

1 Running head: *Fieldfare paternity and sperm length*

2

3 **Extra-pair paternity and sperm length variation in the socially**

4 **monogamous Fieldfare *Turdus pilaris***

5

6

7 Oddmund Kleven^{1*}, Aksel N. Fiske², Magnus Håvik², Rolf T. Kroglund², Jan E. Østnes² and

8 Tim Schmoll³

9

10 ¹Norwegian Institute for Nature Research (NINA), P.O. Box 5685 Torgarden, NO-7485

11 Trondheim, Norway

12

13 ²Nord University, Faculty of Biosciences and Aquaculture, P.O. Box 2501, NO-7729 Steinkjer,

14 Norway

15

16 ³Bielefeld University, Evolutionary Biology, Konsequenz 45, D-33615 Bielefeld, Germany

17

18 *Author for correspondence: oddmund.kleven@nina.no

19

20

21 Word count: 6221

22 **Abstract**

23 Basic knowledge about the genetic mating system is lacking for the great majority of the
24 approximately 10,000 extant bird species. Filling this knowledge gap is not only critical for a
25 comprehensive understanding of the reproductive ecology of each particular species, but also
26 for increasing the power of comparative approaches to uncover and explain interspecific
27 patterns of variation in avian reproductive traits. Using six polymorphic microsatellite
28 markers, we here present the first parentage study in the socially monogamous Fieldfare
29 *Turdus pilaris*. In parallel, we also examined variation in sperm morphology and relationships
30 between sperm traits and paternity loss of social males. Across two study years, extra-pair
31 paternity was detected in 46.4% (95% CI: 28.9%–64.9%) of 28 broods, and on average 27.6%
32 (95% CI: 16.8%–41.9%) of nestlings per brood were extra-pair offspring in a population in
33 central Norway. The observed extra-pair paternity rates fall within the range of reported
34 estimates of extra-pair paternity for four congeneric *Turdus* species (between 36% and 65%
35 of broods and 27% and 46% of nestlings). Sperm total length was 87.0 ± 2.9 (SD) μm (range
36 79.7–96.8 μm) and 59.3% (95% CI: 37.1%–73.3%) of the total phenotypic variation in sperm
37 total length was explained by differences between sperm samples collected from 17 different
38 males. The among-sample coefficient of variation in mean sperm total length was 2.70%
39 (95% CI: 1.99%–3.17%). We found no evidence for effects of sperm total length or relative
40 midpiece length on loss of paternity among broods of 13 males.

41

42 **Keywords** Extra-pair copulation; passerine; paternity loss; social monogamy; sperm
43 morphology

44 **Introduction**

45 Most passerine birds are socially monogamous, but the application of molecular genetic
46 methods has revealed that social monogamy by no means implies genetic monogamy
47 (reviewed by Griffith et al. 2002; Kempenaers and Schlicht 2010). Having copulations outside
48 the social pair bond is widespread in birds and extra-pair paternity (EPP) has been detected in
49 approximately 90% of bird species examined (Griffith et al. 2002). The incidence of EPP
50 varies tremendously across species, ranging from zero to almost three quarters of all offspring
51 in a population being sired extra-pair (Griffith et al. 2002). Adult mortality, fecundity and
52 patterns of parental care appear to be important life-history traits associated with the
53 interspecific variation in EPP observed across major avian lineages (Arnold and Owens
54 2002). Adaptive (e.g., ecological, genetic and social factors; Petrie and Kempenaers 1998;
55 Arnold and Owens 2002; Griffith et al. 2002; Bonier et al. 2014) as well as non-adaptive (e.g.,
56 pathological polyspermy and genetic constraints; Forstmeier et al. 2014) hypotheses have
57 been proposed for explaining variation in EPP between species as well as between
58 populations within species.

59

60 Although much effort has been devoted to study EPP in birds, the taxonomic coverage of
61 species is still very limited and this precludes a more comprehensive understanding of the
62 evolution of avian reproductive traits and mating systems. Efforts to close this knowledge gap
63 are relevant for better understanding the specific reproductive ecology of particular species
64 but, importantly, also for increasing the power of comparative and meta-analytic approaches,
65 for example when studying the effects of post-copulatory sexual selection on the evolution of
66 sperm form and function (e.g., Kleven et al. 2009; Støstad et al. 2018). Particularly useful in
67 this context are studies that examine rates of EPP as well as the reproductive traits of interest
68 in the very same population as both, rates of EPP (e.g., Garcia-Del-Rey et al. 2012;

69 Laskemoen et al. 2013a) and reproductive traits (e.g., Lüpold et al. 2011; Laskemoen et al.
70 2013a), may well vary, and covary, among populations of a species.

71

72 When females engage in extra-pair copulations, this will result in sperm from the social pair
73 male and the extra-pair male(s) competing for fertilisation (sperm competition; Parker 1970)
74 and/or provide females with an opportunity to choose among sperm from different males
75 (cryptic female choice; Eberhard 1996). These two fundamental mechanisms of post-
76 copulatory sexual selection may strongly influence the evolution of sperm traits (reviewed in
77 Birkhead et al. 2009). Comparative studies in birds have, for instance, revealed that the
78 strength of post-copulatory sexual selection is positively correlated with sperm total length
79 (Briskie and Montgomerie 1992; Kleven et al. 2009; Lüpold et al. 2009), sperm swimming
80 speed (Kleven et al. 2009) and patterns of sperm morphological variation (Calhim et al. 2007;
81 Immler et al. 2008; Kleven et al. 2008; Lifjeld et al. 2010; Støstad et al. 2018). Sperm total
82 length as well as components of spermatozoa (sperm head, midpiece and tail length) and their
83 proportions may also display considerable intraspecific variation, both between different
84 populations (Lüpold et al. 2011; Schmoll and Kleven 2011; Laskemoen et al. 2013a), between
85 different males (Laskemoen et al. 2013b; Schmoll et al. 2018; Edme et al. 2019) and between
86 different sperm samples of individual males (Lüpold et al. 2012; Schmoll et al. 2018; Edme et
87 al. 2019). Little is however known about possible relationships between sperm morphological
88 traits and competitive fertilisation success in birds (but see Laskemoen et al. 2010; Cramer et
89 al. 2013; Bennison et al. 2015; Sætre et al. 2018).

