysis for Galliformes (Fuller and Garson 2000) and to date, only Bro et al.  
71 (2000) and Zhang and Zheng (2007) have conducted PVA studies for Galliformes in Southeast  
72 Asia. However, by running PVA in a Bayesian framework, we can develop predictive models using  
73 information on observed population abundance, for a period of at least two years, and simulate  
74 population information for a given period by random sampling within the range of the observed  
75 population abundance data from two observed periods. This approach allows the estimation of a

76 credible range of future population abundance and predicts the probability of a future trend  
77 employing only monitoring abundance data.

78         The Endangered Green Peafowl (*Pavo muticus*) is among the most threatened species in  
79 Southeast Asia due to high hunting pressure and habitat destruction (McGowan et al. 1999). The  
80 original species distribution covered the whole dry-forest areas (e.g. deciduous, dry dipterocarp,  
81 pine forest) in northeast India, Bangladesh, Yunnan (southwest China), Myanmar, Thailand, Laos,  
82 Vietnam, Cambodia, peninsular Malaysia and Java, Indonesia (McGowan et al. 1999). However,  
83 recent modeling shows a severe decline in range currently scattered into small patches highlighted  
84 in six population strongholds, including southern Myanmar; northern, northwestern and western  
85 Thailand; and northern and eastern Cambodia (Sukumal et al. in press). Recently several population  
86 estimates have been made for some of the strongholds (Sukumal et al. 2015, Nuttall et al. 2016,  
87 Loveridge et al. 2017, Sukumal et al. 2017). The loud mating call by males makes detection of  
88 Green Peafowl relatively easy compared to other Galliformes species in the region (Suwanrat et al.  
89 2015), making them a good species to investigate the predictive response of ground dwelling birds,  
90 especially in dry forest habitat, to different levels of threat and management strategies.

91         Therefore, the aims of this work were to estimate the long term viability and extinction risk  
92 of Green peafowl using only information on the observed population abundance in two years  
93 separated by a long interval. We use a Bayesian model to provide estimates of uncertainty as the  
94 data we have are limited (the estimates of uncertainty would be narrower in a frequentist model). In  
95 addition, we do not have the requisite ecological data (vital rates such as survival, fecundity, and net  
96 immigration) to build a more complex model or use stand-alone PVA software (e.g. Vortex). We  
97 use two populations of Green Peafowl facing different levels of threat as a case study. Specifically,  
98 we 1) estimated the population growth rate at two sites, showing different protection level, where  
99 two surveys with count data were conducted at 23 year intervals at a first site and 15 year intervals  
100 at a second site (Sukumal et al. 2015, Sukumal et al. 2018) and we projected the results into the

101 future to see for how long the populations will persist in protected areas facing different threats and  
102 2) defined the minimum viable population (MVP) size of Green Peafowl required for a long-term  
103 persistence of the species in a given area.

104

## 105 **Study area**

106 This study was focused on two sites with different levels of protection and disturbance to the Green  
107 Peafowl population. The first site was in HuaiKhaKhaeng Wildlife Sanctuary (HKK), western  
108 Thailand (15° 36' N 99° 19' E), with increased patrolling and reduced hunting pressure that led to an  
109 increase in the population of Green Peafowl between 1992 and 2015 (Sukumal et al. 2017). The  
110 HKK is connected to ThungYaiNaresuan Wildlife Sanctuary and this Western Forest Complex is  
111 designated as a UNESCO World Heritage Site. It covers a total area of 2,780 km<sup>2</sup> with an  
112 altitudinal range of 200 to 1,600 m, an annual temperature range of 8 to 38° C and a mean annual  
113 rainfall of 1,375 mm (Simcharoen et al. 2014). The dry season in HKK occurs between November  
114 and April, with a mean rainfall of 298 mm; and the wet season occurs between May and October,  
115 with a mean rainfall of 1,088 mm. The sanctuary consists of mixed deciduous forest (48%), dry  
116 evergreen forest (25%), hill evergreen forest (13%) and dry dipterocarp forest (7%) (Simcharoen et  
117 al. 2014). The information on population estimation was derived from two independent surveys  
118 during a 23-year period, comprising a historical survey between 1989 and 1992 (Simcharoen et al.  
119 1995, McGowan et al. 1999) and a follow-up survey in 2015 (Sukumal et al. 2017).

