



Relationship between marine growth and sea survival of two anadromous salmonid fish species

Journal:	<i>Canadian Journal of Fisheries and Aquatic Sciences</i>
Manuscript ID	Draft
Manuscript Type:	Article
Date Submitted by the Author:	n/a
Complete List of Authors:	Jensen, Arne; Norwegian Institute for Nature Research, Finstad, Bengt; Norwegian Institute for Nature Research Fiske, Peder; Norwegian Institute for Nature Research (NINA), Aquatic Department Forseth, Torbjorn; Norwegian Institute for Nature Research, Rikardsen, Audun; Norwegian Institute for Nature Research Ugedal, Ola; Norwegian Institute for Nature Research NINA
Keyword:	MARINE < Environment/Habitat, ECOLOGY < General, GROWTH < General, SURVIVAL < General, TIME SERIES ANALYSIS < General

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15 Running head: Marine growth and survival of char and trout

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20 **Abstract**

21 This study found empirical evidence supporting the “growth-survival” paradigm in
22 the marine phase of Arctic char (*Salvelinus alpinus*) and brown trout (*Salmo trutta*). The
23 paradigm postulates that larger or faster-growing individuals are more likely to survive than
24 smaller or slower-growing conspecifics. The study employed long-term (25-year) capture
25 data from a trap in the River Halselva in Norway during annual migration between marine
26 and freshwater environments. Similar results were found for both species. Growth during the
27 sea sojourn and return rates were positively correlated, linking increased survival with
28 growth. Specific growth rate, survival, and duration of the sea sojourn of first-time migrants
29 were correlated, suggesting that common environmental conditions at sea influence annual
30 fish productivity. Freshwater and sea temperatures affected migration timing, whereas annual
31 variation in marine growth and survival did not correlate with temperatures. This suggests
32 that other factors such as variation in energy intake was the main source of annual growth
33 variations. Moreover, the marine growth rate of the two species may signal annual overall
34 fjord ecosystem production, especially related to their main prey.

35

36 Key words: ecology, growth, marine, survival, time series analysis

37 Introduction

38 The “growth-survival” paradigm is influential in the study of marine-fish recruitment
39 dynamics (Ottersen and Loeng 2000; Houde 2008; Pepin et al. 2015); it postulates that size,
40 growth rate, or both factors during early life are positively correlated to survival (Anderson
41 1988). Despite widespread acceptance, little field evidence exists for this hypothesis in larger
42 and older stages of marine fishes. However, some results from studies of anadromous
43 salmonids suggest that a positive relationship between growth and survival may exist during
44 the marine life stage (Friedland et al. 2009). In long-term tagging studies with two Atlantic
45 salmon (*Salmo salar*) populations in the North Sea, positive correlations were found between
46 growth of post-smolts (i.e., during the first summer at sea) and sea temperature conditions,
47 and high growth led to higher return rates (Friedland et al. 2000). Further, analyses of spacing
48 between scale circuli of coho salmon (*Oncorhynchus kisutch*) indicated that reduced early
49 marine growth was associated with lower marine survival (Beamish et al. 2004), and similar
50 results have been found for pink salmon (*Oncorhynchus gorbuscha*) (Holtby et al. 1990;
51 Moss et al. 2005). Moreover, retrospective analyses of circuli spacing from long-term scale-
52 sample data established relationships between post-smolt growth and sea surface
53 temperatures (SST) in two European Atlantic salmon populations, again linking growth to
54 abundance and survival (Peyronnet et al. 2007; McCarthy et al. 2008).

55 Indirect estimates of growth through fish-scale analysis may be influenced by both
56 estimation and sampling biases (Francis 1990), and more direct analyses of growth and
57 survival may provide stronger evidence. Anadromous species, like Arctic char (*Salvelinus*
58 *alpinus*) and brown trout (*Salmo trutta*), conduct the bulk of their life-time growth over some
59 months during summer at sea, through annual, local migrations to coastal areas near their
60 natal river (Klemetsen et al. 2003; Eldøy et al. 2015; Jensen et al. 2015). Subsequently, with
61 the exception of some pure riverine populations, most individuals are expected to return,

62 overwinter and spawn in fresh water (Jensen and Rikardsen 2008; Jensen et al. 2014; Jensen
63 et al. 2015). Therefore, the brown trout and the Arctic char are more suited than other
64 migratory marine fish for studying relationships between growth and survival across different
65 life-history stages; both variables can be recorded easily at their main feeding habitat, via
66 trapping and enumerating most of the population during their biannual migrations (Elliott
67 1994; Rikardsen and Elliott 2000; Jensen et al. 2015).

68 The main objective of this study was to test the hypothesis that marine growth
69 mediates the survival of brown trout and Arctic char. We examined data from a 25-year
70 mark-and-release project at the River Halselva in northern Norway. Additionally, we
71 investigated whether climate affected the growth rate, duration of the first sea journey, as
72 well as timing of descent and ascent to the traps.