90

91 The aim of the present study was to examine, for the first time, the genetic mating system and,
92 in parallel, sperm morphological variation of the socially monogamous Fieldfare *Turdus*
93 *pilaris*. Fieldfares have large testes for their body size (Dunn et al. 2001) and as relative testes

94 size is assumed to be a reliable index of the degree of sperm competition (Møller and Briskie
95 1995), we expected to reveal moderate to high levels of EPP in our Fieldfare study
96 population. Using a comparative approach across passerine birds, Lifjeld et al. (2010)
97 demonstrated a negative relationship between variation in sperm length in a given population
98 and the respective frequency of extra-paternity. More specifically, Lifjeld et al. (2010)
99 suggested the coefficient of between-male variation in sperm total length as an index for the
100 frequency of extra-pair paternity in passerine birds. Accordingly, we also expected moderate
101 to low variation in mean sperm total length between sperm samples obtained from different
102 males in our study population. Finally, we tested for associations between paternity loss and
103 two selected sperm traits that have been shown to predict competitive fertilisation success in
104 other passerine bird species.

105

106 **Materials and methods**

107

108 Study species and study population

109

110 The Fieldfare is a medium-sized (range 93-121 g, n = 74 adult individuals; own unpublished
111 data), open-nesting, tree-breeding passerine species found in the Palearctic (Cramp 1988).

112 Although Fieldfares may breed solitarily, they mainly breed in more or less dense colonies.

113 Fieldfares are socially monogamous and females usually incubate the eggs alone while both

114 parents feed the young (Cramp 1988). We studied Fieldfares in Graffmarka (63° 44' 8" N, 11°

115 19' 58 " E) in Levanger municipality in central Norway. The study site was located

116 approximately 15 meters above sea level and consisted of a 5.3 hectare floodplain forest

117 surrounded by a river and cropland. Approximately 120 and 50 pairs of Fieldfares were

118 breeding semi-colonially in the forest in the study years 2017 and 2018, respectively.

119

120 Field methods

121

122 Fieldwork was carried out during the breeding seasons between May and June in 2017 and
123 2018. We trapped adults with mist nets mainly during the nestling feeding period. The adults
124 were banded with a unique combination of three colour rings and one numbered aluminium
125 ring provided by the Norwegian Bird Ringing Centre at Stavanger Museum (i.e. two rings on
126 each leg). A small droplet (~10 μ L) of blood was sampled and stored in 1 mL Queen's lysis
127 buffer (Seutin et al. 1991) at 4°C until genetic analysis. We also collected tissue samples for
128 molecular genetic analysis from two adult individuals found freshly dead as a result of
129 predation. Adults were sexed molecularly (see below).

130

131 We gently massaged the cloacal protuberance of males to obtain a sperm sample as described
132 in detail by Laskemoen et al. (2013b). The sample was first mixed with 10 μ L standard
133 phosphate-buffered saline (PBS) and immediately transferred into 250 μ L of a 5%
134 formaldehyde solution (equivalent to an approximately 12.5% formalin solution assuming a
135 stock solution of 40% formaldehyde). Samples were stored at room temperature until sperm
136 microphotography in autumn 2018 (differential storage duration appears not to affect avian
137 sperm length; Schmoll et al. 2016).

138

139 We located nests, GPS-marked them using a Garmin GPSmap 62s GPS navigator and
140 thereafter visited them approximately every third day to obtain information about hatching
141 date. Nest predation, partial and complete, occurred in the study population but our
142 monitoring regime was not suited to quantify this. Approximately 5-10 days after hatching,
143 we collected a small (~10 μ L) sample of blood from nestlings that was stored in 1 mL lysis

144 buffer at 4°C until parentage analysis. During the nestling feeding period, broods were
145 observed from a distance of approximately 10-40 m using binoculars and telescopes; we
146 considered adults to be social parents of a focal brood when they provisioned the nestlings
147 with food.

148

149 Parentage analysis

150

151 Genomic DNA was extracted from blood using the QIAamp 96 Blood Kit (Qiagen, Hilden,
152 Germany) and from tissue using the Maxwell 16 Tissue DNA Purification Kit (Promega,
153 Madison, WI, USA) following the manufacturers' protocols. Sex of adult birds was
154 determined using the universal primers P2 and P8 (Griffiths et al. 1998). Genetic parentage
155 was determined based on genotyping at five polymorphic autosomal microsatellite loci plus
156 one Z-linked microsatellite locus (Table 1). All primers were combined into a single
157 multiplex polymerase chain reaction (PCR) run using fluorescently-labeled forward primers
158 and a multiplex PCR Kit (Qiagen). PCR products were separated on an ABI 3500xl Genetic
159 Analyzer (Applied Biosystems, Foster City, CA, USA) and allele sizes were assigned using
160 GENEMAPPER v5.0 software (Applied Biosystems). Marker polymorphism, exclusion
161 probabilities and informativity were calculated using GenAlEx v6.5 (Peakall and Smouse
162 2012); for results see Table 1. None of the loci deviated significantly ($\alpha < 0.05$) from Hardy-
163 Weinberg equilibrium; there was, however, indication of null alleles (i.e., non-amplifying
164 alleles) at one locus (*Ase64*; see Table 1). For the five autosomal markers, the combined
165 exclusion probability assuming the mother was known was 0.999 and the combined exclusion
166 probability assuming the mother was unknown was 0.990. Adding the Z-linked marker and
167 analysing males only, the combined exclusion probabilities were 0.999 and 0.992,

168 respectively, allowing reliable assignment of offspring paternity status as within-pair
169 offspring (WPO) *versus* extra-pair offspring (EPO).
170
171 Nestlings were considered WPO if their allele sizes matched those of the putative parents at
172 all loci or mismatched at a single locus (with either parent). Nestlings with two or more
173 mismatches with the putative father's alleles were considered EPO. There were 14 cases (all
174 at locus *Ase64*) with a single allelic mismatch between a nestling and one putative parent
175 (involving two different putative fathers and three different putative mothers). In all 14 cases
176 both offspring and putative parents were seemingly homozygous (for different alleles in
177 parents and offspring, respectively). Given the evidence for null alleles at locus *Ase64* (Table
178 1), we assumed these mismatches to be caused by null alleles and considered all 14 offspring
179 to have descended from their putative parents. Furthermore, in a brood of seven nestlings,
180 three nestlings mismatched both putative parents. The remaining four nestlings were genetic
181 offspring of the breeding pair observed providing parental care. The three nestlings could thus
182 result from intraspecific brood parasitism. However, we cannot rule out the possibility that the
183 female providing care at the nest had taken over a nest which already contained eggs from
184 another female due to e.g. partial depredation or desertion (all other brood sizes recorded
185 during our study ranged from three to six nestlings only). We therefore excluded these three
186 nestlings from all further analyses. We identified extra-pair sires among blood-sampled males
187 for only 21% of EPO and therefore refrained from comparisons of e.g. sperm traits between
188 social males and extra-pair sires.

