2	a small power station with multiple migration routes
3	Short title: Functional fishway reduced smolt mortality
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Title: Behaviour and mortality of downstream migrating Atlantic salmon smolts at

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20	Short title: Functional fishway reduced smolt mortality
21	Title: Behaviour and mortality of downstream migrating Atlantic salmon smolts at
22	a small power station with multiple migration routes
23	Abstract
24	Salmon smolts were released upstream of a run-of-river hydropower site and
25	recaptured downstream for inspection. Descending fish behavior through three
26	possible migration routes (turbines, fishway, spillway) were analyzed using
27	telemetry, fyke-nets and diving.
28	Tagged smolts did not follow the main water flow; over 70% used the fishway,
29	which received only about 10% of the flow. The turbines received about 80% of
30	the water, but less than 25% of the smolts. Smolts were not fully stopped from
31	entering the turbines by 25 mm bar racks. Mortality of smolts passing through the
32	Kaplan turbines was minimum 36%. No mortality was found in fish moving
33	through the fishway or spillway.

This shows that small and fast-rotating Kaplan turbines can cause relatively highmortality. No mortality in alternative migration routes resulted in a total mortality

for descending smolts at the hydropower station at 8.5%, emphasizing theimportance of providing functional alternative migration routes.

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Keywords: acoustic telemetry, diel activity, fish passage, Kaplan turbine, delayed
mortality, salmon management.

41 Introduction

Many fishes perform migrations between different habitats in order to optimize 42 fitness (Northcote, 1978, 1984; Dingle & Drake, 2007). Human activities that 43 obstruct migration represent potential threats to migrating animals (Lennox et al., 44 2016). For centuries, rivers and streams have become modified for navigation, 45 hydropower production and water regulation purposes (Lucas & Baras, 2001). 46 47 Migrating fishes are particularly impacted by hydropower dams, weirs and other 48 migration barriers hindering or delaying their migration (Nyqvist *et al.*, 2017; Birnie-Gauvin et al., 2018; Tambets et al., 2018). Studies of how anthropogenic 49 activities influence fishes during migration are necessary to assess consequences 50 51 for individuals and populations, and to evaluate mitigation measures.

52 Atlantic salmon (*Salmo salar*) is one of the most well-known diadromous species, 53 important for recreational fisheries and local economies. Migration barriers in 54 rivers may lead to reduction or the complete loss of salmon populations. In 55 Estonia, Atlantic salmon declined severely during the second half of the 20th

century (Kangur, 1996). The most profound impact on salmonid habitat 56 availability is due to hydropower development, and man-made migration 57 obstacles are common in most rivers, preventing access to about 70% of the 58 historical salmon habitat (HELCOM, 2011). Poor water quality has also severly 59 reduced salmonid production. Historically, there were salmon in 12 rivers in 60 61 Estonia, but a few years ago only five rivers still had natural reproduction without additional stocking (HELCOM, 2011). After restoration measures, salmon 62 63 presently reproduce regularly in ten rivers (Kesler et al., 2017).

64 In the Purtse River, where this study was carried out, modest but regular wild salmon reproduction occurs in addition to regular enhancement releases of 65 hatchery-reared fish (HELCOM, 2011; Kesler et al., 2017). Historically this was 66 67 the second-best salmon river in Estonia, but since the 1930s, salmon gradually disappeared due to pollution from oil shale mining (Mikelsaar, 1984). Wastewater 68 69 containing sulphates, chlorides, sulphides, oil products and phenols were discharged into the river and its tributaries and seriously affected aquatic life 70 (Velner, 1972; Rätsep et al., 2005). Since the 1990s, wastewater discharge has 71 72 decreased, leading to a considerable reduction of pollutant concentrations in the river water after 2000 and a suitable water quality for salmonids (Kesler et al., 73 2011; Roosimägi, 2014). Salmonids recolonised Purtse River after the water 74 quality improvement, and in 2005, spawning of salmon and sea trout (Salmo 75 *trutta*) was recorded and a restocking programme for salmon initiated. However, 76

the Sillaoru power station, 4.9 km from the river mouth, was opened in 2005 and prevented upstream fish migration until a functional fishway was built in 2014. With salmon and sea trout returning to the upstream areas, there is now a need to ensure safe downstream migration for both wild and hatchery produced smolts past the hydropower station. Hence, information of the factors causing smolt mortality, and the effects of mitigation measures, is needed.