74 **Materials and methods**

75 **Study area**

76 The Hals watercourse (70°2'N, 22°57'E) in the Arctic region of Norway has a catchment area
77 of 143 km² and drains into the Alta Fjord (Fig. 1). Approximately 20 km of the watercourse
78 is accessible to anadromous salmonids (Arctic char, brown trout, and Atlantic salmon),
79 including a 1.2-km² lake located 2.1 km inland and 30 m above sea level (Lake Storvatn, Fig.
80 1). Both bodies of water are ice-covered from December to March or April, a period
81 characterised by low water flow. A pronounced increase in flow occurs during the snow-
82 melting period (May–June), followed by a decrease during July–August, yielding a mean
83 annual flow of 4.3 m³·s⁻¹. The River Halselva empties directly into the sea without any
84 distinct estuary, resulting in limited freshwater areas for fish to overwinter downstream of the
85 fish traps (see below). Minimum temperature in the River Halselva is around 0°C during the
86 ice-covered period, then rises steadily until reaching a maximum temperature of

87 approximately 13°C in early August. Respectively, minimum and maximum sea temperatures
88 are approximately 2.5°C in late March and 11°C during late July–early August.

89

90 **Fish sampling**

91 During 1987–2012, fish were sampled via permanent fish traps placed 200 m
92 upstream from the sea: Wolf traps (Wolf 1951) (apertures 10 mm, inclination 1:10) for
93 descending fish and fixed box traps for ascending fish. All passing fish larger than 10 cm
94 were trapped; the Arctic char and the brown trout were predominant in the watercourse, but
95 Atlantic salmon and European eels (*Anguilla anguilla*) were also present. The traps operated
96 during the ice-free period and were emptied twice per day (at 8:00 and 20:00) to record
97 morphological data before release. Body length (natural tip length L , in mm) and mass (M , in
98 g) were measured for all fish, and sex and sexual maturation was determined with external
99 inspection of all fish excluding first-time migrants.

100 The present study included Carlin-tagged (Carlin 1955), 18–28-cm smolts of brown
101 trout ($n = 12,682$) and Arctic char ($n = 10,232$) that migrated to sea before 1 August during
102 1988–2012. Individuals migrating after 1 August (6.1% of brown trout and 1.7% of Arctic
103 char) were omitted because the proportion of parr increased in autumn. Data for the cohorts
104 that migrated during 1990–1993 were also excluded from analyses due to extensive sea-
105 ranching experiments on Arctic char.

106 In general, smolts of Arctic char migrate before brown trout, with median dates of
107 descent of 25 June and 4 July, respectively, although some smolts of both species leave the
108 river throughout most of the ice-free period of the year (Jensen et al. 2012). The annual
109 descent of naturally produced Arctic char and brown trout smolts were, respectively, 500–
110 3600 (mean = 1350) and 300–1400 (mean = 950) (Jensen et al. 2012).

111 For both species, survival rate was defined as the return rate of smolts to the trap, an
112 important early signal of overall cohort survival (Jensen et al. 2015).

113 The standardized mass-specific growth rate (Ω , % day⁻¹) was used to eliminate the
114 effect of growth rate differences in initial body sizes (Sigourney et al. 2008; Finstad et al.
115 2011; Forseth et al. 2011), and was estimated as (Ostrovsky 1995):

$$116 \quad \Omega = 100 * (M_I^b - M_0^b) / (t_I - t_0) * b \quad (1),$$

117 where M_0 is smolt body mass at descent from the river, M_I is the body mass at ascent in the
118 same year, t_0 is the date of descent, t_I is the date of ascent, $t_I - t_0$ is the duration at sea, and b is
119 the allometric mass exponent for the specific growth rate and body mass relationship (0.31
120 for brown trout, Elliott et al. (1995); the same value is in the present paper used for Arctic
121 char).

122

123 **Environmental data**

124 Temperatures in the River Halselva and the Alta Fjord were measured every 4 hours
125 during 1987–1998 and every hour during 1999–2012 with temperature loggers. Tagging
126 experiments with data storage tags indicated that the Hals stock of both Arctic char and
127 brown trout spent more than 90% of their time at 0-3 m depth at sea (Rikardsen et al. 2007a).
128 Thus, sea temperatures considered representative of both species' marine habitat were taken
129 at a depth of 3 m, approximately 100 m from shore and 300 m north of the river outlet.

130

131 **Statistical analyses**

132 Statistical analyses were carried out using SPSS version 23, with Pearson's
133 correlation, analysis of variance (ANOVA), linear regression, and pairwise t-tests.

134

135

136 Results

137 Growth and survival

138 Although no significant temporal trends were detected during the 25-year period
139 regarding duration of the first sea sojourn, standardized mass-specific growth rate (Ω),
140 seasonal mass increment, or return rate for any of the two species (Fig. 2, ANOVA tests,
141 $P > 0.05$), all these factors were significantly and positively correlated between the two species
142 during their first sea sojourn (Fig. 3).

143 For both species, significant correlations were found between standardized mass-
144 specific growth rate and return rate (Fig. 4), as well as between mass increase and return rate
145 (Fig. 5), clearly linking increased survival with growth.

146 The first sea sojourn (\pm SE) lasted considerably longer for brown trout (mean $55.7 \pm$
147 1.0 days) than for Arctic char (34.4 ± 1.4 days), with between-year variations of 47.3 – 64.0
148 days and 23.8 – 44.6 days for the former and the latter, respectively (Fig. 2a, Fig. 3a).