189

190 Sperm morphology analysis

191

192 Approximately 3 μ L of solution from each sperm sample were transferred onto a standard
193 microscope slide and air-dried over-night. The slide was then carefully rinsed with distilled
194 water in order to remove dirt and salt crusts and air-dried again. Slides were subsequently
195 examined by light microscopy at 400 times magnification under light-field conditions using
196 an Olympus BX50 microscope and all pictures were taken by the same person (Renate Feist)
197 using a Canon EOS 600 digital camera. A micrometer scale was pictured for each sperm
198 sample immediately before slides were screened for spermatozoa that showed no obviously
199 artefactual morphology. Pictures of 20 intact spermatozoa per sperm sample were included
200 for further analysis, as measuring 20 spermatozoa has been shown to provide a sufficiently
201 precise estimate of a sample's mean sperm total length (Laskemoen et al. 2007). To ensure
202 blind measurements with respect to sperm sample identity, all samples were anonymised
203 before analysis by TS. Sperm head, midpiece and tail length were subsequently measured to a
204 precision of 0.01 μ m during a continuous measuring period by a single observer (Sonja
205 Schindler) using ImageJ 1.52a (Rasband 1997-2018). Sperm total length was calculated as the
206 sum of these components. On average 19.5 ± 1.5 (SD) spermatozoa were successfully
207 measured per sperm sample (in one and two samples, respectively, pictures of only 19 and 17
208 intact spermatozoa were available and in each of two further samples a single spermatozoon
209 could not be measured). All 331 spermatozoa were blindly measured twice to assess
210 measurement error via repeatability analysis (see below). The mean of the two measurements
211 was used for all subsequent analyses.

212

213 Statistical analysis

214

215 We used R 3.4.3 (R Core Team 2019) for all computations and the R package *rptR* (Stoffel et
216 al. 2017) to calculate the repeatability of sperm length measurements for repeated

217 measurements of the same individual spermatozoa based on linear mixed effects models and
218 including 95% confidence intervals (parametric bootstrapping, N = 10,000 replicates). We
219 fitted the grand mean of the respective trait as the only fixed effect and sperm identity as the
220 only random effect. Repeatabilities of measurements for sperm total length and sperm
221 sections were high with the exception of sperm head length (see Table 2). In an analogous
222 manner, in order to estimate variation in sperm total length between sperm samples, we fitted
223 the grand mean of sperm total length as the only fixed effect and sperm sample identity as the
224 only random effect.

225

226 We used generalised linear models (GLMs) with logit link and quasi-binomial errors to model
227 i) the probability that a brood contained at least one EPO and for ii) estimating the proportion
228 of EPO per brood (the latter using the R function *cbind* to create the independent variable as a
229 column-bind matrix of the number of EPO and the number of WPO, respectively).

230 Quasibinomial instead of binomial errors were assumed because inspection of dispersion
231 parameters indicated moderate to substantial overdispersion). We estimated the population-
232 level probability that a brood contained at least one EPO and population mean frequencies of
233 EPO per brood including corresponding 95% Wald confidence intervals by fitting the grand
234 mean as the only fixed effect.

235

236 To analyse the relationship between sperm traits and paternity loss, we *a priori* selected two
237 sperm traits shown to predict competitive fertilisation success in a passerine bird species
238 (sperm total length in the Zebra Finch *Taeniopygia guttata*, Bennison et al. (2015); and sperm
239 midpiece/total length ratio in the Tree Swallow *Tachycineta bicolor*, Laskemoen et al.
240 (2010)). No further sperm size traits or sperm proportions were tested for their effects on
241 paternity loss.

242

243 To quantify variation among sperm samples in mean sperm total length per sample we used

244 the coefficient of variation (CV) adjusted for small sample sizes as

245 $CV_{adj} = (1 + 1/4 N) \times (SD \times 100 / \text{mean})$ (Sokal and Rohlf 1995) with $N = 17$ (number of sperm

246 samples). Confidence intervals (95%) for the among-sample CV_{adj} were obtained by non-

247 parametric bootstrapping ($N = 10,000$ replicates).

248

249 **Results**

250 Patterns of extra-pair paternity

251 Across both study years, parentage data were obtained for a total of 123 nestlings from 28

252 broods of 28 different pairs. There were no allelic mismatches between nestlings and putative

253 mothers. The probability for a brood to contain at least one EPO was 46.4% (95% CI:

254 28.9%–64.9%). Overall, 34 out of 123 nestlings were not sired by the male providing parental

255 care (mean number of mismatches: 3.9; range: 2 to 6). On average 27.6% (95% CI:

256 16.8%–41.9%) nestlings per brood were EPO (Figure 1). There was no evidence for

257 differences between study years in either the probability for a brood to contain at least one

258 EPO (quasibinomial GLM, estimate \pm SE on logit scale: -0.68 ± 0.82 , $t = -0.37$, $p = 0.71$) or

259 the proportion of EPO per brood (-0.30 ± 0.70 , $t = -0.43$, $p = 0.67$).

260

261 Patterns of sperm morphological variation

262 Across both study years, morphometric data were obtained for 331 spermatozoa from 17

263 sperm samples of 17 different males. Patterns of variation in sperm length are detailed in

264 Supplementary Table S1; 59.3% (95% CI: 37.1%–73.3%) of the total phenotypic variation in

265 sperm total length was explained by differences between sperm samples of the 17 different

266 males (linear mixed effects model with sperm sample identity as random effect: $\chi^2 = 230$,

267 df = 1, $p < 0.001$, Figure 2). The among-sample coefficient of variation in mean sperm total
268 length per sperm sample was 2.70% (95% CI: 1.99%–3.17%).