120 The second site was in YokDon National Park (YDN), southcentral Vietnam (12° 47' - 13°  
121 00' N, 107° 29' - 107° 50' E), with a high level of habitat disturbance and hunting pressure that led to a  
122 drastic decline in the Green Peafowl population between 1998 and 2013 (Sukumal et al. 2015).  
123 The YDN is located in DakLak Province, which borders Cambodia and has a total area of 1,155  
124 km<sup>2</sup>, mainly dominated by dry deciduous forest (75% of total area). The area is relatively flat with  
125 an elevation of about 200m and high spots of up to 474m (Sterling et al. 2006). This area comprises

126 the majority of suitable habitat for Vietnam's remaining Green Peafowl population (Brickle 2002).  
127 However, a recent survey in 2013 reported a much reduced Green Peafowl population in YDN  
128 (Sukumal et al. 2015), compared to the population number in 1998 (Brickle et al. 1998).

129

## 130 **Methods**

### 131 *Population monitoring data*

132 The estimation of the Green Peafowl population number in HKK was based on two historical  
133 datasets on recorded calling males, comprising 256 (Simcharoen et al. 1995) and 225-300 calling  
134 males (McGowan et al. 1999); and the dataset from a follow-up survey, comprising 434 calling  
135 males with a range of 373 to 512 (95% Confidence Interval estimated using DISTANCE) (Sukumal  
136 et al. 2017). These datasets were used to model the population abundance over the last hundred  
137 years. For YDN, a historical dataset comprising an estimated 475 calling males, ranging from 391  
138 to 559 (Brickle et al. 1998) and an estimated 219 calling males, ranging between 101 and 479  
139 calling males, from a recent survey (Sukumal et al. 2015) were used as input for the model.

140

### 141 *BPVA framework*

142 The analysis was conducted using a Bayesian state-space model. We analyzed the population  
143 datasets for HKK with a high level of protection and low level of disturbance (Sukumal et al. 2017),  
144 and YDN with an observed high disturbance level (Sukumal et al. 2015) to illustrate the potential  
145 differences in extinction probability. We chose a state-space approach to account for both sampling  
146 and demographic uncertainty. Ideally, one would incorporate monitoring data over a longer time  
147 period, but such data is unavailable for Green Peafowl. Hence, for the simulation procedure, a  
148 constant rate of growth was assumed throughout the projected time series. The initial population  
149 size was inputted in range from 0 to the initial population estimate. We used estimated historical  
150 population number at each site as a maximum initial population size in the analysis. The population

151 estimates from the historical and recent surveys were used to calculate annual population  
152 abundances in HKK, from 1992 to 2015, and in YDN, between 1998 and 2013. These annual  
153 population abundances were used to estimate average population growth rates and the average  
154 population growth rate values were then used to estimate the future population abundance trend  
155 over the next 100 years (1992-2092 for HKK, and 1998-2098 for YDN). We ran BPVA under the  
156 assumption of exponential growth rate when no constraints were applied because the estimation of  
157 carrying capacity (k) is highly uncertain, especially for a species that has been hunted  
158 unsustainably, resulting in a sparse population distribution across the entire range.

159

#### 160 *Data analysis*

161 Posterior distribution of parameters was conducted using Markov chain Monte Carlo (MCMC)  
162 simulations in the program JAGS, implemented using the R (R Development Core Team 2014)  
163 package “R2jags” (Su and Masanao 2015). The analysis was run using three independent chains for  
164 50,000 iterations after a burn-in of 10,000 iterations.

165 MVP was determined following BPVA approach (Saunders et al. 2018) whereby each  
166 population number was inputted in a loop procedure as the mean of recent population sizes. Next,  
167 the lowest population number that showed a stable or increase future population trend after 50 years  
168 was used to simulate the population abundance.

169

## 170 **Results**

### 171 *Population viability at different disturbance levels*

172 In HKK (western Thailand), with a high level of protection and low disturbance, the Green Peafowl  
173 population size was stable over the study period, as evidenced by the BPVA estimated population  
174 abundance of 209 (credible interval or CI predicted by model = 26-255) and 261 (CI = -49 – 411)  
175 calling males in 1992 and 2015 respectively, with an overlapping CI. If the current protection and

176 disturbance levels in the area remains, the population size will increase to 1,516 calling males (CI =  
177 896-2,081) by 2091 (Figure 1).