149 Based on the standardized mass-specific growth rate, brown trout grew faster
150 (pairwise t -test, $t = 3.39$, d.f. = 20, $P = 0.003$) during their first sea sojourn (mean $8.51 \pm$
151 0.28% day⁻¹) than Arctic char (mean $7.60 \pm 0.41\%$ day⁻¹).

152 The mass increment during the first sea sojourn was considerably higher for brown
153 trout than for Arctic char, mainly because the sea sojourn lasted longer in the former. The
154 mean mass increment of brown trout was 152.7 ± 6.4 g, with a between-year variation of
155 103.0 – 198.4 g (Fig. 2c, Fig. 3c). For Arctic char, the mean mass increment was 71.2 ± 5.6 g
156 (variation: 31.8 – 114.6 g) (Fig. 2c, Fig. 3c).

157 A higher proportion of Arctic char than brown trout returned to the River Halselva
158 during same summer of migration to sea as smolts (Fig. 2d, Fig. 3d). The mean return rate of
159 Arctic char was $32.5 \pm 2.7\%$ (between-year variation: 16.4 – 58.3%), while that of brown trout
160 was $20.3 \pm 2.0\%$ (variation: 8.2 – 37.0%).

161 Duration of the first sea sojourn was significantly related to mass increase during this
162 period for both species (Fig. 6b). However, no significant relationship existed between the
163 duration of the first sea sojourn and standardized mass-specific growth rate (Fig. 6a) or return
164 rate (Fig. 6c).

165

166 **Environmental correlates**

167 The timing of the seaward migration was negatively correlated with mean river
168 temperature in June, although this relationship was not significant for brown trout (Fig. 7). A
169 significant negative relationship existed in both species between the mean duration of first
170 sea sojourn and average sea temperatures during August in the Alta Fjord: the first sea
171 sojourn was among the shortest in years with very high average temperatures (Fig. 8).
172 However, no significant relationship was detected between the median date of ascent and
173 Alta Fjord temperatures (Pearson correlation, $p > 0.05$).

174 Neither species exhibited a significant relationship in their standardized mass-specific
175 growth rate or return rate and Alta Fjord temperatures (Pearson correlation, $p > 0.05$). It
176 should be noted that the among year variation in sea temperatures during the sea sojourn was
177 small (CV brown trout 7.8 %, CV Arctic char 8.5 %).

178

179 **Discussion**

180 The present study demonstrates that marine growth and survival are positively
181 correlated in first-time migrants of Arctic char and brown trout, in accordance with other
182 studies suggesting a link between increased growth rate of post-smolts and high sea survival
183 of salmonid fishes (Friedland et al. 2000; Beamish et al. 2004; Peyronnet et al. 2007;
184 Friedland et al. 2009). However, these previous studies were performed on species that
185 remained over one year at sea before returning to their natal rivers, and most (but see

186 Friedland et al. 2000) was based on back-calculation of growth from scales. Furthermore,
187 their marine feeding areas were partly unknown. Here, we were able to address the
188 uncertainties that may have affected most earlier work: we calculated growth directly via
189 measuring individual lengths and mass during both ascent and descent journeys, had precise
190 data on migration and return dates and detailed information on marine feeding areas (Finstad
191 and Heggberget 1993; Jensen et al. 2014).

192 Brown trout and Arctic char were similar in patterns of annual marine growth and
193 survival, as well as duration of the first sea sojourn, suggesting that common marine
194 environmental conditions influence the production of both fishes. Biotic factors (e.g., prey
195 availability, predators, and parasites) and abiotic factors (e.g., sea temperature) could
196 combine to influence annual variation in fish growth and survival. However, sea temperatures
197 during the sea sojourn showed small among year variation and was not correlated with
198 growth of either species, leaving biotic factors as most likely explanations for variation in
199 growth. Most (~80%) sea fishery recoveries of individually tagged brown trout and Arctic
200 char from the River Halselva has been recorded within 30 km from the river mouth (Finstad
201 and Heggberget 1993). Moreover, a recent electronic (acoustic) tagging study on individuals
202 of both species (from the same populations as the present study) confirmed that most fish
203 feed within the fjord system (Jensen et al. 2014).

204 Although many factors may affect fish growth, water temperature, fish size, and
205 energy intake (ration size, prey availability) are generally considered the most important
206 variables (Brett et al. 1969). In the present study, standardized mass-specific growth rate (Ω)
207 was used to account for the effects of differences in initial body sizes on growth rate. For
208 both Arctic char and brown trout, Alta Fjord temperatures were always lower than the
209 optimal temperature for growth at maximum rations obtained in fresh water experiments
210 (Jonsson et al. 2001; Larsson et al. 2005), and hence positive correlations between sea

211 temperature and marine growth was expected. However, no such a relationship was found,
212 potentially due to the relatively small among variation in temperatures during the sea sojourn.
213 By elimination, this suggests that energy intake (or prey availability) was the main factor
214 affecting annual growth variations in this study.

