| 1        | Seasonal growth in juvenile steelhead  |
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| 6<br>7   | Seasonal variation in growth, consumption, and growth efficiency in overwintering juvenile steelhead   |
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### 21 Abstract

- 22 Food availability and thermal regimes can largely govern growth opportunities in fishes. In temperate
- regions, streams can exhibit summer temperatures that exceed the optima for coolwater-adapted
- 24 species relative to the amount of available food, and there, spring and fall may confer better growing
- 25 conditions, especially for larger size classes. We examined the relationship between growth,
- 26 consumption, and growth efficiency across seasons in juvenile steelhead (Oncorhynchus mykiss) in
- 27 their natal streams in Idaho, USA. Subyearling (0+) growth rates were higher in summer, whereas
- 28 yearlings exhibited no statistically significant difference in growth between seasons. Consumption
- rates were overall lower in winter-spring but constituted a higher proportion of maximum
- 30 consumption compared to summer and fall, indicating better food availability relative to metabolic
- 31 demands. Net growth efficiencies were higher in winter-spring, but substantially more so than the
- 32 proportion of maximum consumption. This suggests that overwinter growth performance was driven
- 33 at least partly by higher growth efficiency, and the effect was most pronounced for yearling
- 34 steelhead. The low proportions of maximum consumption for both age classes suggest that food was
- an overall limiting factor to growth, especially in summer when metabolic demands were highest. We
- discuss how temperature-based models can overestimate growth in the warmest parts of the year,
- and develop a simple conceptual model for the seasonal timing of juvenile growth. In anadromous
- 38 populations, the outmigration of presmolts in spring can reduce the level of competition. For the
- individuals that remain in the river, growth in this period can be particularly important.

#### 41 Introduction

42 Early growth performance can have important consequences for life-history expression and lifetime

- 43 fitness in fishes (Rose et al., 2001; Thorpe, 2007; Satterthwaite et al., 2010; Kendall et al., 2015). For
- 44 anadromous salmonids, growth during the first years in freshwater can influence the timing of
- 45 outmigration (Ward et al., 1989; Busby et al., 1996; Hartson & Kennedy, 2015), survival in freshwater
- 46 and at sea (Bond et al., 2008; Duffy & Beauchamp, 2011), and age at maturation (Vøllestad, Peterson,
- 47 & Quinn, 2004). Identifying the timing of juvenile growth can therefore give important insights into
- 48 the ecology of a population because it is shaped by life histories, environmental conditions,
- 49 community composition, and food availability (Thompson & Beauchamp, 2016; Quinn, 2018).
- Factors that influence individual growth rates act directly or indirectly on the relationship between
  energy intake and expenditure, and range from intrinsic individual characteristics such as physiology
  and behavior (Metcalfe, 1998; Reid, Armstrong, & Metcalfe, 2011) to intraspecific competition,
  environmental conditions, and food availability (Yamamoto, Ueda, & Higashi, 1998; Van den Avyle &
  Hayward, 1999; Myrvold Kennedy, 2015a; Spanjer et al., 2018). Which factor is more influential
- 55 depends on its magnitude and rank relative to the other factors under a given range of conditions
- 56 (Uthe, Al-Chokhachy, Shepard, Zale, & Kershner, 2019). For example, appetite in fishes generally
- 57 increases with water temperature up to some species-specific threshold (Wootton, 1999). Given
- 58 unlimited access to food, the temperature for which the scope for growth is optimal (i.e. the largest
- difference between maximum consumption and energy expenditures) is relatively close to the
   species' thermal tolerance (Brett, 1971; Wootton, 1999). However, food is most often limited in
- 61 natural settings due to fluctuating production and competition over what is available (Keeley, 2001;
- 62 Letcher et al., 2015; Myrvold & Kennedy, 2015a). When the amount of food is limited and the
- 63 availability is unpredictable, the optimal temperature for growth is much lower because basal
- 64 metabolism and costs associated with processing the food also increase with temperature (Elliott,
- 65 1975; Hewett & Kraft, 1993).
- 66 Translated to geography, in cold environments (northern regions or high-altitude locations with a 67 short ice-free season) the summer temperatures may never exceed the optimal temperature under 68 an unpredictable and limited food supply. Consequently, there may be a positive relationship 69 between temperature, consumption, and growth over the restricted range of observed temperatures 70 in those areas because the effects of food limitation are less visible. In contrast, prolonged periods of high water temperatures (> 18°C) typical of summers in lowland or temperate regions can prove 71 72 challenging for juvenile salmonids in streams, both directly through energetic stress and in 73 combination with other factors (Xu, Letcher, & Nislow, 2010; Letcher et al., 2015; Myrvold & 74 Kennedy, 2015b; Ayllón et al., 2019; Kelson & Carlson, 2019). High water temperatures in the early 75 summer often coincide with the onset of summer baseflow conditions and the emergence of a new 76 cohort, so that increased competition and elevated metabolism may further decrease energy intake 77 and elevate energy expenditures (Nicola & Almodovar, 2004; McCarthy, Duda, Emlen, Hodgson, & 78 Beauchamp, 2009; Spanjer et al., 2019). Therefore, while the ice-free summer months (June, July, 79 and August) are important for growth performance at high latitudes and elevations (Borgstrøm & 80 Museth, 2005), spring and fall seasons may confer better conditions for growth in warmer climates 81 (Nicola & Almodovar, 2004; McCarthy et al., 2009; Tattam, Li, Giannico, & Ruzycki, 2017; Kelson & 82 Carlson, 2019). The optimal conditions for growth therefore depend critically on the seasonal timing

of temperature and prey availability, both of which are affected by landscape-scale features such as
 climate, elevation, and latitude.

Here, we study the growth performance of juvenile steelhead across seasons in the Lapwai Creek 85 86 watershed in the Inland Pacific Northwest, USA. We have previously documented depressed growth 87 rates due to intraspecific competition and high metabolic demands during the dry season from June to October (Myrvold & Kennedy, 2015a, b). Despite limited growth in summer and fall, overwintering 88 89 yearling cohorts begin the subsequent summer substantially larger than expected based on summer 90 and fall growth performance. Our first objective is to estimate the growth trajectories for 91 overwintering juvenile steelhead to identify the timing of the mass accrual using a bioenergetics 92 model. Next, we compare growth rates, consumption rates, and growth efficiency across seasons to 93 elucidate the extent to which seasonal variation in growth performance was the result of high 94 consumption rates or efficient use of energy. Finally, we develop a conceptual model for individual 95 growth in relation to temperature, density, food availability, and stream area for anadromous 96 populations in a similar physiographic setting.