269

270 Extra-pair paternity and sperm morphology

271 For 13 broods or males, respectively, paternity as well as sperm morphometric data were
272 available. We found no evidence for an effect of mean sperm total length or mean relative
273 midpiece length per sperm sample on paternity loss (i.e. proportion EPO per brood,
274 quasibinomial GLMs: estimate \pm SE on logit scale: 0.34 ± 0.26 , $t = 1.27$, $p = 0.23$, Figure 3a,
275 and -16.97 ± 21.00 , $t = -0.81$, $p = 0.44$, Figure 3b, respectively).

276

277 **Discussion**

278 In our study of the socially monogamous Fieldfare, we found that mating outside the social
279 pair bond must have occurred frequently. While our sample sizes of broods and nestlings
280 were relatively low (cf. Griffith et al. 2002) and accordingly confidence intervals around our
281 estimates relatively wide, our results nevertheless clearly show extra-pair paternity to be
282 common in the study population: Almost half of the females had extra-pair offspring in the
283 nest and more than one quarter of all offspring were not sired by the putative father providing
284 parental care. Our findings thus further corroborate that extra-pair mating is a common
285 alternative reproductive strategy in passerine birds. Together with previously reported data on
286 comparatively large relative testes size (Dunn et al. 2001), our study suggests a high level of
287 sperm competition in the Fieldfare.

288

289 In four broods, parentage analysis revealed that all nestlings had been sired by an extra-pair
290 male. The fact that both, the putative father and the putative mother, were identified while
291 provisioning nestlings with food and that the putative mothers in our study turned out to be

292 the genetic mothers in all cases, suggests that the observed cases of broods with 100% EPO
293 represented part of the spectrum of natural variation in EPP rates rather than misassignments
294 of putative fathers in the field.

295

296 According to a recent compilation of EPP studies in birds, the median frequency of EPO per
297 brood among 132 passerine species with a predominantly socially monogamous mating
298 system was 15.3% (data extracted from supplementary material of Biagolini-Jr. et al. 2017).
299 The frequency of EPO in the Fieldfare (27.6%, this study) is in the upper level of the
300 interquartile range (spanning 6.1%–30.6%) among these species. Compared with four
301 congeneric species for which the frequency of EPO ranged from 26.7% in the Common
302 Blackbird *T. merula* (Hesler 2009), 31.8% in the White-necked Thrush *T. albicollis*
303 (Biagolini-Jr. et al. 2016), 37.8% in the Clay-colored Thrush *T. grayi* (Stutchbury et al. 1998)
304 to 45.9% in the American Robin *T. migratorius* (Rowe and Weatherhead 2007), the frequency
305 of EPO in the Fieldfare was below the average level (i.e. 35.6%).

306

307 Sperm was obtained from a single sample per male and during the early nestling feeding
308 period; thus the question arises to what degree the variation in sperm length reported here
309 represents variation that is biologically relevant, particularly in an among-male context. The
310 regular occurrence of replacement clutches due to for example nest predation in our study
311 population (own observations) may select for full sperm functionality (and sperm
312 competitiveness) well beyond the mean peak fertility of females in the population
313 (unfortunately we do not have information on the frequency of true second clutches in the
314 study population). Furthermore, besides documented short-term repeatability of sperm total
315 length across different sperm samples of individual males (Sætre et al. 2018), two recent
316 studies, in Collared Flycatchers *Ficedula albicollis* (Edme et al. 2019) and Great Tits *Parus*

317 *major* (Schmoll et al. 2018), also revealed substantial repeatabilities of mean sperm total
318 length per sperm sample across multiple sperm samples of individual males which were
319 obtained early *versus* late in the reproductive season. These results suggest that inference with
320 respect to mean sperm total length per sperm sample based on sperm from a single
321 experimental ejaculate sampled in the nestling feeding period may be valid for among-male
322 comparisons *within* populations.

323

324 While sperm total length varied substantially among sperm samples of different males, neither
325 sperm total length nor relative midpiece length affected paternity loss. Although associations
326 between sperm traits and paternity success (which includes paternity loss in the own broods)
327 have been established in two passerine species (e.g., Laskemoen et al. 2010; Bennison et al.
328 2015), our results are in line with recent studies in for instance the Bluethroat *Luscinia*
329 *svecica* (Sætre et al. 2018) and House Wren *Troglodytes aedon* (Cramer et al. 2013) that also
330 found no association between sperm traits and paternity loss. One of the reasons for why we
331 failed to reveal an association between sperm traits and paternity success could arguably be
332 the low sample size and thus a lack of statistical power. However, results by Bennison et al.
333 (2015) for sperm total length and Laskemoen et al. (2010) for relative midpiece length led us
334 to expect negative effect signs (less EPO with increasing sperm total length and less EPO
335 with increasing relative midpiece size); but we found an opposite sign for the former analysis.
336 It appears likely that other (maybe behavioural) determinants are relevant in shaping paternity
337 success in the study population. It has for instance been shown that frequent copulations
338 during the peak of the female fertile period represent a male strategy for securing paternity
339 (e.g., Crowe et al. 2009). Further research is required to obtain basic knowledge about
340 copulatory and extra-pair mating behaviour in the Fieldfare.

341 Besides the specific reproductive ecology of the Fieldfare, our study also provides valuable
342 data for comparative analysis where studies that estimate both rates of EPP and the
343 reproductive traits of interest in the very same population are especially useful. Using a
344 comparative approach, Lifjeld et al. (2010) proposed a negative relationship between variation
345 in sperm length and the frequency of extra-paternity across passerine birds. More specifically,
346 Lifjeld et al. (2010) found that the among-sperm sample CV in mean sperm length per sperm
347 sample predicted the (arcsin-square root transformed) overall proportion of EPO (note that
348 Lifjeld et al. 2010 refer to this measure as between-male CV of mean sperm length).
349 Assuming significant repeatability of mean sperm total length per sperm sample across
350 multiple samples of the same males (as Lifjeld et al. (2010) did, and e.g. Schmoll et al. (2018)
351 and Edme et al. (2019) demonstrated), the Fieldfare (27.6% EPO; this study) falls only just
352 within the predicted 95% CI (5.9%–28.8%) for the frequency of EPO based on the regression
353 shown in Figure 2 in Lifjeld et al. (2010); only the raw data from Lifjeld et al. (2010) was
354 used to calculate the 95% CI, our estimate for the fieldfare was not included. As with three
355 other *Turdus* species included in Lifjeld et al. (2010), the predicted value of the frequency of
356 EPO in the Fieldfare (15.3% EPO) thus appears to be somewhat lower compared with the
357 actually observed frequency of EPO.