215 Regardless of environment, Arctic char and brown trout are opportunistic feeders
216 (Elliott 1994; 1997; Rikardsen et al. 2000; Klemetsen et al. 2003; Rikardsen and Amundsen
217 2005). Although subtle differences exist in their behaviour, at sea both species commonly
218 feed in shallow areas near the shore (Johnson 1980; Rikardsen et al. 2007b; Jensen et al.
219 2014), and spend > 90% of their time at 0-3 m depth (Rikardsen et al. 2007a). Indeed,
220 variation in prey abundance appear to predict growth in both salmonids. Our growth rate data
221 saw fluctuations similar to reports from Rikardsen et al. (2007b) describing the densities of
222 herring larvae. Studies examining marine feeding in the Hals populations of brown trout and
223 Arctic char revealed that herring larvae (*Clupea harengus*) dominated the total fish diet of
224 both species, but the Arctic char diet also included considerable amounts of juvenile gadoids
225 and sandlance (*Ammodytes* spp.). The same study concluded that brown trout and Arctic char
226 diets may overlap considerably when fish larvae are superabundant in northern fjords, but
227 vary when fish larvae (especially herring) densities are low. In support, fluctuating densities
228 of 0+ year herring larvae during 1992–1993 (low) and 2000–2004 (3–25× higher)
229 corresponded well with the stomach contents of brown trout and Arctic char in the Alta Fjord
230 (Rikardsen et al. 2007b). The present growth rate data from similar time frames (Fig. 2) also
231 corresponded with the reported herring densities (Arctic char: 3.9-5.5 % day⁻¹ in 1992-1993
232 and 6.3-10.4 % day⁻¹ in 2000-2004, respectively; brown trout: 6.8-8.2 % day⁻¹ in 1992-1993
233 and 7.6-10.8 % day⁻¹ in 2000-2004, respectively, A.J. Jensen unpubl. data). Sea-ranching
234 experiments with hatchery reared Arctic char in the first period may, however, have affected
235 growth of naturally produced fish negatively.

236 For both species, surrounding water temperature affected the timing of descent to the
237 sea (river temperatures) and the duration of the first sea sojourn (fjord temperatures). In
238 northern Norway, most of the increases to Arctic char mass and feeding occurred within the
239 first 2–3 weeks of their sea migration, and decreased throughout the summer (Berg and Berg
240 1989; Rikardsen et al. 2000). Rikardsen et al. (2000) suggested that this may have been due
241 to extensive feeding on the energy rich copepod *Calanus finmarchicus* and krill *Thysanoessa*
242 sp. At the beginning of their migration. *Calanus finmarchicus* is assumed to be a key species
243 in marine ecosystems and often represents over 90% of the total zooplankton biomass in
244 northern and arctic areas, but the window of availability for preying on *C. finmarchicus* is
245 only 4–8 weeks during early summer (Tande 1991; Halvorsen and Tande 1999). High *C.*
246 *finmarchicus* densities lead to increased fish larval growth rates and in turn, high prey
247 abundance for anadromous fish (Rikardsen and Dempson 2011). Earlier sea migration of
248 smolts during warm years may be an adaptation to coincide with a correspondingly earlier
249 zooplankton bloom. Moreover, a late-summer reduction in food rations is considered more
250 energetically-taxing during warm rather than cold years. Combined with higher predation risk
251 at sea, the environmental conditions indicate that an early return to fresh water during the late
252 summers of warm years would likely be favourable for survival.

253 In conclusion, despite differences in foraging strategy and habitat use, brown trout
254 and Arctic char were significantly correlated in annual growth rate variation, sea sojourn
255 duration, and sea survival of first-time migrants. Because both species are opportunistic
256 feeders, they are good potential indicators of variability in marine ecosystem productivity, at
257 least on a local scale, and may be useful for assessing the environmental impact of
258 aquaculture, pollution, and other anthropogenic disturbances in coastal marine regions.

259

260

261 **Acknowledgements**

262 This study was financed by the Norwegian Environment Agency, Statkraft Energi AS, and
263 the Norwegian Institute for Nature Research (NINA). We are very grateful to the staff at the
264 Talvik Research Station for their invaluable assistance with traps in the River Halselva.

265

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391 **Figure captions**392 **Fig. 1.** Map of the study area.

393 **Fig. 2.** Data on brown trout (solid line) and Arctic char (broken line) from the River Halselva
394 during their first sea sojourn. Annual mean values of (a) days at sea, (b) standardized mass-
395 specific growth rate (Ω , % d⁻¹), (c) seasonal growth increment (g), and (d) return rate to the
396 river (%).

397 **Fig. 3.** Relationships between anadromous brown trout and Arctic char from the River
398 Halselva during their first sea sojourn. (a) Duration of the sea sojourn ($y = 0.875x - 14.4$, $r^2 = 0.392$, $F_{1,19} = 12.3$, $P = 0.002$), (b) standardized mass-specific growth rate (Ω , % d⁻¹) ($y = 1.14x - 2.08$, $r^2 = 0.585$, $F_{1,19} = 26.8$, $P < 0.001$), (c) growth increment (g) ($y = 0.587x - 18.4$, $r^2 = 0.448$, $F_{1,19} = 15.4$, $P < 0.001$), and (d) return rate (%) to the river ($y = 1.14x + 9.30$, $r^2 = 0.693$, $F_{1,19} = 43.0$, $P < 0.001$).