97

98

## 99 Methods

## 100 <u>Study area</u>

101 The study was conducted in the 694 km<sup>2</sup> Lapwai Creek watershed of North-Central Idaho, United 102 States (Figure 1). The study area and methods for obtaining data have been described in previous works (e.g. Myrvold and Kennedy 2015b, c), and will be briefly described here. Lapwai Creek is 103 located between the Columbia River Plateau and Northern Rockies ecoregions (McGrath et al., 2002). 104 105 The four tributaries drain through steep canyons before opening up to wider floodplains at their 106 successive confluences. Coniferous forest dominates the high elevation (max. elevation 1530 m) and grassland and grain crops dominate the middle and lower elevations towards the confluence with the 107 Clearwater River (elevation 237 m). The substrate is chiefly cobble-sized, and wetted channel widths 108 109 during sampling ranged from 3 m in the upper study sites to 9 meters in the downstream sites. Mean 110 annual precipitation is 490 mm, primarily from October through May (Myrvold & Kennedy, 2015c), and the mean annual discharge (1975 – 2018) was 2.2  $m^{3}s^{-1}$  (obtained from USGS gauge 13342450). 111 The hydrograph follows a typical snowmelt-driven pattern with a few rain-on-snow events occurring 112 in spring, and stable baseflow conditions with very few rain-driven spates from mid-June through 113 114 October (Myrvold & Kennedy, 2015a). The upper parts of the anadromous reaches freeze over during the coldest periods, whereas the mainstem remains mostly ice-free (K.M. Myrvold, personal 115 observation). However, with predicted shifts in the form, amount, and timing of precipitation (Mote 116 & Salathe, 2010; Vano, Nijssen, & Lettenmaier, 2015) it is likely that streams will enter baseflow 117 118 earlier in the summer, and that periodic drying of stream beds will occur more frequently (Myrvold & 119 Kennedy, 2018).

120

#### 121 Steelhead growth data

122 Lapwai Creek is a tributary to the Clearwater River and is designated as critical habitat for a wild

- steelhead population (NMFS, 2010). As part of a monitoring program to assess individual performance and population dynamics, we established an array of study sites representative of th
- performance and population dynamics, we established an array of study sites representative of the
   physiographic variation in the watershed (Hartson & Kennedy, 2015; Myrvold & Kennedy, 2015b,c).
- 126 Each study site was approximately 100m long, and key characteristics have been presented in
- 127 previous publications (e.g., see Table 1 in Myrvold & Kennedy, 2015c). We visited each study site
- monthly from June to October in 2010, 2011, and 2012. In addition, we visited six of the uppermost
- study sites in May 2012. Fish were captured via three-pass depletion electrofishing using a Smith-
- 130 Root LR-24 backpack electrofisher (Smith-Root Inc., Vancouver, Washington, USA). We measured
- 131 fork length in millimeters and mass to the nearest 0.1g and tagged individuals larger than 65mm with
- a Passive Integrated Transponder (PIT). This allowed us to calculate the specific growth rates
- 133 (referred to as *growth rate* in this article) of recaptured individuals between encounters (in the same
- 134 year or in the subsequent year), expressed as the percent change in body mass per day.
- 135 Juvenile steelhead spend on average two years in their natal streams in the Lapwai Creek system but
- there is consistent variation among sites and some individual variation (Hartson & Kennedy, 2015;
- 137 Caisman, 2015). Fish inhabiting the uppermost sites in each tributary tend to grow slower and
- 138 outmigrate at a higher age than do fish in downstream sites, and fast-growing individuals tend to
- 139 outmigrate earlier than their cohorts. In this paper we were interested in quantifying the growth
- rates of fish that remained in the study sites over winter (Figure 2). We tagged 3986 juvenile
- steelhead in 2010 and 2011, of which 133 were recaptured in subsequent years, i.e. had
- 142 measurements of overwinter growth rates. Because we did not sample between October and May or
- 143 June, we modeled the growth trajectories of these individuals using a bioenergetics model.
- 144

## 145 <u>Temperature data</u>

- 146 Stream temperatures were recorded every 30 minutes in each study site from 01 May 2010 through
- 147 2012 using HOBO TidbiT v2 temperature loggers (Onset Computer Corporation, Pocasset,
- 148 Massachusetts, USA). The data were used in the bioenergetics modeling.
- 149

## 150 Bioenergetics model

We used Fish Bioenergetics 3.0 ("Wisconsin model"; Hanson, Johnson, Kitchell, & Schindler, 1997) to 151 152 calculate consumption rates necessary to achieve a certain growth in a given thermal regime, and to 153 simulate the growth trajectories on a daily time step during the period between encounters. Myrvold 154 & Kennedy (2015b) used field data from the Lapwai Creek watershed to parameterize the model, and 155 we use this model in the following analyses. The model was specified with Thornton & Lessem's 156 (1978) consumption equation, Kitchell et al.'s (1977) respiration equation, Elliott's (1976) waste 157 losses equation, predator energy density equation number 2 (Hanson et al., 1997), and subsequent 158 improvements of the parameter set (Railsback & Rose, 1999). We used an energy density of 4324 J/g 159 wet weight for the invertebrate prey (the main diet; Myrvold and Kennedy 2015b), and an energy 160 density of 5763 J/g wet weight for steelhead (Glova & McInerney, 1977) – these values were used for

all individuals in the current analysis. We used site-specific temperature data for each period and

specified the mass of the fish at the start and the end of the simulation run. For a given change in

body mass between encounters, the bioenergetics model simulates the growth trajectory over the time period on daily time steps and outputs parameter values relevant to the energy budget for the

165 fish. We note, however, that predator energy density tends to be overestimated for juveniles, which

166 in turn leads to underestimates of consumption rates (Trudel, Tucker, Morris, Higgs, & Welch, 2005).