178 In contrast, the population size in YDN (southcentral Vietnam), with a high level of habitat  
179 disturbance and hunting pressure, drastically declined between 1998 and 2013. The estimated  
180 population abundance, along with the corresponding CI in 1998 and 2013 were 458 (CI = 410-474)  
181 and 111 (CI = 33-165) calling males, respectively. If the status quo remains going forward, without  
182 any conservation or management improvement, the species has a 63% chance of going extinct,  
183 based on our model, as evidenced by the estimated population size of 0.95 (CI = 0.17-3.93) in 2052  
184 (Figure 2).

185

#### 186 *Minimum Viable Population (MVP)*

187 In HKK, the smallest population number that could maintain long-term population survival (i.e.  
188 MVP) for 76 years from last survey, i.e. from 2016 to 2091, or for the 100-year period between  
189 1992 and 2091, is  $250 \pm 124$  (SD) calling males, assuming the current protection and disturbance  
190 levels, comparable to conditions in 1992, are maintained going forward. However, this number is  
191 lower than the estimated initial historical population size of 256 calling males (Simcharoen et al.  
192 1995) (Figure 3).

193 In contrast, for YDN, the smallest initial population size that could maintain a long-term  
194 population survival (i.e. MVP) for 84 years from last survey (i.e. from 2014 to 2097) is  $450 \pm 145$   
195 calling males (Figure 4). This number lies within the initial historical population range of 391 to  
196 559 (Brickle et al. 1998) and is higher than the recent population estimate of 219 calling males,  
197 indicating that Green Peafowl population is currently at a level of population size that does not  
198 appear to be sustainable, given demographic influences in the region.

199

#### 200 **Discussion**



201 We conducted our analysis employing only data on population abundance from two timeframes at  
202 two different sites, with different protection and disturbance levels, to simulate the historical, recent  
203 and future (next 100 years) population trends. Results from the HuaiKhaKhaeng Wildlife  
204 Sanctuary, which has a high level of protection and low disturbance, suggest a positive Green  
205 Peafowl population growth, assuring long-term population persistence for the next 70 years. An  
206 MVP size of 250 calling males was determined to guarantee the species long-term persistence in the  
207 area. In contrast, the Green Peafowl population in YokDon National Park, suffering high habitat  
208 disturbance and hunting pressure, drastically declined and our analysis predicts the population has a  
209 63% chance of going extinct in the year 2052, in the absence of intervention measures. Our model  
210 estimated that a population level of 450 calling males were the lowest level at which a stable  
211 population was predicted under the assumptions of our model, which included an assumption of  
212 exponential growth. However, the latest survey, in 2013, showed the population number is lower  
213 than this MVP, and we infer this to be strong evidence that the species may be at risk of local  
214 extinction.

215

#### 216 *Using population abundance information with BPVA*

217 Our ecological knowledge of Green peafowl is still limited in terms of life history parameters such  
218 as annual productivity despite the availability of efficient methods that increase their detection  
219 probability, compared to other forest Galliformes species (Suwanrat et al. 2015). In the absence of  
220 the life history data, the state-space modelling approach allowed us to simulate the current and  
221 future population abundance of the species using historical monitoring data. However, because we  
222 lacked empirical productivity and survival information, our model's outputs were characterized by  
223 high uncertainty (i.e. wide Credible Interval of posterior abundance). Therefore, we recommend the  
224 integration of life history information, when available, to improve the precision of the analysis.

225 Given the difficulty in obtaining life history data, our basic model is a promising tool for  
226 conservation planning.

227 Population viability analysis has been scarcely applied to study Galliformes species,  
228 especially in Southeast Asia. For the whole Asia region, only one case study that collected the long-  
229 term life history data (from 1982 to 2004) of Cabot's Tragopan (*Tragopan caboti*) in Wuyanling,  
230 south-east China, and VORTEX was used in the study to estimate population viability (Zhang and  
231 Zheng 2007). VORTEX requires substantial life history information (e.g. population growth rate,  
232 survival rate) for conducting PVA. Nevertheless, by integrating the limited data on population  
233 abundance with Bayesian approach, reliable prediction of the future viability of the population, and  
234 appropriate management actions could be recommended.