403 **Fig. 4.** The relationship between standardized mass-specific growth rate (Ω , % d⁻¹) and return
404 rate (%) of first-time migrants in (a) brown trout ($y = 4.07x - 14.3$, $r^2 = 0.323$, $F_{1,19} = 9.05$, $P = 0.007$) and (b) Arctic char ($y = 3.91x + 2.80$, $r^2 = 0.352$, $F_{1,19} = 10.31$, $P = 0.005$) from the
405 River Halselva.
406

407 **Fig. 5.** The relationship between growth increment (g) during the first sea sojourn and post-
408 sojourn return rate to the river for (c) brown trout (●, $y = 0.169x - 5.40$, $r^2 = 0.296$, $F_{1,19} = 8.01$, $P = 0.011$) and (d) Arctic char (○, $y = 0.291x - 11.8$, $r^2 = 0.362$, $F_{1,19} = 10.80$, $P = 0.004$) from the River Halselva.
410

411 **Fig. 6.** Relationships between duration of the first sea sojourn (days) of Arctic char (○) and
412 brown trout (●) and (a) standardized mass-specific growth rate (Ω , % d⁻¹) (Arctic char: $r^2 = 0.026$, $P > 0.05$, brown trout: $r^2 = 0.035$, $P > 0.05$), (b) growth increment in mass (g) (Arctic
413 char: $y = 2.87x - 27.4$, $r^2 = 0.465$, $F_{1,19} = 16.49$, $P = 0.001$; brown trout: $y = 3.89x - 63.5$, $r^2 = 0.465$, $F_{1,19} = 16.49$, $P = 0.001$);
414

415 = 0.338, $F_{1,19} = 9.70$, $P = 0.006$), and (c) post-sojourn return rate (%) to the River Halselva
416 (Arctic char: $r^2 = 0.126$, $P > 0.05$, brown trout: $r^2 = 0.020$, $P > 0.05$).

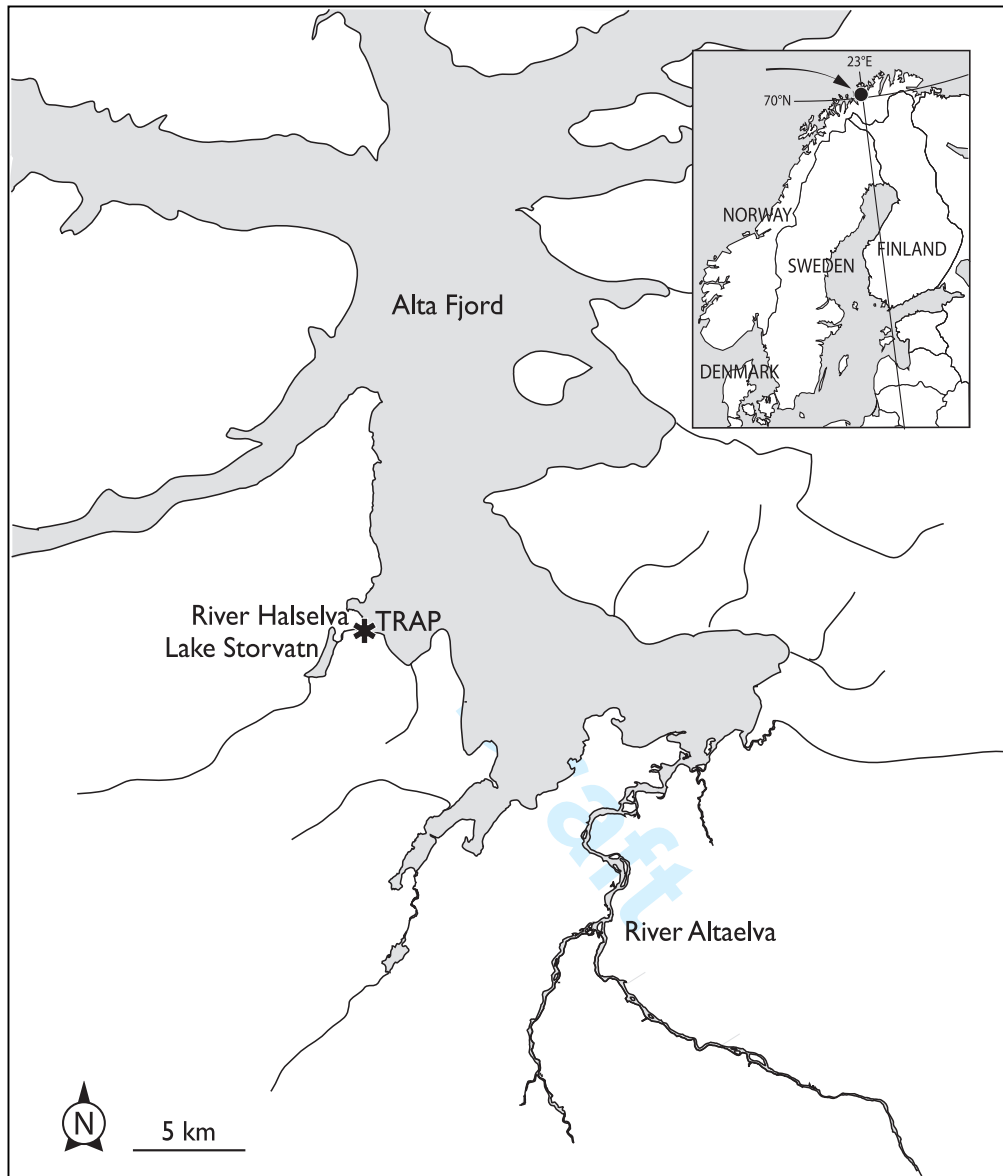
417 **Fig. 7.** Relationships between June mean temperature ($^{\circ}\text{C}$) in the River Halselva and the
418 median date of smolt descent for (a) brown trout ($y = -1.69x + 195$, $r^2 = 0.115$, $F_{1,21} = 2.74$, P
419 $= 0.113$) and (b) Arctic char ($y = -2.45x + 191$, $r^2 = 0.547$, $F_{1,21} = 25.32$, $P < 0.001$).