167

## 168 <u>Consumption rates and growth efficiency</u>

169 We were particularly interested in an individual's relative consumption rate, expressed as the

170 proportion of maximum consumption ("P-value"; Hanson et al., 1997), and its growth efficiency. The

171 P-value is the quotient between the modeled ration from a simulation and the maximum theoretical

172 ration in a given time interval, such as the period between capture and recapture. An individual's

growth efficiency in the same time interval is the quotient between the amount of energy allocated

174 towards somatic growth and the total energy consumption. To obtain the amount of energy

allocated towards growth we ran two simulations: one simulation reflecting the actual weight gain
between capture and recapture to calculate the total ration, and one without any growth (by setting

177 the end weight equal to the start weight) to calculate the ration necessary just to maintain its mass

178 (Hewett & Kraft, 1993; Myrvold & Kennedy, 2015b). The difference between the two is the amount

179 of energy allocated to growth. To calculate the growth efficiency we divided the ration allocated

180 towards growth by the total ration.

181

# 182 Modeling overwinter growth trajectories

183 To assess the timing of mass accrual under a bioenergetics framework we simulated the average growth trajectories from October 15 to June 15 (as denoted in Figure 3). Subyearlings grew, on 184 185 average, from 7.1g (n = 36, SD = 2.3g) on the last sampling visit in year 1 to 25.8g (SD = 10.9g) on the first regular sampling visit in June the following year, whereas the yearlings for which we had 186 187 recapture data grew from 19.8g (n = 9, SD = 8.5g) to 49.5g (SD = 23.5g). The discrepancy in mean 188 body mass between one-year old fish in June and in October owes to movement and migration: 189 some of the fast-growing one-year old fish outmigrate in the fall following their second summer and 190 would hence not be recaptured the following summer, and due to considerable movement 191 throughout the year the composition of individuals in a given site is dynamic (Hartson & Kennedy, 192 2015). We specified the bioenergetics model with the same parameters as described above and 193 conducted simulations for an example site (site UMU; mean = 4.5 °C, SD = 3.4 °C) for both age

194 classes.

195

# 196 <u>Statistical analyses</u>

197 The study design was clustered in both a longitudinal sense (observations nested within known

198 individuals over time) and cross-sectional sense (comparison of individuals at given points in time,

199 nested in discrete study sites). To account for the multilevel structure, we used linear mixed models

200 (Raudenbush & Bryk, 2002). To find the average growth rate of subyearlings and yearlings and to

partition the variance among the different levels we first specified a variance components model
(Raudenbush & Bryk, 2002). Here we have observations of growth rates nested within individuals
taken at subsequent points in time:

$$growth_{ij} = \gamma_{00} + u_{0j} + r_{ij},$$

where growth rate *i* of fish *j* is related to a grand mean growth rate for all the individuals ( $\gamma_{00}$ ), an individual-level variance ( $u_{0j}$ ) around this mean, and a residual error within each individual ( $r_{ij}$ ), with  $u_{0j} \sim N(0, \tau_{00})$  and  $r_{ij} \sim N(0, \sigma^2)$ . We also assessed whether a three-level model structure was necessary by adding study site as a random effect in the variance components model (Myrvold & Kennedy, 2015a). There was no clustering at the level of study sites, and we consequently proceeded with the two-level model (Table 1).

211 We tested whether individual growth rates and growth efficiency differed between seasons. We

212 defined summer as June-July, June-August, and July-August; fall as August-September, September-

213 October, and August-October; and winter-spring as the period between October in one year and May

or June the following year. To account for the paired observations within each individual we specified

a mixed effects model with season as a categorical predictor variable with three fixed levels

216 (summer, fall, and winter-spring) and individual as a random effect:

217 (eq. 1) 
$$growth_{ij} = \beta_{0j} + \beta_{1j}season_{ij} + r_{ij}$$

218 To allow for individual-specific intercepts we can write

219 
$$\beta_{0i} = \gamma_{00} + u_{0i}$$
 and  $\beta_{1i} = \gamma_{10}$ 

220 Substituting into equation 1, this becomes a linear mixed effects model

$$growth_{ij} = \gamma_{00} + \gamma_{10}season_{ij} + u_{0j} + r_{ij}$$

where *u* and *r* are random effects.  $r_{ij}$  is assumed N(0,  $\sigma^2$ ) for growth rate *i* in fish *j*, and is the residual of growth rate *i* from the mean growth rate in fish *j* after controlling for season. Because of the categorical predictor variable, the value for the intercept was used to decode the values for the three levels of seasons. We conducted the same analysis for proportion of maximum consumption and growth efficiency.

All models were specified with the Kenward & Roger (1997) approximation of denominator degrees of freedom, an unstructured covariance matrix to allow for individual variation in growth trajectories, and maximum likelihood as the estimator. We used SAS v.9.4 Proc MIXED (SAS Institute, Cary, North Carolina, USA) for all the analyses. Finally, we assessed that model assumptions were met by visually examining the residual plots for all the models, obtained with the *ods graphics* command. Even though the proportion of maximum consumption and net growth efficiency are bound between 0 and 1, we only encountered a limited range of values for which a linear model was appropriate.

234

#### 235 Results

- The 133 individuals with overwinter growth histories were found in 10 sites (Appendix 1). These
- 237 individuals were encountered 518 times and yielded 385 growth estimates (i.e. from one sampling
- visit to another), partitioned into 202 subyearling and 183 yearling growth histories. Only one
- individual moved between sampling sites (a subyearling tagged in site ULL in the summer of 2010
- was recaptured in site ULU in July 2011). The individuals were primarily found in the uppermost
- study sites in each in tributary (Figure 1), which corroborate earlier findings of later outmigration and
- 242 greater site fidelity in the upper sites (Hartson & Kennedy, 2015).
- 243

## 244 Overwinter growth trajectories

- 245 The simulated growth trajectories for the average subyearlings and yearlings in example site UMU
- are shown in Figure 4. Some growth occurred in the remainder of October in both years and was
- 247 relatively stagnant between the beginning of November and mid March both years. Most of the
- 248 growth happened in the last month of the simulation. Subyearlings reached their average mass
- 249 (16.5g) on May 15<sup>th</sup> (average for all simulations), and yearlings reached their average mass (34.7g) on
- 250 May 14<sup>th</sup>, at which time 86% of the period had lapsed. The P-values (i.e. the proportion of maximum
- consumption) necessary to achieve this growth over the entire period were 0.372 in 2010-2011 and
- 252 0.363 in 2011-2012 for subyearlings and 0.376 and 0.367 for yearlings.
- 253 To show how temperature affected the growth trajectory in the bioenergetics model we plotted the
- thermograph and the specific growth rate together with the simulated subyearling mass (Figure 5).
- 255 The simulated daily weight increments were closely, and positively, related to temperature in this
- 256 temperature range.
- 257