The aims of this study were to examine 1) the distribution of fish and water flow 83 between different migratory routes past the power station, testing the importance 84 85 of the spillway and fishway as downstream migration routes, 2) immediate and delayed mortality at the power station, and injury inflicted on the smolts when 86 passing, 3) migration speeds in the reservoir and at the hydropower station, and 4) 87 88 possible diel activity patterns. A combination of methods was used, including tagging fish with acoustic transmitters and monitoring fyke net catches 89 90 downstream of the power station.

91

92 Material and methods

93 Study site

The 51.1 km long Purtse River, with a catchment area of 811 km² and annual 94 mean water discharge of 6.9 m^3 sec⁻¹, runs into the Gulf of Finland in 95 96 Northeastern Estonia (Fig. 1). Main tributaries are the rivers Kohtla, Erra and Ojamaa. The Sillaoru Hydroelectric Plant complex was constructed 4.9 km from 97 the river mouth in 2004-2005, with a 3.2 m high dam preventing upstream fish 98 migration. A natural type fishway with a low gradient (2.1%) was built during 99 2014-2015. Surveys have indicated that this fishway is functional for several 100 101 species, including trout and river lamprey.

Downstream migrating fish must pass the 2.1 ha reservoir. From the lower end of 102 103 the reservoir, fish can move downstream 1) through the fishway, 2) into the canal towards and through the turbines, or 3) over the spillway (Fig. 1). The water 104 discharge in the fishway is 0.6 m³ sec⁻¹ (Anon. 2013). The river discharge 105 106 determines the turbine and spillway discharge. One or two Kaplan turbines with adjustable blades are operating (307-kW and 220 kW, capacity of 0.5-4.0 m³ sec⁻¹ 107 and 1.5-4.0 m^3 sec⁻¹, respectively), while surplus water is released over the 3.2 m 108 109 high spillway. Both turbines have four blades and a 1 m runner diameter (gaps between the runner blade tips and the hub are 3-5 mm). The rotation speed is 428 110 rpm for the 307-kW turbine and 385 rpm for the 220 kW-turbine. The power 111 company implements turbine shutdowns during low water level to maintain the 112 Kärgenberg, Einar; Thorstad, Eva Bonsak; Järvekülg, Rein; Sandlund, Odd Terje; Saadre, Ene; Økland, Finn; Thalfeldt, Mart; Tambets, Meelis.

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required discharge in the fishway. During the first three days of the study (14-16
May), both Kaplan turbines were operating, while only the 307-kW turbine was
operating during the rest of the study. The power station utilises a fall of 7.8-9.0
m.

117 A bar rack with 25 mm vertical openings (52 $^{\circ}$ slope to the ground in the flow 118 direction) is placed in the entrance of the turbine channel to prevent downstream 119 migrating fish from entering the turbines (Fig. 1). Two additional bar racks with 120 45 mm vertical openings (60 $^{\circ}$ slope to the ground) are placed at the turbine 121 intakes. The turbine channel inflow is at an almost 90 $^{\circ}$ angle to the river flow, to 122 lead floating debris over the spillway.

123

124 Tagging experiment

To enhance the salmon population, 491 two-year-old Atlantic salmon smolts were released on 14 May 2015 at 17.00 (local time, UTC + 3 hrs) at a site 50 m upstream of the last rapid above the reservoir, which is 0.6 km upstream of the Sillaoru dam. The breeding stock originated from Kunda River, and the smolts were reared in the Põlula Hatchery. The mean total length (TL) of the smolts was 207 mm (range 145-256 mm, SD \pm 24 mm) and mean weight 87 g (range 36-152 g, SD \pm 29 g), based on a random sample of 40 individuals. All stocked smolts

had the adipose fin removed. According to catches by electrofishing and fyke-net,

no wild two-year-old salmon smolts were present in the study area.