235

#### 236 *Conservation and management implication*

237 As expected, the two protected areas show a marked difference in the disturbance and protection  
238 levels. HuiKhaKhaeng has a high level of protection with minimum disturbances. The effective  
239 patrolling system covering the entire area has resulted in a reduction of hunting pressure. Moreover  
240 the sanctuary has reduced human activities due to the prohibition of settlement within the area.  
241 The good management in HuaiKhaKhaeng Wildlife Sanctuary has reportedly led to an increase in  
242 population of various endangered species, e.g. Tiger (*Panthera tigris*) (Duangchantrasiri et al.  
243 2016), Banteng (*Bos javanicus*) and Green Peafowl (Sukumal et al. 2017). If the current  
244 management system is maintained, the population levels of Green Peafowl have high probability of  
245 being maintained for the next 70 years. However, monitoring of Green Peafowl population  
246 abundance should be conducted every 5 years, which is the age of sexual maturity for males  
247 (Madge and McGowan 2002). To assure long-term persistence of the Green Peafowl population in  
248 the site managers should aim to maintain at least 250 calling males. By contrast, YokDon is  
249 characterized by high habitat disturbance and hunting pressure. Overgrazing by domestic cattle and

250 bushfire have led to the deterioration of vegetation cover, resulting in the loss of foraging and  
251 nesting sites for Green Peafowl. These disturbances, in addition to a high hunting pressure,  
252 contributed to the drastic decline in Green Peafowl population in the area (Sukumal et al. 2015). A  
253 study conducted in 2013 revealed the counted population of Green Peafowl in YokDon was below  
254 the level predicted by the model as the one needed to sustain it in the long term following the  
255 current growth rate, indicating that the population may be at risk of local extinction. Measures such  
256 as restriction of human activities and effective patrolling are crucial to improving the Green  
257 Peafowl population. YokDon is strategically important for the survival of the species in Southeast  
258 Asia as it is connected to Mundulkiri Protected Forest at eastern part of Cambodia, which is home  
259 to a large population of Green Peafowl (Sukumal et al. in press).

260         Generally, native wildlife populations are facing different threat levels and management  
261 strategies are urgently required to ensure their survival. Understanding how a population responds  
262 to site-specific conditions is key to setting up proper management plans for effective population  
263 restoration. Our results clearly show that protection level affects long term survival of species, we  
264 can see that with improved protection populations tend to increase, while with absence or reduce  
265 protection population decrease. Therefore, projecting future protection level, when those data are  
266 available, should be included when performing viability analysis. Information on population  
267 abundance can be useful to investigate the population viability and predict plausible future  
268 population trend. Considering the relative ease of detecting calling males during the breeding  
269 season, Green Peafowl could be used as indicator species to investigate the response of ground-  
270 dwelling birds to human-induced threats in their dry forest habitat. Information on population  
271 abundance could be integrated with BPVA to predict future population trends under site-specific  
272 conditions. Moreover, we expect that this analysis can be applied to other species where only  
273 population abundance and not life history information is available. The BPVA can also be applied

274 to a broader scale for determining suitable management strategies especially for highly threatened  
275 species.

276

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418 Figures

419 Figure 1. Predicted population size from 1992 to 2091 (blue line, year 1-100) of Green Peafowl in  
420 HuaiKhaKhaeng Wildlife Sanctuary from a simulation model of population growth. Red shading  
421 represents 95% credible intervals (CI).

422

423 Figure 2. Predicted population size from 1998 to 2097 (blue line, year 1-100) of Green Peafowl in  
424 YokDon National Park from a simulation model of population growth. Red shading represents 95%  
425 credible intervals (CI).

426

427 Figure 3. Predicted population size from 1992 to 2091 (blue line, year 1-100) of Green Peafowl in  
428 HuaiKhaKhaeng Wildlife Sanctuary, by inputting 256 calling males of historical datasets at  
429 population abundance in 1992 and inputting the mean number of  $250 \pm 124$  (SD) calling males at  
430 population abundance in 2015. Red shading represents 95% credible intervals (CI).