# 258 Growth, consumption, and growth efficiency

- 259 We plotted the average values and associated standard deviations for specific growth rates (% body
- 260 mass per day), P (proportion bound by 0 and 1), and growth efficiency (proportion bound by 0 and 1)
- 261 for all the periods (*n* = 133 individuals with 385 growth measurements; Figure 3). Qualitatively,
- subyearlings achieved the highest growth rates in their first summer and fall, and overall lower
- 263 growth rates in the winter-spring period. Growth efficiency was consistently higher in the winter-
- spring period. Yearling growth rates were more variable throughout the different periods but were
- 265 generally higher in the winter-spring period, and lower in summer and fall. Growth efficiency was
- 266 substantially higher in the winter-spring period for both age classes.
- 267 In order to focus on the difference between seasons, we considered individuals with growth
- 268 measurements within the narrow definitions of summer (June-July, June-August, and July-August),
- 269 fall (August-September, August-October, September-October), and winter-spring (October-May and
- 270 October-June). Sixty-three subyearlings yielding 118 growth histories and 60 yearlings yielding 125
- 271 growth histories satisfied these criteria. The discrepancies between numbers of individuals and
- growth histories owe to multiple growth histories for some individuals in summer (e.g. from June to
- 273 July and July to August).

- 274 Subyearling growth rates were consistently higher in summer (mean 0.83% body mass per day) than
- in fall (mean 0.70% per day) and winter-spring (0.52% per day; n = 118, F = 5.5, d.f. = 118, P = 0.005).
  Inclusion of season as a factor in the model explained some 9% of the variance in growth rates (Table
- 277 2). For yearling steelhead there was no statistically significant difference in growth rates between
- summer (mean 0.24% body mass per day), fall (mean = 0.27%), and winter-spring (0.36% per day; n =
- 279 125, F = 0.35, d.f. = 125, P = 0.70). Inclusion of season as a factor variable in the model explained only
- 280 1% of the variance in yearling growth rates (Table 2). All the variance in the growth rates came from
- variation in an individual's growth rates, not from consistent variation among individuals.
- 282 Growth efficiency for subyearlings was highest in winter-spring (*n* = 118, *F* = 91, *d.f.* = 97, *P* <
- 283 0.0001). Inclusion of season explained 60% of the total variance in the data for subyearlings (64% of
- which at the residual level; Table 2). Similarly, yearling growth efficiency was higher in winter-spring
- 285 (mean = 53%) than in fall (mean = 16%) and summer (mean = 9%; *n* = 125, *F* = 14.5, *d.f.* = 125, *P* <
- 286 0.0001). Inclusion of season explained 19% of the total variance, all of which at the residual level
- 287 (Table 2).
- 288 Finally, we tested how the corresponding values for daily consumption rates and proportion of
- 289 maximum consumption ("P-values") related to the pattern of higher growth efficiency in winter-290 spring. Daily average yearling consumption rates were significantly higher in summer (mean = 184291 J/g/d, SE = 16) than in fall (mean = 146 J/g/d, SE = 17) and winter-spring (mean 91 J/g/d, SE = 15; n = 292 125, F = 22.5, d.f. = 125, P < 0.0001). The corresponding proportions of maximum consumption were 293 0.202 (SE = 0.019) in summer, 0.209 (SE = 0.020) in fall, and 0.311 (SE = 0.018) in winter-spring, which were significantly different (n = 125, F = 17, d.f. = 125, P < 0.0001). Hence, an overall lower absolute 294 295 consumption rate in winter-spring constituted a higher proportion of maximum consumption and 296 yielded higher growth efficiencies than in summer and fall. For subyearlings, daily average 297 consumption rates were significantly higher in summer (mean = 321 J/g/d, SE = 5) than in fall (mean 298 234 J/g/d, SE = 8) and winter-spring (mean 110 J/g/d, SE = 7; n = 118, F = 135, d.f. = 118, P < 0.0001). 299 The corresponding proportions of maximum consumption were 0.198 (SE = 0.015) in summer, 0,211 300 (SE = 0.0059) in fall, and 0.328 (SE = 0.0056) in winter-spring, which were significantly different (n =118, F = 203, d.f. = 90.7, P < 0.0001). The higher consumption rates in summer yielded significantly 301 302 higher growth rates, despite constituting a lower proportion of maximum consumption in summer 303 relative to fall and winter-spring.
- 304 In discerning the extent to which consumption levels or growth efficiency were driving the observed 305 variation in seasonal growth, our results show two main patterns. First, even though absolute 306 consumption rates in winter-spring were overall lower, they constituted a higher P compared to that 307 in summer for both age classes (Table 3). Similarly, the net growth efficiencies were higher in winter-308 spring than in summer and fall, but substantially more so than for P. For example, whereas yearling P 309 was 54% higher in winter-spring than in summer, the net growth efficiency was 471% higher. This 310 suggests that overwinter growth was driven chiefly by higher growth efficiency and to some extent 311 by higher proportions of maximum consumption. Secondly, this manifested unequally among the age 312 classes in terms of growth rates (Table 3). Whereas subyearlings grew faster in summer, there were 313 no significant difference between seasons for yearlings. This could be due to high metabolic demands 314 for larger fish in summer, that is, because the absolute demands could not be met as easily as for
- 315 smaller individuals. Furthermore, the overall low proportions of maximum consumption for both age

classes in summer suggest that food is a limiting factor to summer growth under the prevailing

- 317 thermal regime.
- 318

319

## 320 Discussion

321 Seasonal growth patterns varied between age classes in the Lapwai Creek watershed. Whereas 322 subyearling steelhead grew faster in their first summer and fall than in winter-spring, there was no 323 statistically significant difference between seasons for yearling fish. Because we did not sample 324 during the winter and spring, we did not have any growth measurements on regular intervals; 325 however, bioenergetics simulations suggested that most of the body mass was accrued during May 326 and early June. This owes partly to more efficient energy use over the entire winter-spring period, 327 when fish were able to allocate a much higher fraction of their ration towards somatic growth. This 328 suggests that spring might be particularly important for annual growth in areas where stream