134

135 Tags and tagging

Thirty-eight smolts were tagged with individually coded acoustic transmitters 136 (ATID LP-7.3 or ATID LP-9, weight in water of 1.2/2.5 g; battery life of 44/174 137 days; random pulse intervals from 5 to 15 s; Thelma Biotel AS, Trondheim, 138 139 Norway). Tagging occurred 1.5-4.0 hours before release. Two sizes of transmitters were used to ensure a low tag/fish weight ratio. The smaller 7.3 mm 140 141 diameter tags were applied to 20 of the smallest fish (mean mass 70 g). The larger 142 9 mm diameter tags were applied to 18 of the larger fish (mean mass 106 g). The group of fish selected for tagging had the same mean TL and weight as the total 143 group of stocked fish. The expected battery life of the tags exceeded the duration 144 of the study period. Each smolt was anaesthesised immediately before surgery. 145 During surgery, fish gills were supplied with flowing aerated water. The acoustic 146 147 tags were implanted into the abdominal cavity through a 1.5 cm long ventral incision made about 1-3 cm anterior of the ventral fins. Two sutures (Ethicon, 5-0, 148 monofilament, polypropylene) were used to close the incision. Fish were 149 150 transported to the release site together with fin-clipped fish in a container with 151 aerated water and a controlled oxygen level. Fish tagging and release were carried out according to the license V 1-15/15/133 (Environmental Board of Estonia). 152

154 Recording of fish tagged with acoustic transmitters

The movements of the tagged smolts were monitored by applying six stationary Vemco VR2W automatic receivers (Fig. 1) and a mobile receiver VR100 for manual tracking (Vemco Ltd., Canada). The VR2W receivers detected and saved individual signal codes of transmitters as well as the date and time when fish were within their detection range. Manual tracking was conducted in the reservoir and turbine channel at least once per day to locate smolts and detect shorter movements, and four times at the release site.

162

163 Monitoring by use of fyke nets

To determine the timing and selected route of downstream migration of fin-164 clipped smolts, the downstream end of all migration routes through the 165 hydropower complex was closed with fyke nets (Fig. 1). One fyke net was 166 mounted in the fishway outflow, one in the turbine channel 370 m downstream of 167 the turbines and one in the spillway tailrace. Fyke net mesh size was 10 mm knot 168 169 to knot and wing mesh size was 13 mm. The fyke nets entirely covered the 170 migration route cross-sections, catching all the descending fish. The underwater part of the fyke net wings was controlled by diving to ensure correct positions. 171

The fyke net catches were monitored for 11 days, from 14 May 2015 at 18:00 to 172 25 May 2015 at 10:00. Fyke nets below the fishway and spillway were emptied, 173 and catches recorded every second hour, and the fyke net below the turbine-174 channel was controlled in the morning and in the evening. All fish from the fyke 175 nets were recorded: species, presence and type of damages, presence of incision 176 177 and transmitter. Dead and injured fish were measured (TL, estimated in case of damage) and removed. Seemingly healthy fish were released into the river 178 179 downstream of the fyke nets, except that some of them were used for monitoring of delayed mortality (see below). 180

In the tailrace of the turbine channel, diving was performed in the morning and in
the evening in front of the fyke net to register and collect dead and injured fish.
Smaller parts of dead fish were also found during inspection of the channel bank.

At the end of the study (25 May 2015), electric fishing by using portable D.C. 184 185 fishing aggregates was done in the fishway and under the spillway to register smolts that might have descended from the reservoir without having been caught 186 187 in the fishway or spillway fyke nets. On 26 May 2015, electric fishing was done twice in the turbine channel outlet to register any released fish. The water flow 188 was reduced in the fishway and in the turbine channel to facilitate electrofishing. 189 Electrofishing was also performed at the upstream release site on 16 June 2015 to 190 search for any remaining fish. 191

192

193 Monitoring of delayed mortality

To monitor for possible delayed mortality, some of the seemingly uninjured 194 195 smolts that descended through the turbines (n = 67), fishway and spillway (control group, n = 65 and n = 4 respectively) were kept after being captured in the fyke-196 nets. These fish were then immediately released in one of two keep net boxes (0.8 197 198 x 1 x 1.5 m), placed in a slowly running part of the river. Visual observation (without handling) of fish condition was done daily over an eight-day period. 199 Most of the fish (over 80%) were added during the first three days (cf. Tab. 2), 200 and the last group of fish after four days. Dead fish were immediately removed. 201

202

203 Evaluation of possible underestimation of dead fish count from the turbine tailrace

To check if all the fish that were lethally injured when descending through the turbines were detected by fyke nets or diving surveys, 32 of the dead smolts previously collected in the fyke-nets were marked by removing the anal fin and released in the outflow of the turbine on 25 May 2015. These fish were searched for by diving in the evening (i.e. 7 hours after release), the next morning, and under low and slow flowing water conditions in the afternoon 26 May 2015.