358

359 In conclusion, we here provide the first estimate of extra-pair paternity in the socially
360 monogamous Fieldfare. We found that extra-pair mating is a common reproductive strategy in
361 a central Norwegian population of Fieldfares with 27.6% of the offspring not sired by the
362 social father. We did not find support for the hypothesis that sperm morphology affects
363 paternity loss among 13 males.

364

365 **Acknowledgements** We are grateful to Øyvind L. Arnekleiv for assistance with fieldwork,
366 Roar Morten Graff for allowing us to work on his property, Renate Feist for sperm
367 photography and Sonja Schindler for sperm morphometry. Thanks to Peter Korsten for
368 comments on an earlier version of this manuscript. TS benefitted from discussions within the
369 Collaborative Research Center TRR 212 (NC³) funded by the Deutsche
370 Forschungsgemeinschaft (DFG, German Research Foundation) - Projektnummer 316099922 -
371 TRR 212. Permits to capture, handle and ring the birds were issued by the Norwegian
372 Directorate for Nature Management to OK (A-license 1082), AF (C-license 1539), MF (C-
373 license 1540), RTK (A-license 510) and JEØ (A-license 666). Permits to colour band and
374 sample blood and semen were approved by the Norwegian Animal Research Authority
375 (permit 12088). Financial support was received from the Norwegian Institute for Nature
376 Research (NINA) and Nord University.

377

378 **References**

- 379 Arnold KE, Owens IPF (2002) Extra-pair paternity and egg dumping in birds: life history,
380 parental care and the risk of retaliation. *Proc R Soc B* 269 (1497):1263-1269
- 381 Bennison C, Hemmings H, Slate J, Birkhead TR (2015) Long sperm fertilize more eggs in a
382 bird. *Proc R Soc B* 282 (1799):20141897. doi:10.1098/rspb.2014.1897
- 383 Biagolini-Jr. C, Costa MC, Perrella DF, Zima PVQ, Ribeiro-Silva L, Francisco MR (2016)
384 Extra-pair paternity in a neotropical rainforest songbird, the white-necked thrush
385 *Turdus albicollis* (Aves: Turdidae). *Zoologia* 33 (4). doi:10.1590/S1984-4689zool-
386 20160068
- 387 Biagolini-Jr. C, Westneat DF, Francisco MR (2017) Does habitat structural complexity
388 influence the frequency of extra-pair paternity in birds? *Behav Ecol Sociobiol* 71
389 (7):101. doi:10.1007/s00265-017-2329-x

390 Birkhead TR, Hosken DJ, Pitnick S (eds) (2009) Sperm biology: an evolutionary perspective.
391 Academic Press, Oxford, UK.

392 Bonier F, Eikenaar C, Martin PR, Moore IT (2014) Extrapair paternity rates vary with latitude
393 and elevation in Emberizid sparrows. *Am Nat* 183 (1):54-61. doi:10.1086/674130

394 Briskie JV, Montgomerie R (1992) Sperm size and sperm competition in birds. *Proc R Soc B*
395 247 (1319):89-95

396 Calhim S, Immler S, Birkhead TR (2007) Postcopulatory sexual selection is associated with
397 reduced variation in sperm morphology. *PLoS ONE* 2 (5):e413

398 Cramer EA, Laskemoen T, Kleven O, LaBarbera K, Lovette I, Lifjeld J (2013) No evidence
399 that sperm morphology predicts paternity success in wild house wrens. *Behav Ecol*
400 *Sociobiol* 67 (11):1845-1853. doi:10.1007/s00265-013-1594-6

401 Cramp S (ed) (1988) Handbook of the birds of Europe, the Middle East and North Africa: the
402 birds of the western Palearctic. Vol. V: tyrant flycatchers to thrushes. Oxford
403 University Press, New York.

404 Crowe SA, Kleven O, Delmore KE, Laskemoen T, Nocera JJ, Lifjeld JT, Robertson RJ
405 (2009) Paternity assurance through frequent copulations in a wild passerine with
406 intense sperm competition. *Anim Behav* 77:183-187

407 Dawson D, Bird S, Horsburgh G, Ball A (2015) Autosomal and Z-linked microsatellite
408 markers enhanced for cross-species utility and assessed in a range of birds, including
409 species of conservation concern. *Conserv Genet Resour* 7 (4):881-886.
410 doi:10.1007/s12686-015-0495-6

411 Dunn PO, Whittingham LA, Pitcher TE (2001) Mating systems, sperm competition, and the
412 evolution of sexual dimorphism in birds. *Evolution* 55 (1):161-175

413 Eberhard WG (1996) Female control: sexual selection by cryptic female choice. Princeton
414 University Press, Princeton, NJ

415 Edme A, Zobač P, Korsten P, Albrecht T, Schmoll T, Krist M (2019) Moderate heritability
416 and low evolvability of sperm morphology in a species with high risk of sperm
417 competition, the collared flycatcher *Ficedula albicollis*. J Evol Biol 32 (3):205-217.
418 doi:doi:10.1111/jeb.13404

419 Forstmeier W, Nakagawa S, Griffith SC, Kempnaers B (2014) Female extra-pair mating:
420 adaptation or genetic constraint? Trends Ecol Evol 29 (8):456-464.
421 doi:<https://doi.org/10.1016/j.tree.2014.05.005>

422 Garcia-Del-Rey E, Kleven O, Lifjeld JT (2012) Extrapair paternity in insular African blue tits
423 *Cyanistes teneriffae* is no less frequent than in continental Eurasian blue tits *Cyanistes*
424 *caeruleus*. Ibis 154 (4):862-867. doi:10.1111/j.1474-919X.2012.01241.x

425 Griffith SC, Owens IPF, Thuman KA (2002) Extra pair paternity in birds: a review of
426 interspecific variation and adaptive function. Mol Ecol 11 (11):2195-2212

427 Griffiths R, Double MC, Orr K, Dawson RJG (1998) A DNA test to sex most birds. Mol Ecol
428 7 (8):1071-1075

429 Hesler M (2009) Song complexity in common blackbirds-an honest signal of male quality?
430 Ph.D. thesis, University of Copenhagen, Copenhagen

431 Immler S, Calhim S, Birkhead TR (2008) Increased postcopulatory sexual selection reduces
432 the intramale variation in sperm design. Evolution 62 (6):1538-1543