- 329 temperatures are high relative to the availability of food in the summer, especially for larger 330 individuals.
- 331 Most studies on stream salmonid growth are conducted in summer and fall. There exist a handful of 332 studies on the seasonal growth patterns in juvenile steelhead in temperate regions (Merz, 2002; Hayes et al., 2008; McCarthy et al., 2009; Thompson & Beauchamp, 2016; Tattam et al., 2017). Hayes 333 et al. (2008) studied seasonal growth and life history of steelhead in a small coastal watershed in 334 335 California. The watershed is characterized by mild winters (minimum average daily water temperature of 5.6 °C) and dry, mild summers (average temperatures 14-18 °C). Yearling fish 336 337 achieved the highest growth rates in spring (0.50% body mass per day) and the lowest in summer 338 and fall (0.0-0.20% body mass per day). These findings were similar to that of other studies on 339 steelhead growth in California (Railsback & Rose, 1999; Merz, 2002). McCarthy et al. (2009) studied 340 steelhead and rainbow trout growth and consumption in Northern California and reported overall 341 fast growth in spring (March to May), and weight loss in summer. They attributed the pattern to 342 overall higher consumption rates and closer-to-optimal temperatures for growth in spring than in 343 summer. Recently, Tattam et al. (2017) documented the highest growth rates of the year in spring in juvenile steelhead in Central Oregon, an area with a similar temperature regime as the Lapwai Creek 344 345 watershed. The average temperature in the spring season was 9 °C, which is lower than the reported optimal temperatures for growth (22 °C; Railsback & Rose 1999), suggesting that optimal 346 347 temperatures for growth may depend on the season.
- The same pattern of rapid growth in spring and slower growth in subsequent seasons has also been 348 349 reported across species and genera in temperate rivers. Ebersole et al. (2006) found that juvenile 350 coho in Oregon grew significantly faster in the spring (mean 0.84% body mass per day from mid-351 March through June) than winter (mean 0.58% body mass per day, December to March), and that 352 spring growth rates did not differ among tributaries and mainstem rearing locations. Similar findings 353 have been reported in Eastern North America. Horton, Letcher, Bailey, & Kinnison (2009) found that 354 Atlantic salmon (Salmo salar) growth rate in Massachusetts was highly seasonal for all cohorts. The highest growth rates occurred in spring for age 1+ and 2+ fish, then decreasing through the 355 356 subsequent seasons. Carlson, Hendry, & Letcher (2007) found that growth of sympatric brook trout

(Salvelinus fontinalis) and introduced brown trout (Salmo trutta) in West Brook, Massachusetts
 peaked in spring. This could result from higher drift densities in the spring and a sharp decline in
 drifting invertebrates in the subsequent seasons (Grade & Letcher, 2006).

360 Several field studies have compared seasonal growth rates with predictions from temperature 361 models derived from laboratory studies of growth and consumption. Nicola & Almodovar (2004) found that brown trout in streams in Spain achieved their highest growth rates in spring. They 362 363 compared these empirical growth rates with modeled growth rates from Elliott, Hurley, & Fryer's 364 (1995) temperature-based growth model, which was based on ad libitum laboratory studies in Northwest England. Despite a limited and dynamic food supply, growth rates under natural 365 366 conditions in Spain surpassed the modeled growth. It is uncertain whether this was because of a 367 seasonal effect (for example that the laboratory studies were conducted in summer, when the optimal temperature for growth was higher; see discussion below), or genetic factors (e.g. that 368 369 selection favors growth earlier in the year in Spanish populations). Similarly, Bacon, Gurney, Jones, 370 McLaren, & Youngson (2005) studied the seasonal growth patterns of juvenile Atlantic salmon in 371 Scotland and compared their field results to two temperature-based growth models for the species 372 (Elliott & Hurley, 1997; Forseth, Hurley, Jensen, & Elliott, 2001). Bacon et al. found that most of the 373 growth happened in a 10-week period in the spring at temperatures that were lower than what the 374 models deemed necessary to achieve that level of growth.

375 Apart from misapplication of temperature-based growth models to systems for which they were not 376 developed, the discrepancy between model predictions and field measurements of salmonid growth in temperate regions could result from two main factors. First, temperature-based models do not 377 378 take into account the dynamic effects of season on growth efficiency. In a series of experiments, 379 Averett (1969) investigated the effects of season on juvenile coho growth, consumption, and growth 380 efficiency. He found that the optimal temperature for growth and growth efficiency depended upon 381 season: fish achieved higher growth efficiencies at lower temperatures in April and May (range 5 - 14382 °C) than in June-July (range 11 – 14 °C) and August-September (range 14 – 17 °C). At the lowest 383 temperature treatments in the April-May experiment the growth efficiencies were high at low consumption rates, and declined sharply with increasing consumption rates, presumably due to a 384 385 combination of increased costs of systemic dynamic action and increased activity costs (Averett, 1969). In other words, fish achieved no growth benefit from increasing their consumption rates, but 386 387 grew well on a limited ration due to lower temperature-induced energy losses. In summer and fall, 388 this relationship was shifted towards higher growth efficiency at higher temperatures, which were 389 consistent with the thermal regime in their natal streams (Averett, 1969). The effects of thermal 390 adaptation in local populations has received variable support. Jonsson, Forseth, Jensen, & Næsje 391 (2001) and Forseth et al. (2009) found no evidence of growth-related adaptation to the prevailing 392 thermal regimes in populations of Atlantic salmon and brown trout, respectively. However, Narum et 393 al. (2013) found some evidence for thermal adaptation between populations of redband rainbow 394 trout in Idaho. When subjected to high temperatures (from 17.0 to 28.5 °C), fish from relatively 395 warmer desert environments exhibited lower heat shock protein response than fish from relatively 396 colder mountain environments. This suggests that desert fish have maintained or developed 397 mechanisms to cope with thermal stress (high temperatures in this case), but the relation to growth 398 performance was not investigated.