210

211 Water discharge

An acoustic flow rate meter (Sontec FlowTracker, Xylem Inc., USA) was used to 212 213 determine the water discharge at the fishway entrance. For calculating the water discharge in the spillway, the depth and width of the water layer flowing over the 214 215 spillway was measured, and the spillway discharge was calculated based on a table from Estonian Hydraulic Engineers (designed to estimate the flow volumes 216 in analogous free-flow conditions). The turbine channel discharge was calculated 217 based on the Estonian Environment Agency river hydrometric station data 218 219 (situated 3.2 km upstream) by subtracting fishway and spillway discharges from the Purtse River discharge. 220

221

222 Data analyses

Data were analyzed using the statistical program R Development Core Team Version 3.5.1 (2018). The distribution of fish and water flow between different migratory routes past the power station was tested with a Chi-squared test (2×3 table). Fin-clipped smolts and smolts tagged with acoustic transmitters were not separated, because their distribution did not differ. This was controlled for using a Fisher's exact test (2×3 table), because minimum expected number was less than one and approximation for using a Chi-squared test was not met.

To test whether there were differences in mean size (TL) of smolts that descended through the turbine and smolts released in the river upstream of the hydropower complex, a Welch two sample t-test was used. Assumptions for the Welch two sample t-test were examined by using Shapiro-Wilk test and F test (for the normality of data distribution and for the equality of variance, respectively). Smolts with approximate TL were excluded (these were severely damaged fish with missing bodyparts after passing through the turbine).

A Chi-squared test $(2 \times 2 \text{ table})$ was used for testing differences in delayed mortality between the turbine group and control group. The same test was used for testing if the proportional share of smolts between the fishway and other routes differed between the first and subsequent days. For 2 × 2 tables, "n – 1" Chisquared test was used as recommended by Campbell (2007).

Median movement speeds for fish using the different routes were based on the shortest distance through water between the upper and lower end of each route. The shortest distance through the reservoir was 310 m for fish using the fishway, and 350 m for the other fish. When passing the power station, the shortest distance for those using the fishway was 155 m, and for those moving through the turbine 115 m (two fish) or 230 m (four fish). Distances were not calculated downstream of the reservoir for the fish who fell over the spillway (two individuals).

249

250 Results

251 The distribution of fish and water flow between the different migration routes

Salmon smolts used all three migration routes to pass the power station. In total, 252 459 salmon smolts (94%) were detected descending past the power station, of 253 which 448 (91 %) were caught in fyke nets or during diving and 11 recorded by 254 electrofishing or telemetry receivers. The distribution among the three migration 255 routes did not differ between fin clipped smolts and smolts tagged with acoustic 256 transmitters (Fisher's exact test, p = 0.29). Among smolts with acoustic 257 transmitters, 71% descended through the fishway, 7% over the spillway and 21% 258 through the turbines (n = 20, 2 and 6, respectively). Among the fin-clipped fish, 259 the proportions were 74%, 3% and 24%, respectively (n = 317, 11 and 103, Fig. 260 2). 261

The water discharge through the turbine was much higher than over the spillway 262 and the fishway (about 4/5 of total discharge, Fig. 2). The spillway and the 263 264 fishway had approximately similar discharges (Fig. 2). Between 14 and 25 May 2015, the Purtse River water discharge decreased from 6.5 to $3.8 \text{ m}^3 \text{ sec}^{-1}$. At the 265 same time, the discharge through the turbine and spillway decreased (from 5.4 to 266 $3.0 \text{ m}^3 \text{ sec}^{-1}$ and from 0.6 to 0.35 m³ sec⁻¹, respectively). However, the proportion 267 of the total discharge in the different routes was not greatly altered. A slight 268 decrease in the proportion through the turbine (from 84% to 80%) and no change 269 over the spillway (9%) implies a slight increase in the fishway (from 0.40 to 0.45 270 Kärgenberg, Einar; Thorstad, Eva Bonsak; Järvekülg, Rein; Sandlund, Odd Terje; Saadre,

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 $m^3 sec^{-1}$, i.e. from 7% to 11%). The proportional distribution of smolts among the 271 different migration routes was different from the proportional distribution of the 272 273 water discharge ($\chi 2 = 364.8$, n = 457, df = 2, p < 0.001, Fig. 2). Far more fish moved downstream via the fishway and fewer through the turbines than the 274 proportion of water flow would indicate. The mean size of smolts that descended 275 276 through the turbine was smaller than the smolts that were released in the river upstream of the hydropower complex (187 mm and 207 mm, respectively, Welch 277 278 two sample t-test, t = 2.88, df = 27.09, p = 0.008).