420 **Fig. 8.** Relationships between August mean sea temperature ($^{\circ}\text{C}$) in the Alta Fjord and
421 duration of the first sea sojourn for (a) brown trout ($y = -2.17x + 79.0$, $r^2 = 0.247$, $F_{1,17} =$
422 5.58 , $P = 0.030$) and (b) Arctic char ($y = -3.78x + 75.1$, $r^2 = 0.403$, $F_{1,17} = 11.47$, $P = 0.004$).

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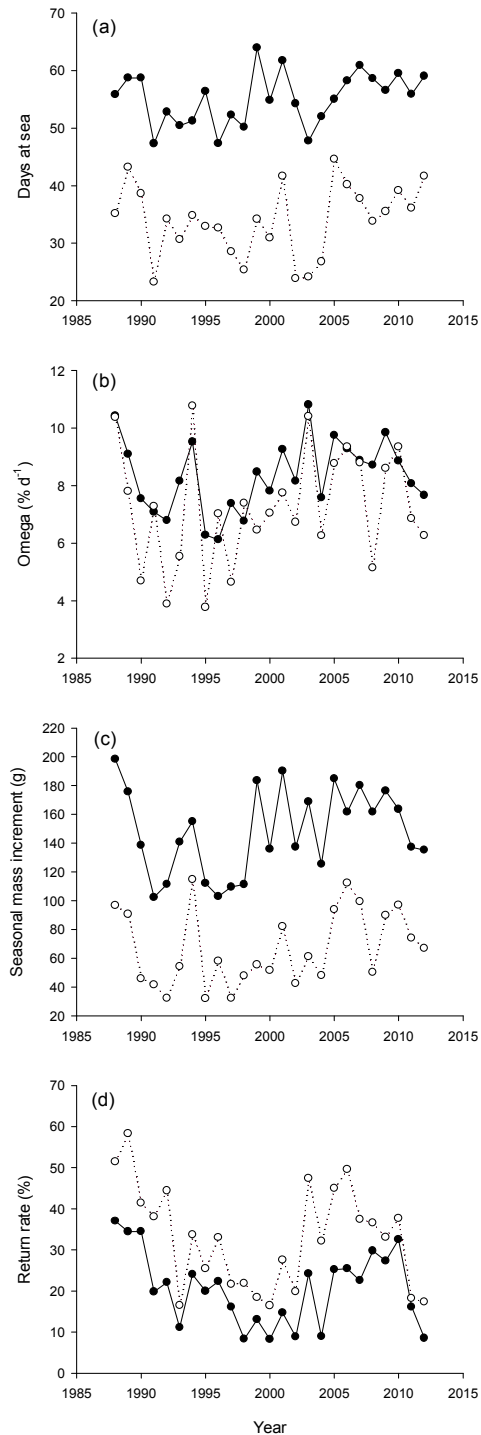