399 Secondly, there is evidence that there is more food of higher quality available in spring. Grade & Letcher (2006) found the highest density of drift in April and June, and substantially lower densities 400 401 in summer and fall. Benthic density showed an opposite pattern, with the highest values in summer 402 and fall, and the lowest in winter and spring (Grade & Letcher 2006). Nakano & Murakami (2001) 403 reported higher amounts of aquatic insects from December to July, and greater amounts of 404 terrestrial invertebrates from July to September in Japan. Li, Gerth, Driesche, Bateman, & Herlihy 405 (2016) reported a similar pattern in a coastal watershed in Oregon, and found that juvenile steelhead 406 relied more on terrestrial invertebrates in summer. We do not have data on drift densities in the 407 spring in Lapwai Creek. However, even if the amount of food were constant throughout the year, the 408 lower metabolic demands during the typical temperatures in spring, and potentially the higher 409 growth efficiency in this period, could explain why yearling growth rates in this period were

- 410 comparable to those of summer (Myrvold & Kennedy 2015b).
- 411 In order to decouple the effect of season from temperature it is useful to compare growth rates
- 412 between seasons with similar temperatures and photoperiods. Xu et al. (2010) provided a strong test
- 413 of the effect of temperature and stream discharge on brook trout growth rates at different times of
- 414 the year over 8 years in Massachusetts. Growth rates generally increased with water temperature in
- 415 spring and winter but decreased with temperature in summer and fall within the same temperature
- 416 range. Stream flow had a modulating effect through its control over temperature, but these effects
- 417 were dynamic: growth increased with flow in cool summers but had no effect in the warmest
- summers. The effects of flow were not strong enough to affect the direction of the relationship
- between temperature and growth, but flow did affect the strength of the relationship (i.e. the slope
- 420 of the regression). The effects of density on individual growth rates were also higher in summer than421 in spring (Xu et al., 2010).
- 422 Our simulations of overwinter growth trajectories suggested that most of the body mass was accrued
- 423 at the end of the spring period (15. May to 15. June; figure 4). However, there is uncertainty in how
- 424 well the temperature-dependent function of the bioenergetics model represents the ecology in the
- 425 Lapwai Creek watershed. Given the results from other studies (Railsback & Rose, 1999; Merz, 2002;
- 426 Hayes et al., 2008; McCarthy et al., 2009; Tattam et al., 2017) that have measured steelhead growth
- rates in spring we suspect that the modeled growth in our study was shifted more towards the end of
- the winter-spring period than what was actually the case. We attribute this to the distinct dry season,
- 429 which represents metabolically stressful conditions in Lapwai Creek (Myrvold & Kennedy, 2015b).

430 Seasonality in food availability, temperature, and optimal temperatures for growth have been shown 431 to affect size classes differently. Connolly & Petersen (2003) investigated the effects of overwinter 432 temperature on different size classes of subyearling steelhead reared at different temperatures (3, 6, 433 and 9 °C). All size classes grew faster at the highest temperature, but the small individuals benefited 434 the most. The proportion of lipids decreased in the largest fish but increased overall in the smallest 435 fish. Finally, Fulton's condition factor decreased for all size classes at the highest temperature but 436 increased for all size classes at the lowest temperature. Relatively higher winter temperatures in 437 concert with limited food availability could hence be physiologically more challenging for larger 438 individuals (Connolly & Petersen, 2003). During high temperature conditions in summer, Breau, 439 Cunjak, & Peake (2011) found physiological and behavioral differences between age classes of 440 Atlantic salmon. Whereas subyearlings were feeding up to the highest temperature (28 °C) in the

441 experiment, older fish experienced lactate buildup and ceased feeding at 24 °C. This shows that the

interactions between temperature, food, and fish size are complex, and that selection for certain
traits and behaviors (e.g. movement between seasonal habitats) may vary throughout the species'

444 range.

445 The level of competition for food and space can largely control individual growth opportunities (Quinn, 2018). During summer and fall, Myrvold & Kennedy (2015a) found evidence of density-446 447 dependent growth rates in subyearling steelhead in the Lapwai Creek watershed, whereas Myrvold & 448 Kennedy (2015b) found that yearling growth was largely limited by food and high metabolism. 449 However, winter mortality and the emigration of steelhead smolts during snowmelt in March and 450 April (Hartson & Kennedy, 2015) will reduce the level of competition for the fish that remain in the 451 rivers (Figure 2) at a time when food may be plentiful and growth efficiency is high. Hartson & 452 Kennedy (2015) found that the probability of an individual outmigrating increased with density and 453 the size of the individual relative to its conspecifics. Juvenile steelhead are territorial, and occupation 454 of good feeding stations is to some extent linked to size (Keeley, 2001; Rundio & Lindley, 2019). This 455 suggests that when the largest individuals outmigrate, the smaller individuals that remain in the river 456 gain access to better feeding territories and can capitalize on the lower levels of exploitative and

457 interference competition.

458 Because the release from competition coincides with a greater stream area, closer-to-optimal

459 temperatures for growth efficiency, and potentially more food, the period between the start of

460 spring runoff and summer baseflow might therefore be particularly important to yearling growth in

461 locations such as Lapwai Creek. Based on these coinciding events we suggest a conceptual model for

seasonal growth in juvenile steelhead that applies to temperate rivers with cold winters and long, dry

summers (Figure 6). The model is clearly an oversimplification; however, it can be useful to help

visualize the interrelationships between the main ecological components that can govern temporalgrowth patterns in juvenile stream salmonids.

466 With global climate change and increasing pressures on stream ecosystems, the suite of 467 environmental conditions experienced by most steelhead populations will likely shift (Thompson & Beauchamp, 2016; Spanjer et al., 2018; Kelson & Carlson, 2019). Historically, the summer and fall 468 469 discharge in the Lapwai Creek watershed has been influenced by gradual snowmelt and surface 470 runoff until late June, and groundwater and spring releases until the return of precipitation in 471 October. However, there is substantial inter-annual variation in the form of precipitation and timing 472 of the runoff because the watershed lies in a transitional climatic zone and is topographically 473 complex (Elsner et al., 2010; Mote & Salathe, 2010), and in addition, the changes in snow storage 474 capacity due to logging and grazing have likely contributed to earlier snowmelt. Forecasting future 475 streamflow and temperature is therefore complicated. The Lapwai Creek region showed some of the 476 greatest sensitivity of streamflow to forecasted changes in precipitation and temperature in the 477 Inland Northwest (Vano et al., 2015). The greatest decline in runoff was forecasted to occur in the 478 warm season, which was largely driven by changes in the form of precipitation in the winter and an 479 earlier runoff (Vano et al. 2015). In predicting the effects of climate change on the growth performance, life history choices, and population dynamics of salmonids it is important to have 480 481 realistic models (Ayllón et al., 2019). As has been shown by others (Nicola & Almodovar, 2004; Bacon 482 et al., 2005), this paper suggests that temperature-based growth models derived from trials in 483 northern regions should be used with caution in temperate streams, which exhibit great annual 484 temperature ranges and where summers pose energetically stressful conditions.