According to receiver data, about 90% of the fish (25 of 28) that passed the 279 reservoir explored only one of the possible exit routes at the power station. 280 Nineteen of the 20 fish that descended via the fishway were not recorded in the 281 282 turbine channel. The two fish that descended via the spillway were never detected in the turbine channel or near the fishway entrance. Among the six smolts that 283 284 descended via the turbine channel, one fish resided in the fishway for the first two days, two were recorded near the fishway a couple of times during the first days 285 for up to 15 minutes, while the three remaining fish appeared to avoid the fishway 286 287 entrance.

288

289 Mortality and injury

According to the fyke net catches and diving data, mortality appeared only among 290 291 the salmon smolts that migrated through the turbine, and not among those using the other migration routes. Thirty-three salmon smolts were found dead 292 293 immediately below the power station (Tab. 1), constituting 30% of the 109 salmon smolts that were recorded descending through the turbine (receiver recordings and 294 295 direct catches). For 29 of these fish, the reason for mortality seemed to be linked to external physical injuries, i.e. missing (17 fish) and seriously damaged (12 fish) 296 297 body parts. Only three smolts were found dead without visual injuries, and one with a minor injury (missing caudal tip). Of the dead smolts, 30 were found in 298 front of the fyke net, on the bottom of the turbine channel or in the fyke net wings, 299 300 while three were captured in the fyke net itself. No acute mortality was recorded for fish passing via the fishway (0 dead of 330 fish) (Tab. 1). 301

In addition to acute mortality, delayed mortality appeared among the smolts that descended through the turbine (Tab. 2). During four to eight days following descent, five of 67 smolts (7.5%) that appeared uninjured after passing the turbines were found dead. There was no delayed mortality among the 69 fish that had descended through the fishway and spillway (control group), which was significantly lower than for the turbine group ($\chi 2 = 5.31$, n = 136, df = 1, p = 0.021).

The total turbine-induced mortality was 36%, considering both the acute and delayed mortality (Tab. 1). Only seven of the 32 dead smolts that were released in the turbine outflow were later recorded, indicating that the total turbine-induced mortality was underestimated. One of the dead smolts was removed from the channel by a goosander (*Mergus merganser*) immediately after release, while six were located after seven hours by diving. The dead fish were left in the channel, and one of them disappeared during the following night (7-19 hours after release).

316

317 Migration speeds in the reservoir and at the hydropower station

318 Of the 38 salmon smolts tagged with acoustic transmitters, 33 were detected in the 319 reservoir, three remained at the release site and two were lost after release. Most 320 of the smolts started their descent immediately after release. Two thirds (n = 22)321 of the smolts had descended to the reservoir before sunset the same day (i.e. within six hours), 88% (n = 29) within 10 hrs and the last ones within 80 hrs. One 322 smolt returned upstream and became stationary at the release site. Overall, the 323 324 median time from release to reaching the reservoir was 4.9 hours (IQR = 7.1-2.7325 hours).

After descending to the reservoir, the smolts (n = 32) spent median 31 hours (IQR = 121 - 7 hours) before being detected below the dam (median speed 0.27 km day⁻¹; Fig. 3 A). The slowest descenders did not complete descent during the

study. One of them was captured by electrofishing in the fishway in good
condition at the end of the study. Median movement speeds for fish on the other
distances were as follows: in the reservoir 0.45 km day⁻¹, in the fishway 0.60 km
day⁻¹, and in the turbine channel 3.18 km day⁻¹ (Fig. 3: A1, A2 and A3).

According to fyke-net catches and observations during diving, 278 (62%) of the smolts passed the power station within the first 24 hours. During first three days, 443 (90%) of the smolts had passed (Fig. 4). The proportional share of smolts between the fishway and other routes differed between the first and subsequent days ($\chi 2 = 11.3$, n = 448, df = 1, p = 0.001). A large number of smolts (220 individuals, 49%) descended through the fishway within 24 hours.