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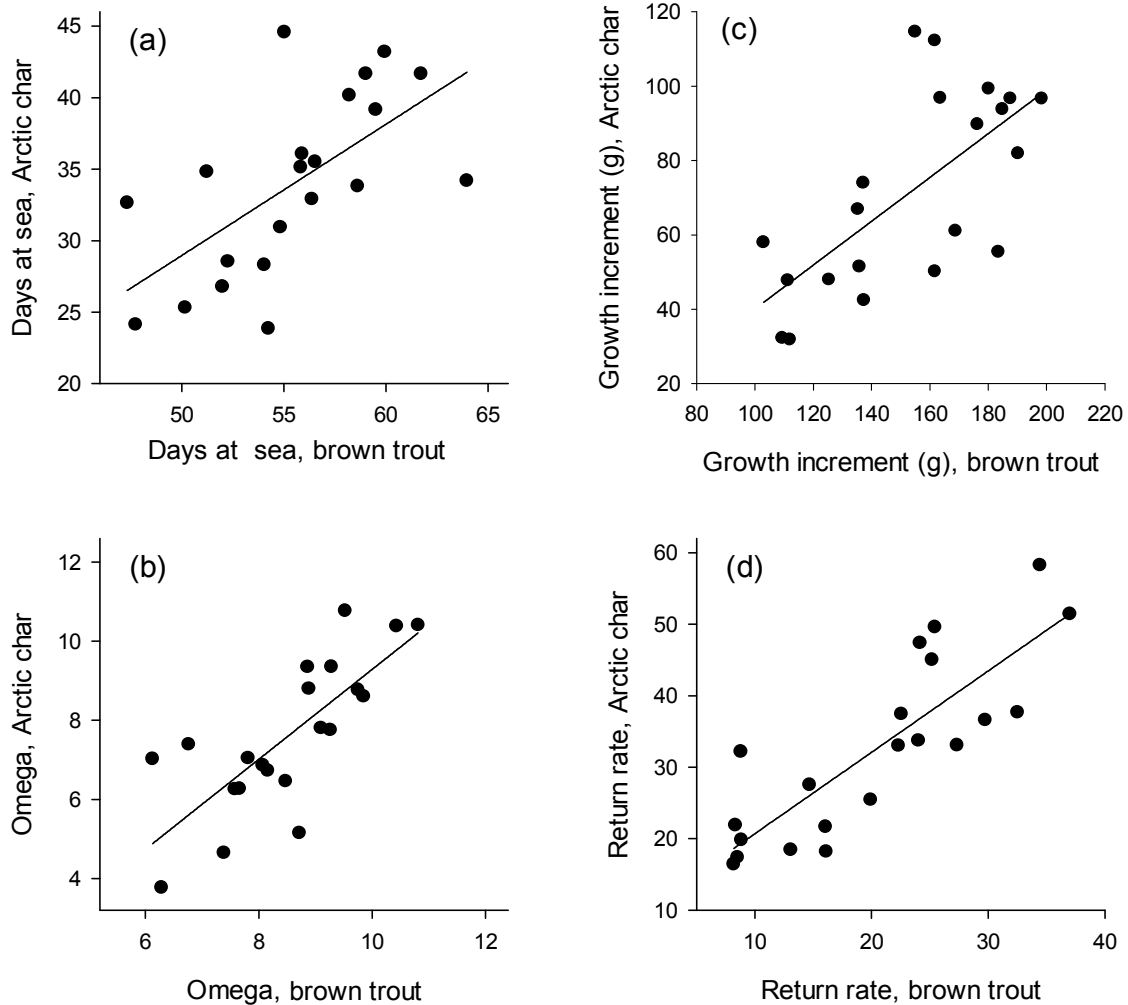
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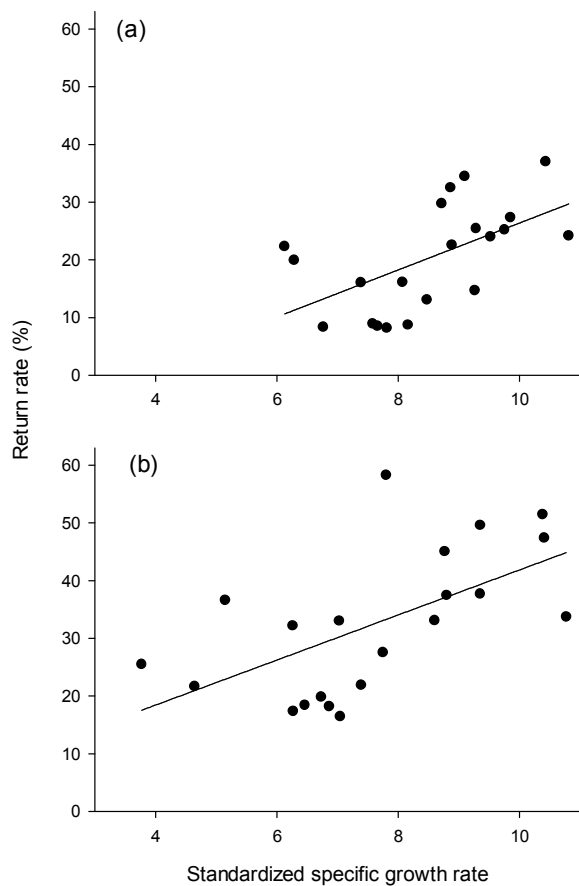
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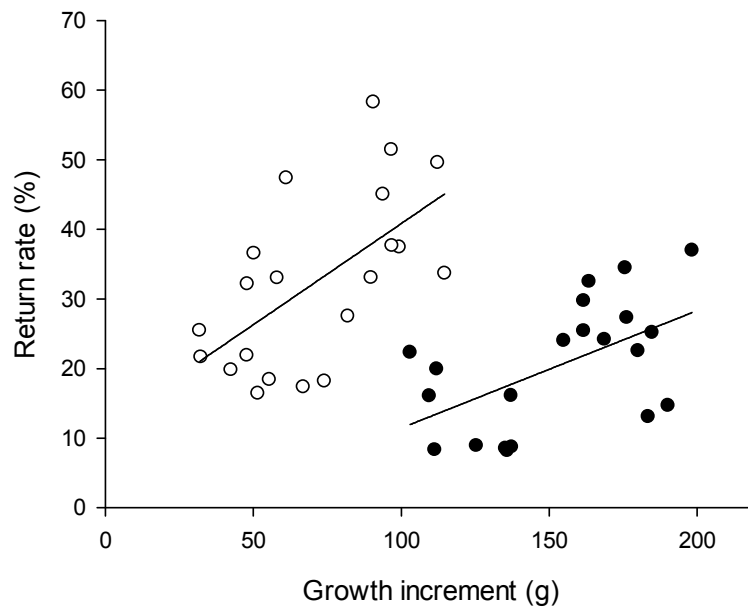