433 Kempnaers B, Schlicht E (2010) Extra-pair behaviour. In: Kappeler P (ed) Animal
434 Behaviour: Evolution and Mechanisms. Springer Berlin Heidelberg, Berlin,
435 Heidelberg

436 Kleven O, Fossøy F, Laskemoen T, Robertson RJ, Rudolfson G, Lifjeld JT (2009)
437 Comparative evidence for the evolution of sperm swimming speed by sperm
438 competition and female sperm storage duration in passerine birds. Evolution 63
439 (9):2466-2473. doi:10.1111/j.1558-5646.2009.00725.x

440 Kleven O, Laskemoen T, Fossøy F, Robertson RJ, Lifjeld JT (2008) Intraspecific variation in
441 sperm length is negatively related to sperm competition in passerine birds. *Evolution*
442 62 (2):494-499

443 Laskemoen T, Albrecht T, Bonisoli-Alquati A, Cepak J, de Lope F, Hermosell I, Johannessen
444 LE, Kleven O, Marzal A, Mousseau TA, Møller AP, Robertson RJ, Rudolfsen G,
445 Saino N, Vortman Y, Lifjeld JT (2013a) Variation in sperm morphometry and sperm
446 competition among barn swallow (*Hirundo rustica*) populations. *Behav Ecol*
447 *Sociobiol* 67 (2):301-309. doi:10.1007/s00265-012-1450-0

448 Laskemoen T, Kleven O, Fossøy F, Lifjeld JT (2007) Intraspecific variation in sperm length
449 in two passerine species, the bluethroat *Luscinia svecica* and the willow warbler
450 *Phylloscopus trochilus*. *Ornis Fenn* 84:131-139

451 Laskemoen T, Kleven O, Fossøy F, Robertson RJ, Rudolfsen G, Lifjeld JT (2010) Sperm
452 quantity and quality effects on fertilization success in a highly promiscuous passerine,
453 the tree swallow *Tachycineta bicolor*. *Behav Ecol Sociobiol* 64 (9):1473-1483.
454 doi:10.1007/s00265-010-0962-8

455 Laskemoen T, Kleven O, Johannessen LE, Fossøy F, Robertson RJ, Lifjeld JT (2013b)
456 Repeatability of sperm size and motility within and between seasons in the barn
457 swallow (*Hirundo rustica*). *J Ornithol* 154 (4):955-963. doi:10.1007/s10336-013-
458 0961-4

459 Lifjeld JT, Laskemoen T, Kleven O, Albrecht T, Robertson RJ (2010) Sperm length variation
460 as a predictor of extrapair paternity in passerine birds. *PLoS ONE* 5 (10):e13456

461 Lüpold S, Birkhead T, Westneat D (2012) Seasonal variation in ejaculate traits of male red-
462 winged blackbirds (*Agelaius phoeniceus*). *Behav Ecol Sociobiol* 66 (12):1607-1617.
463 doi:10.1007/s00265-012-1415-3

464 Lüpold S, Linz GM, Birkhead TR (2009) Sperm design and variation in the New World
465 blackbirds (Icteridae). Behav Ecol Sociobiol 63 (6):899-909. doi:10.1007/s00265-009-
466 0733-6

467 Lüpold S, Westneat DF, Birkhead TR (2011) Geographical variation in sperm morphology in
468 the red-winged blackbird (*Agelaius phoeniceus*). Evol Ecol 25 (2):373-390.
469 doi:10.1007/s10682-010-9410-5

470 McDonald DB, Potts WK (1994) Cooperative display and relatedness among males in a lek-
471 mating bird. Science 266 (5187):1030-1032

472 Møller AP, Briskie JV (1995) Extra-pair paternity, sperm competition and the evolution of
473 testis size in birds. Behav Ecol Sociobiol 36 (5):357-365

474 Otter K, Ratcliffe L, Michaud D, Boag PT (1998) Do female black-capped chickadees prefer
475 high-ranking males as extra-pair partners? Behav Ecol Sociobiol 43 (1):25-36

476 Parker GA (1970) Sperm competition and its evolutionary consequences in the insects. Biol
477 Rev 45:525-567

478 Peakall R, Smouse PE (2012) GenAlEx 6.5: genetic analysis in Excel. Population genetic
479 software for teaching and research - an update. Bioinformatics 28 (19):2537-2539.
480 doi:10.1093/bioinformatics/bts460

481 Petrie M, Kempenaers B (1998) Extra-pair paternity in birds: explaining variation between
482 species and populations. Trends Ecol Evol 13 (2):52-58

483 R Core Team (2019) R: A language and environment for statistical computing. R Foundation
484 for Statistical Computing, Vienna, Austria. URL <https://www.R-project.org/>

485 Rasband WS (1997-2018) ImageJ. U. S. National Institutes of Health, Bethesda, Maryland,
486 USA, URL <http://imagej.nih.gov/ij/>

487 Richardson DS, Jury FL, Dawson DA, Salgueiro P, Komdeur J, Burke T (2000) Fifty
488 Seychelles warbler (*Acrocephalus sechellensis*) microsatellite loci polymorphic in

489 Sylviidae species and their cross-species amplification in other passerine birds. *Mol*
490 *Ecol* 9 (12):2226-2231

491 Rowe KMC, Weatherhead PJ (2007) Social and ecological factors affecting paternity
492 allocation in American robins with overlapping broods. *Behav Ecol Sociobiol* 61
493 (8):1283-1291

494 Schmoll T, Kleven O (2011) Sperm dimensions differ between two coal tit *Periparus ater*
495 populations. *J Ornithol* 152:515-520

496 Schmoll T, Kleven O, Rusche M (2018) Individual phenotypic plasticity explains seasonal
497 variation in sperm morphology in a passerine bird. *Evol Ecol Res* 19:561-574

498 Schmoll T, Sanciprian R, Kleven O (2016) No evidence for effects of formalin storage
499 duration or solvent medium exposure on avian sperm morphology. *J Ornithol* 157
500 (2):647-652. doi:10.1007/s10336-015-1321-3

501 Seutin G, White BN, Boag PT (1991) Preservation of avian blood and tissue samples for
502 DNA analyses. *Can J Zool* 69 (1):82-90