339

340 Diel activity

The salmon smolts showed a clear diel pattern in activity in descending past the 341 power station area (Fig. 5). According to fyke net catches, 92% of the fish 342 descended between 16:00 and 6:00, with the main peak between 22:00 and 343 midnight, and a smaller peak between 18:00 and 20:00. The stationary receivers in 344 the fishway opening and in the reservoir (Fig 1) showed movements towards and 345 away from the fishway. The activity patterns recorded by these receivers 346 resembled the diel activity recorded by the fyke nets (Fig. 5), with again 92% of 347 348 the movements occurring between 16:00 and 6:00. The highest activity in the

reservoir near the entrance of the fishway was recorded between 19:00 and 20:00,and between 21:00 and 23:00.

Fish with acoustic tags descended through the bar racks to the turbine during nighttime. According to receiver data (six fish, nine episodes), fish descended through the first turbine-channel bar rack between 22:06 and 02:22 and through the second bar rack (six fish, six episodes) between 22:46 and 03:20. Some fish visited the turbine-channel several times, and fish were detected also moving back from the turbine inflow channel to the reservoir. These upstream movements (two fish, four episodes) were recorded only during daytime (between 5:24 and 17:49).

Combining fyke net catches and recordings of tagged fish showed that the smolts were most active during night, starting about 4 hours before sunset and ending around sunrise (during the study, sunset occurred between 21:39 and 22:03, and sunrise between 4:42 and 4:21).

362

363 Discussion

364 Most of the Atlantic salmon smolts released upstream of a hydropower dam in the Purtse River used the fishway rather than the spillway or the turbine channel for 365 their descent past the hydropower dam (74/71% of the fin-clipped/acoustically 366 367 tagged smolts used the fishway, 3/7% the spillway, and 24/21% moved through the turbines). Hence, the smolts clearly did not use the three available routes 368 according to the proportion of water discharge. They used the fishway more often 369 370 than expected from the small proportion of the water discharge (7-11 %) supplied to the fishway - and moved through the turbines less often than expected from the 371 large proportion of the water discharge supplied to the turbines (80-84%). 372 Previous studies have indicated that the proportion of smolts passing through 373 turbines is often in accordance with the proportion of water diverted through them 374 375 (Ruggles, 1980; Hvidsten & Johnsen, 1997; Serrano et al., 2009). However, this is 376 apparently not always true (Havn et al., 2017; Haraldstad et al., 2018; this study). The results in this study resemble the results from a German study, where Havn *et* 377 378 al. (2017) also found that Atlantic salmon smolts to a larger extent used fishways than was expected by their small proportion of the water discharge compared to in 379 380 the turbines. Havn et al. (2017) found that the probability of smolts choosing a fishway instead of the turbine route increased with fish body length and decreased 381 with discharge, which may indicate that smolts preferred to move through the 382 383 fishway, and that larger body size and lower discharge improved their ability to

maneuver and select the favoured migration route. Also in the present study, 384 smolts that descended through the turbine were among the smaller smolts. This 385 386 might indicate that the smolts prefer the fishway, but that the smaller smolts were less able to manouver in the current and more often moved with the main flow 387 through the turbines. However, there might also have been a size selection by the 388 389 bar rack in front of the turbines in the present study, with the largest smolts being prevented or more reluctant to pass through the rack with 25 mm bar spacing (see 390 391 below).

392 Even though most of the smolts used the fishway, a relatively large proportion of the smolts also descended through the turbines. Since a large proportion of the 393 water discharge was supplied to the turbines, it was expected that a proportion of 394 395 the smolts would move downstream through the turbines. A bar spacing of 25 mm did not fully prevent smolts from passing the double racks and entering the 396 397 turbines. In the Estonian Loobu River, it was shown that 99.99% of all Atlantic salmon smolts were physically able to pass through racks with 25 mm bar spacing 398 (Anon., 2017). Other studies have shown that a smaller bar spacing (10 and 15 399 mm) seems to prevent the passage of most salmon smolts (Havn et al., 2017; 400 Thorstad et al., 2017). This is in accordance with estimates by Adam et al. (2005) 401 402 and Larinier & Travade (2002) indicating that 25 mm bar racks would only physically hinder salmon smolts larger than approximately 250 mm body length. 403 404 Hence, none of, or only a few of the largest smolts in this study may have been

405 physically prevented by the 25 mm bar rack. Bar racks may act as a behavioural 406 or visual barrier and reduce the proportion of fish passing, despite having 407 openings that are larger than the fish width (Adam *et al.*, 2005). In this study, 408 smolts descended during darkness, implying reduced potential visual effect of the 409 bar racks. Daytime recordings of smolts passing through the rack in an upstream 410 direction indicate that the visual impact of the 25 mm rack was insignificant.