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496

## 497 Ethical approval

498 The sampling procedures were permitted as part of the Section 7 consultation for the Lewiston

499 Orchards Biological Opinion (NMFS 2010). The procedures were reviewed and approved by the Idaho

- 500 Department of Fish and Game and the University of Idaho Institutional Animal Care and Use501 Committee.
- 502

## 503 Data availability statement

The data that support the findings of this study are available from the corresponding author uponreasonable request.

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## 697 Tables

Table 1. Variance partitioning among the two levels (within individuals and among individuals) for 63

- subyearlings and 60 yearlings with recapture histories in both summer (June, July, and August), fall
- 700 (August, September, and October) and the subsequent winter-spring season (October to May or
- June).  $\rho$  is the intraclass correlation coefficient, i.e. the proportion of the total variance which is
- attributable to each level. The P-value refer to the test  $H_0$ : estimate = zero. Also shown is the mean
- value for growth rate, consumption, and growth efficiency for both age classes.

| Response and age    | Level              | Variance        | Estimate (SE)   | ρ    | P-value |
|---------------------|--------------------|-----------------|-----------------|------|---------|
| class               |                    | term            |                 |      |         |
| SPECIFIC GROWTH RAT | E                  |                 |                 |      |         |
| Subyearlings        | Mean $\gamma_{00}$ |                 | 0.642 (0.029)   |      | <0.0001 |
|                     | Residual           | σ²              | 0.100 (0.013)   | 100  | <0.0001 |
|                     | Individual         | τ <sub>00</sub> | 0.0 (-)         | 0    | n/a     |
| Yearlings           | Mean $\gamma_{00}$ |                 | 0.249 (0.038)   |      | <0.0001 |
|                     | Residual           | $\sigma^2$      | 0.177 (0.022)   | 100  | <0.0001 |
|                     | Individual         | $\tau_{00}$     | 0.0 (-)         | 0    | n/a     |
| PROPORTION OF MAXII |                    | PTION           |                 |      |         |
| Subyearlings        | Mean $\gamma_{00}$ |                 | 0.253 (0.0063)  |      | <0.0001 |
|                     | Residual           | $\sigma^2$      | 0.0046 (0.0006) | 100  | <0.0001 |
|                     | Individual         | $\tau_{00}$     | 0 (-)           | 0    | n/a     |
| Yearlings           | Mean $\gamma_{00}$ |                 | 0.212 (0.0054)  |      | <0.0001 |
|                     | Residual           | $\sigma^2$      | 0.0036 (0.0005) | 100  | <0.0001 |
|                     | Individual         | $\tau_{00}$     | 0               | 0    | n/a     |
| GROWTH EFFICIENCY   |                    |                 |                 |      |         |
| Subyearlings        | Mean $\gamma_{00}$ |                 | 0.437 (0.018)   |      | <0.0001 |
|                     | Residual           | σ²              | 0.0396 (0.005)  | 100  | <0.0001 |
|                     | Individual         | τ <sub>00</sub> | 0.0 (-)         | 0    | n/a     |
| Yearlings           | Mean $\gamma_{00}$ |                 | 0.146 (0.023)   |      | <0.0001 |
|                     | Residual           | $\sigma^2$      | 0.066 (0.008)   | 92.2 | <0.0001 |

|     | Individual | τ <sub>00</sub> | 0.0 (-) | 7.8 | 0.33 |
|-----|------------|-----------------|---------|-----|------|
| 704 |            |                 |         |     |      |
| 705 |            |                 |         |     |      |

## 706 Table 2

- Table 2. Parameter estimates for the effect of season on growth rates, consumption, and growth
- ros efficiency. Shown for each age class are the growth rate estimates and standard errors for each
- 709  $\,$  season and the estimates for the variance components.  $\rho_{growth \ period}$  shows how much of the
- 710 explainable variation at the residual level was explained by the inclusion of season as a factor, and
- 711 similarly,  $\rho_{\text{individual}}$  shows the effect on the individual level.

| Response and age class | Variable                           | Parameter estimate<br>(SE) | $oldsymbol{ ho}_{growth}$ period | hoindividual |
|------------------------|------------------------------------|----------------------------|----------------------------------|--------------|
| SPECIFIC GROW          | TH RATE                            |                            |                                  |              |
| Subyearlings           | Season_Summer $\gamma_{10}$        | 0.825 (0.035)              |                                  |              |
|                        | Season_Fall $\gamma_{10}$          | 0.697 (0.059)              |                                  |              |
|                        | Season_Winter-Spring $\gamma_{10}$ | 0.523 (0.047)              |                                  |              |
|                        | Random intercept $\tau_{00}$       | 0.0 (-)                    |                                  | n/a          |
|                        | Residual $\sigma^2$                | 0.092 (0.0012)             | 8.5                              |              |
| Yearlings              | Season_Summer $\gamma_{10}$        | 0.240 (0.15)               |                                  |              |
|                        | Season_Fall $\gamma_{10}$          | 0.275 (0.15)               |                                  |              |
|                        | Season_Winter-Spring $\gamma_{10}$ | 0.356 (0.14)               |                                  |              |
|                        | Random intercept $\tau_{00}$       | 0.0 (-)                    |                                  | n/a          |
|                        | Residual $\sigma^2$                | 0.176 (0.027)              | 0.6                              |              |
| PROPORTION O           | F MAXIMUM CONSUMPTION              |                            |                                  |              |
| Subyearlings           | Season_Summer $\gamma_{10}$        | 0.198 (0.015)              |                                  |              |
|                        | Season_Fall $\gamma_{10}$          | 0.211 (0.0059)             |                                  |              |
|                        | Season_Winter-Spring $\gamma_{10}$ | 0.328 (0.0056)             |                                  |              |
|                        | Random intercept $\tau_{00}$       | 0.00024 (0.0006)           |                                  | 0            |
|                        | Residual $\sigma^2$                | 0.0013 (0.0007)            | 83.5                             |              |
| Yearlings              | Season_Summer $\gamma_{10}$        | 0.202 (0.017)              |                                  |              |
|                        | Season_Fall $\gamma_{10}$          | 0.209 (0.020)              |                                  |              |
|                        | Season_Winter-Spring $\gamma_{10}$ | 0.311 (0.016)              |                                  |              |
|                        | Random intercept $\tau_{00}$       | 0 (-)                      |                                  | n/a          |