503 Slate J, Hale MC, Birkhead TR (2007) Simple sequence repeats in zebra finch (*Taeniopygia*
504 *guttata*) expressed sequence tags: a new resource for evolutionary genetic studies of
505 passerines. *BMC Genomics* 8. doi:10.1186/1471-2164-8-52

506 Sokal RR, Rohlf FJ (1995) *Biometry: the principles and practice of statistics in biological*
507 *research*. 3d edn. Freeman, New York

508 Stoffel MA, Nakagawa S, Schielzeth H (2017) rptR: repeatability estimation and variance
509 decomposition by generalized linear mixed-effects models. *Methods Ecol Evol* 8
510 (11):1639-1644. doi:doi:10.1111/2041-210X.12797

511 Stutchbury BJM, Morton ES, Piper WH (1998) Extra-pair mating system of a synchronously
512 breeding tropical songbird. *J Avian Biol* 29 (1):72-78

513 Støstad HN, Johnsen A, Lifjeld JT, Rowe M (2018) Sperm head morphology is associated
514 with sperm swimming speed: A comparative study of songbirds using electron
515 microscopy. *Evolution* 72 (9):1918-1932. doi:doi:10.1111/evo.13555
516 Sætre CLC, Johnsen A, Stensrud E, Cramer ERA (2018) Sperm morphology, sperm motility
517 and paternity success in the bluethroat (*Luscinia svecica*). *PLoS ONE* 13
518 (3):e0192644. doi:10.1371/journal.pone.0192644
519 van Oosterhout C, Hutchinson WF, Wills DPM, Shipley P (2004) MICRO-CHECKER:
520 software for identifying and correcting genotyping errors in microsatellite data. *Mol*
521 *Ecol Notes* 4 (3):535-538. doi:10.1111/j.1471-8286.2004.00684.x
522

523 **TABLES**524 **Table 1** Characteristics of microsatellite markers used for parentage analysis and molecular sex determination in the Fieldfare *Turdus pilaris*.

525

Locus	Reference	<i>n</i>	#A	Allele size range (bp)	<i>H</i> _O	<i>H</i> _E	<i>P</i> _{HWE}	<i>Freq</i> _{NULL}	<i>P</i> _{1p}	<i>P</i> _{2p}
Ase40	Richardson et al. (2000)	77	8	219–233	0.61	0.68	0.81	0.039	0.46	0.28
Ase64	Richardson et al. (2000)	77	27	373–437	0.86	0.93	0.25	0.035	0.85	0.74
Ltmr6	McDonald and Potts (1994)	77	16	209–253	0.87	0.88	0.13	0.007	0.77	0.62
Pat MP 2-43	Otter et al. (1998)	77	22	138–180	0.92	0.92	0.77	−0.003	0.84	0.72
Tgu06	Slate et al. (2007)	77	14	175–188	0.81	0.83	0.96	0.014	0.67	0.50
Z-054 ¹	Dawson et al. (2015)	39	9	264–280	0.82	0.76	0.95	−0.033	0.57	0.39
P2/P8	Griffiths et al. (1998)	39♂	1	351	0	0				
		38♀	2	351; 388	1	1				

526

527 n , number of presumably unrelated adult individuals; #A, number of alleles, bp, base-pairs; H_O , observed heterozygosity; H_E , expected
528 heterozygosity; P_{HWE} , probability of deviation from Hardy-Weinberg equilibrium; $Freq_{NULL}$, estimated frequency of null alleles according to the
529 Brookfield method implemented in MICRO-CHECKER (van Oosterhout et al. 2004) with numbers in bold indicating evidence (due to general
530 excess of homozygotes for most allele size classes) for null alleles; P_{1p} ; exclusion probability assuming the mother was known; P_{2p} ; exclusion
531 probability assuming the mother was unknown. ¹Z-054 is Z-chromosome-linked and therefore marker polymorphism was calculated for males
532 only. Marker polymorphism and deviation from Hardy-Weinberg equilibrium was calculated using GenAlEx v6.5 (Peakall and Smouse 2012).

533 **Table 2** Repeatability for repeated measurements of sperm total length and the sperm
 534 components head, midpiece and tail length. All 331 spermatozoa originating from 17 sperm
 535 samples of 17 different Fieldfare males were blindly measured twice. Using the R package
 536 *rptr* (Stoffel et al. 2017), repeatabilities and 95% confidence intervals (CI) obtained by
 537 parametric bootstrapping were estimated based on linear mixed effects models with the grand
 538 mean as the only fixed effect and sperm sample identity as the only random effect.
 539

Trait	Repeatability	95% CI	χ^2	df	P
Total length	0.974	0.968–0.979	981	1	<0.001
Head length	0.661	0.596–0.717	190	1	<0.001
Midpiece length	0.952	0.941–0.961	785	1	<0.001
Tail length	0.971	0.965–0.977	949	1	<0.001

540

541 **FIGURE LEGENDS**

542 **Fig. 1** Frequency distribution of the proportion of extra-pair offspring per brood for N = 28
543 broods of 28 different Fieldfare pairs.

544

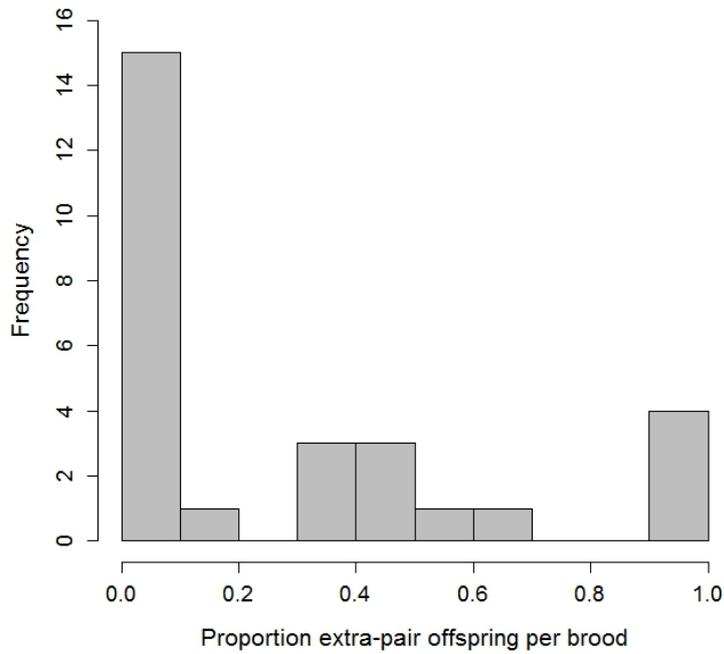
545 **Fig. 2** Variation in sperm total length within *versus* among 17 sperm samples obtained from
546 17 different Fieldfare males ($N = 19.5 \pm 1.5$ spermatozoa per sample). Plots show medians,
547 interquartile range (box) and data within 1.5 times the interquartile range (whiskers).