Smolts that descended via the turbine experienced 30% acute mortality, with an 411 additional 6% delayed mortality over a four to eight day period. Hence, including 412 413 delayed mortality, the minimum estimate for total mortality among fish descending via the turbine was 36%. Other studies report salmon mortality rate 414 for Kaplan type turbines between 0-35% (Stier & Kynard 1986; Larinier, 2008; 415 416 Gustafsson, 2010; Huusko et al., 2012). Thus, the mortality rate recorded in this study is one of the highest reported for Kaplan type turbines. There are two 417 418 possible reasons for this. Firstly, local conditions facilitated direct observation of 419 dead fish. A fyke net could be positioned in the fast-flowing section of the turbine outflow channel where the dead fish were carried with the swift flow, and it was 420 also possible to detect fish laying on the bottom of the outflow channel by diving. 421 Secondly, relatively small Kaplan turbines were operating, and smaller turbines 422 may cause more injuries because fish have to pass closer to the walls and blading 423 and also possibly because these turbines generally have higher rotation speeds 424 425 (Larinier, 2008).

Both the acute and delayed mortality recorded in this study must be regarded as 426 minimum estimates. The acute mortality estimates are minimum numbers because 427 428 several predators, including Amerikan mink (Neovison vison) and goosanders, are 429 present and able to remove dead or injured fish from the turbine tailrace. The delayed mortality estimates are minimum numbers, because fish may also get 430 431 injuries that cause delayed mortality at a later stage, or injuries may reduce their physiological adaptations to saltwater and thereby induce elevated mortality when 432 433 they enter the sea (McCormick et al., 2009; Zydlewski et al., 2010).

Among smolts descending via the fishway, neither acute nor delayed mortality was recorded, indicating that the fishway functioned well, despite receiving only a low proportion of the water flow. Few fish migrated over the spillway, and although no mortality was recorded among these fish, the low number of smolts using the spillway indicates that this is not an efficient alternative.

The smolts started to move downstream quickly after release. The median speed in passing the reservoir and power station was relatively fast (median 31 hours), indicating that the power station did not significantly delay the timing of smolts entering the sea. However, migration speeds were slower than usually recorded on free-flowing river stretches (Thorstad *et al.*, 2012, Havn *et al.*, 2018). In river systems where smolts must pass several power stations or other weirs, the cumulative delay may be substantial (Norrgård *et al.*, 2013).

Salmon smolts showed the highest movement activity during the dark hours, 446 which is in accordance with other smolt migration studies. The riverine migration 447 usually takes place during the night, and this is thought to be an adaptive 448 behaviour to avoid predation by visual predators (Thorstad et al., 2012; 449 Haraldstad et al., 2017). Hence, operating turbines during daytime and closing 450 451 them during the dark hours could be a measure to reduce smolt mortality at power stations. This is perhaps more efficient early than late in the smolt migration 452 453 season, because daytime activity often increases towards the end of the season (Thorstad et al., 2012; Haraldstad et al., 2017). 454

In conclusion, downstream migrating salmon smolts did not merely follow the 455 main flow but used the fishway instead of the spillway and turbine route more 456 457 often than expected from the proportion of the water discharge. Still, about 20% of the smolts descended through the turbines, which were supplied with about 458 459 80% of the total water discharge. Racks with 25 mm bar spacing was not fully 460 efficient in preventing smolts from entering the turbines, likely because the bar spacing was too wide. Mortality rate of smolts passing through the turbines was 461 minimum 36%, which is among the highest mortalities reported for Kaplan 462 turbines in previous studies. These results show that small and fast-rotating 463 Kaplan turbines can cause relatively high mortalities. Because a high proportion 464 of the smolts used alternative migration routes (fishway, spillway), where they did 465 not experience mortality, the total mortality due to this hydropower station in the 466

467 Purtse River was only 8.5 % of all descending smolts. Without alternative migration routes at the power station, the mortality would have been minimum 468 469 36% for downstream migrating smolts passing this site. The causes of mortality seemed to be external physical injury like missing and seriously damaged body 470 parts, but also internal damage and/or physiological stress that were not detected 471 472 during visual inspection of the smolts. Smolts migrated mainly during night time, indicating that operating turbines during daytime and closing them during night 473 474 time could be an efficient mitigation measure. Since the study was based on hatchery-reared smols, it is important to follow up the study later if self-sustaining 475 476 populations are established, to examine whether the wild-bred salmon show a 477 similar behaviour.