|               | Residual $\sigma^2$                | 0.0025 (0.0003) | 32 |     |
|---------------|------------------------------------|-----------------|----|-----|
| GROWTH EFFICI | ENCY                               |                 |    |     |
| Subyearlings  | Season_Summer $\gamma_{10}$        | 0.310 (0.055)   |    |     |
|               | Season_Fall $\gamma_{10}$          | 0.325 (0.024)   |    |     |
|               | Season_Winter-Spring $\gamma_{10}$ | 0.642 (0.020)   |    |     |
|               | Random intercept $\tau_{00}$       | 0.0017 (0.0023) |    | n/a |
|               | Residual $\sigma^2$                | 0.0014 (0.0027) | 64 |     |
| Yearlings     | Season_Summer $\gamma_{10}$        | 0.093 (0.082)   |    |     |
|               | Season_Fall $\gamma_{10}$          | 0.159 (0.085)   |    |     |
|               | Season_Winter-Spring $\gamma_{10}$ | 0.531 (0.078)   |    |     |
|               | Random intercept $\tau_{00}$       | 0.0 (-)         |    | n/a |
|               | Residual $\sigma^2$                | 0.054 (0.0068)  | 19 |     |
|               |                                    |                 |    |     |

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### 714 Table 3

- Table 3. Proportional differences between seasons for mean growth and consumption components.
- Asterisks denote statistical significance (P < 0.05) from the mixed-effects model.

|             |                                      | Proportional difference   |                         |                  |
|-------------|--------------------------------------|---------------------------|-------------------------|------------------|
| Age class   | Component                            | Winter/spring<br>- summer | Winter/spring<br>- fall | Fall<br>- summer |
| Subyearling | Specific growth rate (%BM per day)*  | -37%                      | -25%                    | -16%             |
|             | Proportion of max. consumption (P) * | 66%                       | 55%                     | 7%               |
|             | Net growth efficiency (%) *          | 101%                      | 92%                     | 5%               |
| Yearling    | Specific growth rate (%BM per day)   | 48%                       | 29%                     | 15%              |
|             | Proportion of max. consumption (P) * | 54%                       | 49%                     | 3%               |
|             | Net growth efficiency (%) *          | 471%                      | 234%                    | 71%              |

| 718  | Figure | captions |
|------|--------|----------|
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Figure 1. Map showing the location of the study sites in the Lapwai Creek watershed. Overwintering
juvenile steelhead with recapture histories were found in sites ULL, ULM, ULU, UML, UMM, UMU,
USM, USU, UWM, and UWU.

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726 Figure 2. Conceptual figure showing the fate of a steelhead cohort through three age classes in the 727 Lapwai Creek watershed. The figure starts with the emergence of a new 0+ cohort (dotted line) in 728 mid May (Taylor, Myrvold, & Kennedy, 2016). After a period of mass mortality, the cohort enters 729 their first summer and begin establishing territories (Myrvold & Kennedy, 2015a). As individual 730 demands for food and space increase, the cohort thins throughout summer and fall. The cohort (solid 731 line) then enters the first outmigration period during the spring runoff in mid March to mid April. 732 Few and only the fastest growing individuals outmigrate as subyearlings (Hartson & Kennedy, 2015). 733 The cohort then begins its second summer (the first summer as 1+ yearlings), with less mortality than 734 in its first summer (Caisman, 2015). A small fraction of the cohort will outmigrate in the fall (Hartson 735 & Kennedy, 2015). There is less mortality in the cohort's second winter (dashed line) leading up to 736 the main outmigration period. Fish leave the watershed on the main spring runoff, the same 737 discharge event their parents ascended upon approximately two years earlier (Caisman, 2015). This 738 paper concerns the growth performance and energy allocation in those individuals that did not 739 outmigrate or die, and that were encountered in two subsequent years.

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Figure 3. Relationships between growth rate, P-value (the proportion of maximum consumption),
and growth efficiency for a) subyearling and b) yearling steelhead recaptured at different time
intervals. Periods with captures and recaptures in the same calendar year (e.g. from July to August,
2011) are denoted "same", whereas periods with captures in one year and recaptures the following
year (e.g. from October 2011 to May 2012) are denoted "next". Asterisks indicate the periods used in

the comparison between summer, fall, and winter-spring.

- 749 Figure 4. Simulated overwinter growth trajectories for average yearling (top) and subyearling
- 750 (bottom) steelhead at site UMU (chosen as an example site) in two winter and spring seasons. Solid
- 751 lines denote 2010-2011 and dashed lines denote 2011-2012. The start and end weights were the
- same across years, and the primary factor varying between the scenarios is the temperature regime.
- For example, yearling steelhead weighed 25.2g on 01 March 2011. On 01 March 2012 the same fish
- vould have weighed 22.9g (9.1% less) due to a different thermal regime.
- 755

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Figure 5. Simulated growth trajectory (solid black line) of an average subyearling steelhead in
example site UMU from October 2010 to June 2011, growing from 7.1g to 25.8g. Most of the growth
happened after early April and continued until the end on 15. June. Note the negative growth rate
(dashed line, displayed on the secondary axis) during the cold periods in winter (temperature as solid
grey line).

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764 Figure 6. Schematic showing the relationships between the typical Lapwai Creek wetted stream area 765 in a snowmelt year (blue line), the abundance of the steelhead cohort that enters their second or 766 third summer (grey dashed line), the abundance of insect larvae in the drift (green line), the water 767 temperature profile (red line), and the specific growth rates of the average overyearling individual 768 (black dashed line). It highlights the saddle-shaped pattern of specific growth rates (percent change 769 in body mass per day) as it relates to changes in abundance of steelhead and wetted area (proxies for 770 intraspecific competition), food, and temperature (which controls appetite and metabolic demands) 771 throughout a typical year. Based on our findings in the Lapwai Creek watershed we hypothesize that the best growing conditions for juvenile salmonids in temperate regions with a marked dry season 772 773 occur in the spring and fall.



Figure 1. Map showing the location of the study sites in the Lapwai Creek watershed. Overwintering juvenile steelhead with recapture histories were found in sites ULL, ULM, ULU, UML, UMM, UMU, USM, USU, UWM, and UWU.

126x120mm (300 x 300 DPI)















Figure A1. Raw data for the 133 individuals with overwinter recapture histories.