548

549 **Fig. 3** Relationship between a) mean sperm total length (\pm SE) and b) mean relative midpiece
550 (\pm SE) size per sperm sample and paternity loss measured as the proportion extra-pair
551 offspring for 13 Fieldfare males.

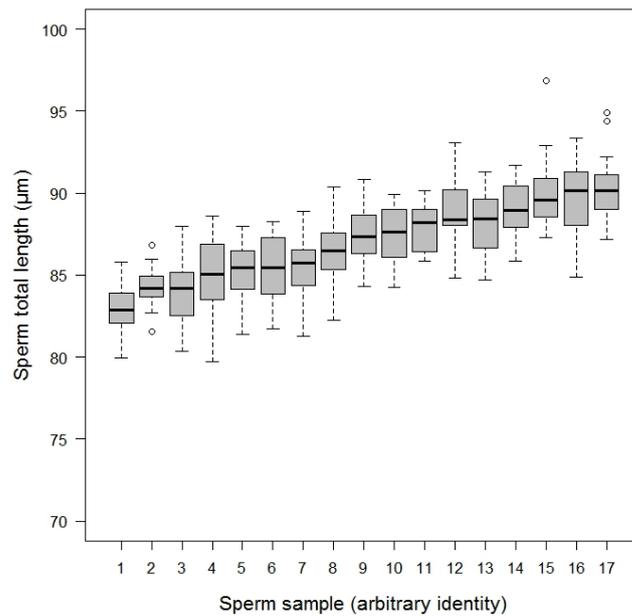
552 **FIGURES**

553 **Figure 1**

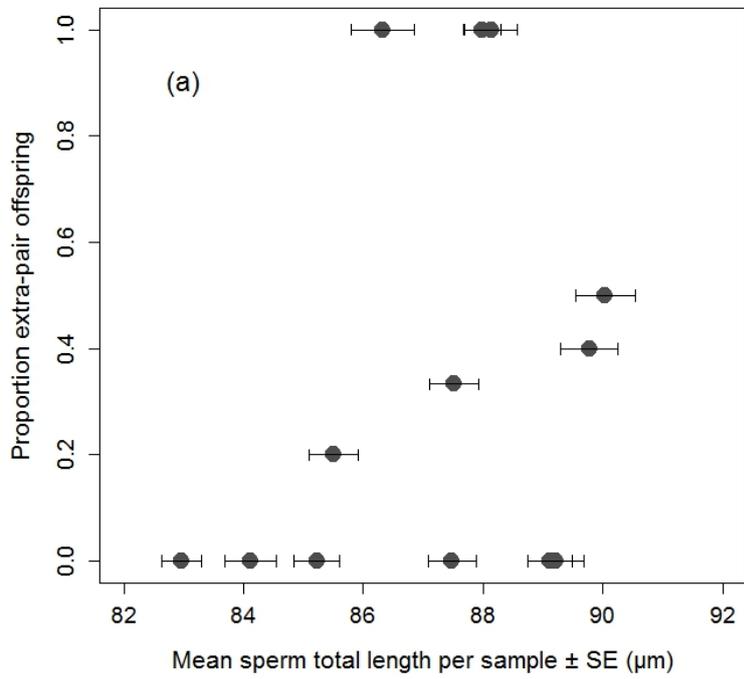


554

555 **Figure 2**



556



558

559

560

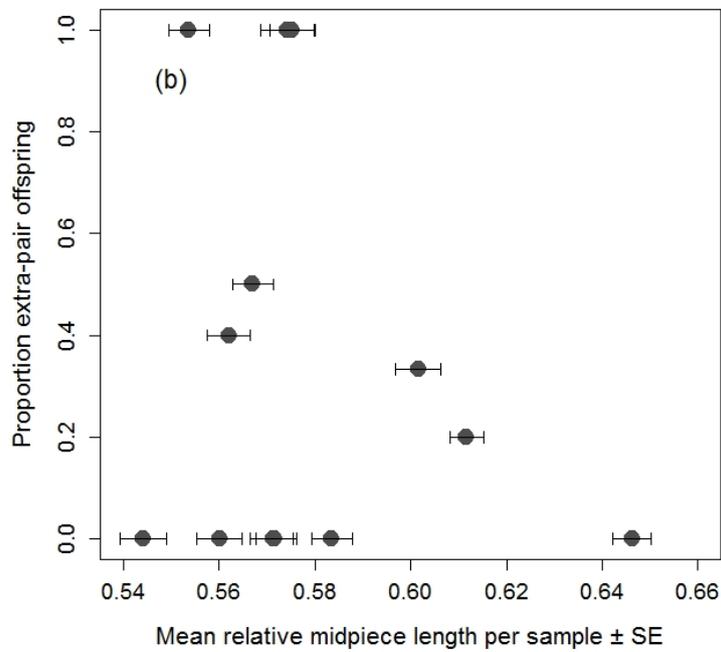
561

562

563

564

565



566 **Supplementary Table S1** Sperm morphometrics for N = 331 spermatozoa originating from
567 17 sperm samples obtained from 17 different male Fieldfares sampled in a Norwegian
568 population during the early nestling feeding period. Descriptive statistics are given for
569 population-wide estimates (*Population*, i.e. not accounting for sperm sample identity) as well
570 as based on mean values per sperm sample (*Sample means*).

571

Trait	Level of analysis	Mean \pm SD (μm)	Range (μm)
Total length	Population	87.0 ± 2.9	79.7 – 96.8
	Sample means	87.0 ± 2.3	83.0 – 90.4
Head length	Population	12.7 ± 0.5	11.2 – 15.5
	Sample means	12.7 ± 0.5	12.4 – 13.5
Midpiece length	Population	50.2 ± 2.4	42.4 – 56.2
	Sample means	50.2 ± 1.8	47.1 – 53.6
Tail length	Population	24.1 ± 3.3	14.7 – 30.9
	Sample means	24.1 ± 2.7	16.8 – 27.1

572