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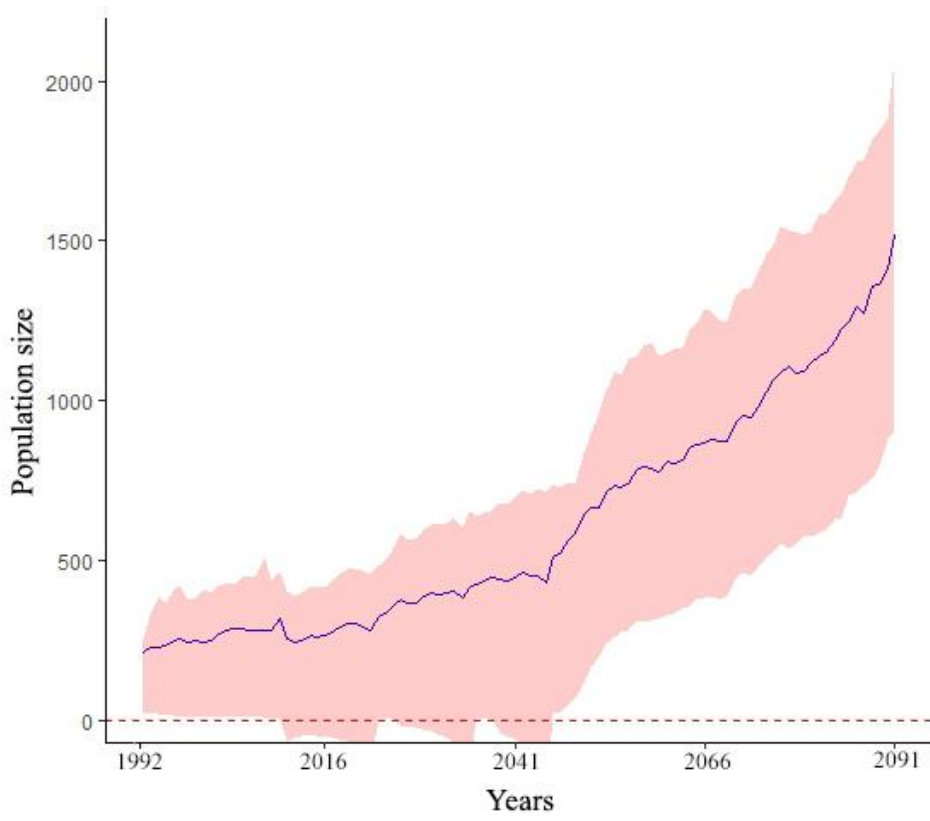
432 Figure 4. Predicted population size from 1998 to 2097 (blue line, year 1-100) of Green Peafowl in  
433 YokDon National Park, by inputting 475 calling males of historical datasets at population  
434 abundance in 1998 and inputting the mean number of  $450 \pm 145$  calling males at population  
435 abundance in 2013. Red shading represents 95% credible intervals (CI).

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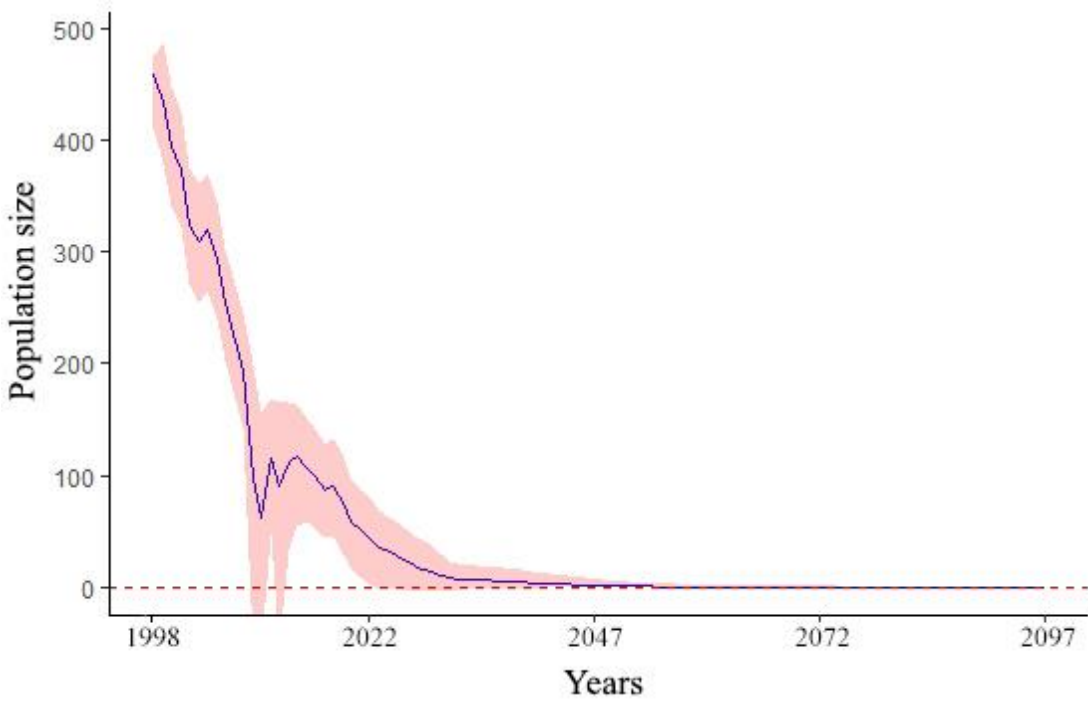
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439 Figure 1