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614

615 Tables

616 Table 1. Acute and delayed mortality of downstream migrating Atlantic salmon 617 smolts at the Sillaoru power station in the Purtse River. Acute mortality is given as number of smolts found dead below the power station. Delayed mortality is 618 619 based on recording of mortality among fish that were held in captivity for 4-8 620 days after passing the power station (see Tab. 2). Both acute and delayed 621 mortality are also given as proportion of the smolts passing the turbines (A; 622 turbine mortality), and as proportion of the total number of smolts passing the power station area, including bypass routes outside the turbine (B). Total 623 mortality is acute plus delayed mortality. 624

	No. of	A. Proportion (%) of	B. Proportion (%) of total
	dead	smolts passing	number of smolts passing
	smolts	through the turbine	the power station area
Acute mortality	33	30.3	7.2
Delayed mortality	6	5.5	1.3
Total mortality	39	35.8	8.5

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626	Table 2. Delayed mortality for salmon smolts that were seemingly uninjured after
627	passing the turbine and that were subsequently held in captivity for 4-8 days
628	(Turbine group) compared to smolts that had not passed the turbine (Control
629	group). Number of smolts held in captivity (Sample size) and number of these
630	found dead (Mortality) are given per date for both groups. The control group are
631	fish that had descended through the fishway $(n = 65)$ and spillway $(n = 4)$.

	Turbine group		Control group		
Date	Sample size	Mortality	Sample size	Mortality	
15.05.2015	34	2	30	0	
16.05.2015	51	0	49	0	
17.05.2015	57	0	56	0	
18.05.2015	64	1	61	0	
19.05.2015	67	0	64	0	
20.05.2015	67	1	66	0	
21.05.2015	67	0	69	0	
22.05.2015	67	0	69	0	
23.05.2015	67	1	69	0	

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Total (sp.) 67 5 69 0

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633 Figure legends

Figure 1. The location of the Purtse River and study area. Blue arrows indicate the flow direction, red circles the location of stationary receivers, yellow rings the fykenets, F the fishway, Sp the spillway, T the turbine, and Br the bar racks. The release site was 350 m upstream of the reservoir shown on the right map, i.e. approx. 350 m below the lower edge of the map. Base maps: Estonian Land Board.

640

Figure 2. Use of different migration routes by salmon smolts with acoustic transmitters (n = 28, orange bars) and those only finclipped (n = 431, green bars). The proportion of the total water flow through the different migration routes is also shown (blue bars).

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Figure 3. Migration speeds of smolts tagged with acoustic transmitters: (A) from first detection in the reservoir until first receiver detection or being captured in a fyke net below the power station (n = 31), (A1) from first to last detection in the reservoir (n = 31), (A2) from last detection in the fishway entrance until capture in the fyke net below the fishway (n = 18), and (A3) from last detection in the reservoir until first receiver detection below the turbines (n = 6). Note the

logarithmic scale of the y axis. Circles with asterixes are maximum speedestimates, because some fish did not finish their migration during the study.

654

Figure 4. Number of smolts that moved trough the fishway, turbines and spillway
(blue, red and grey bars respectively) in day 1 to 11 after release, according to
fyke net catches and diving. Days are calculated as 24 hr periods starting at 17:00.

658

Figure 5. The diel distribution of fyke net catches during the 11-day study period given as number of smolts captured per two-hour period (bars). Dashed line indicates diel activity of acoustically tagged salmon smolts while passing the power station area (14 May-7 June, UTC time + 3 hrs), based on movements between stationary receivers deployed in the fishway entrance and reservoir. The timing of each movement was defined as first recording when arriving at the fishway or reservoir.

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670 Figure 2

671



673 Figure 3



675 Figure 4



677 Figure 5