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441 Figure 2

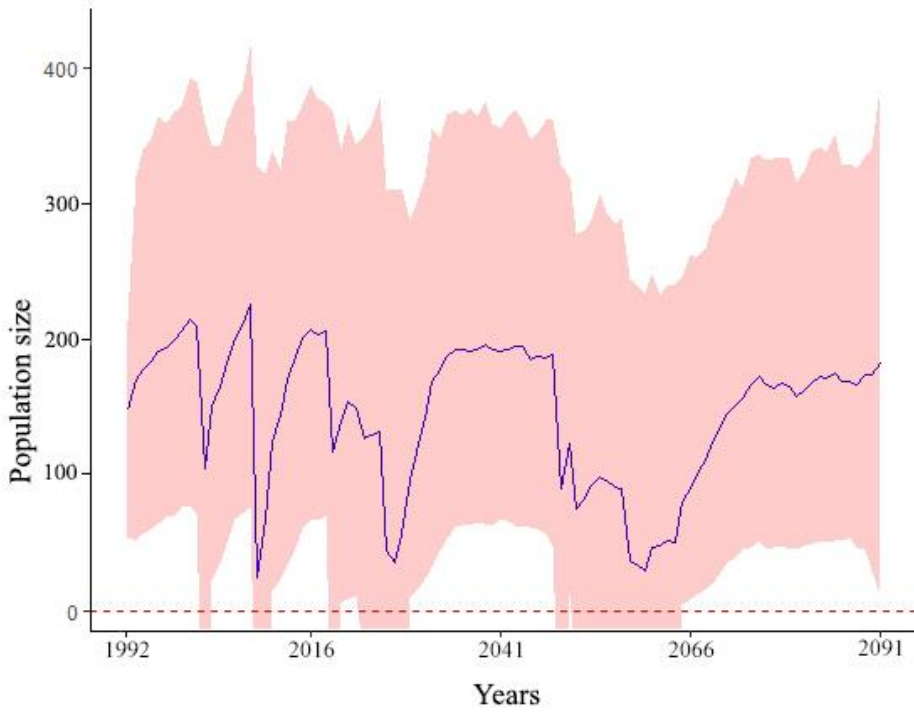


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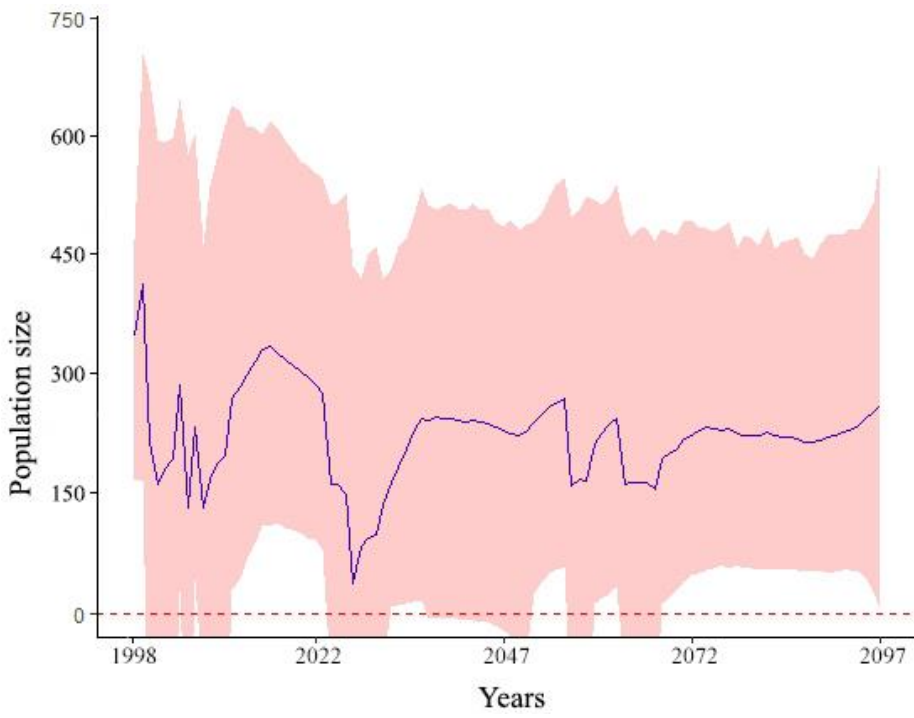
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445 Figure 3



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447 Figure 4



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