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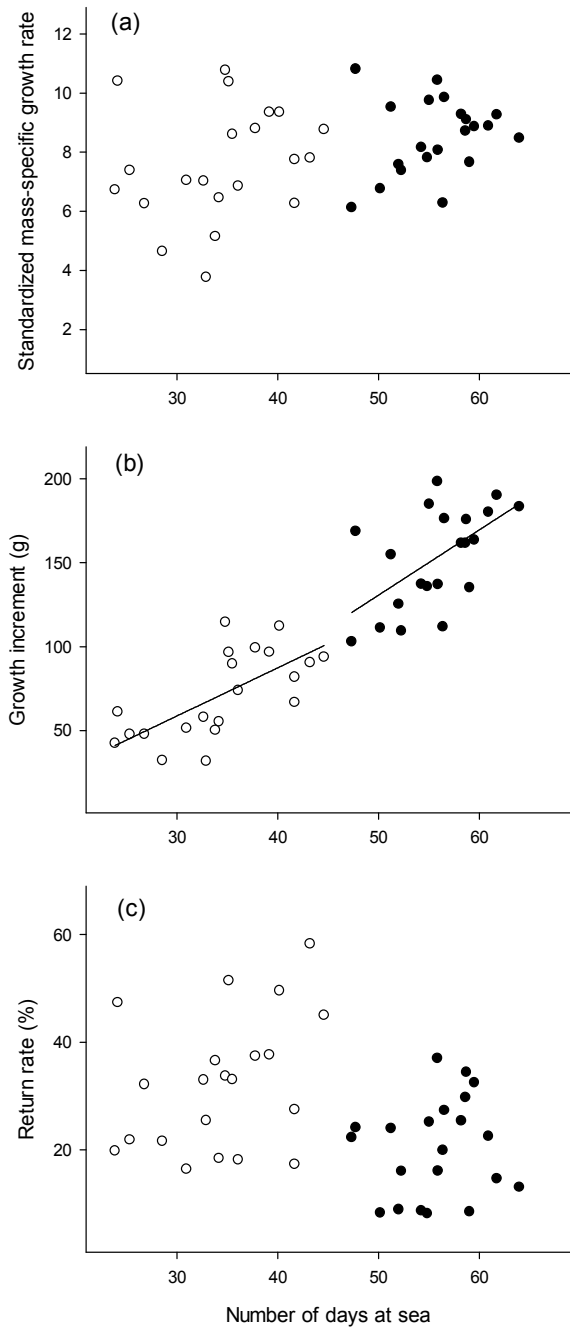
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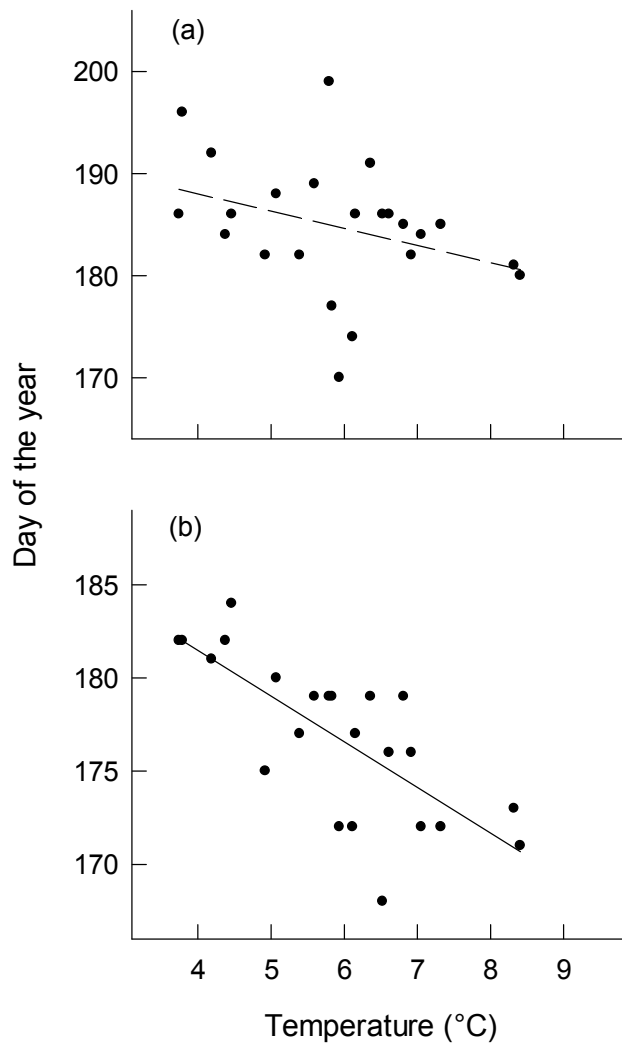


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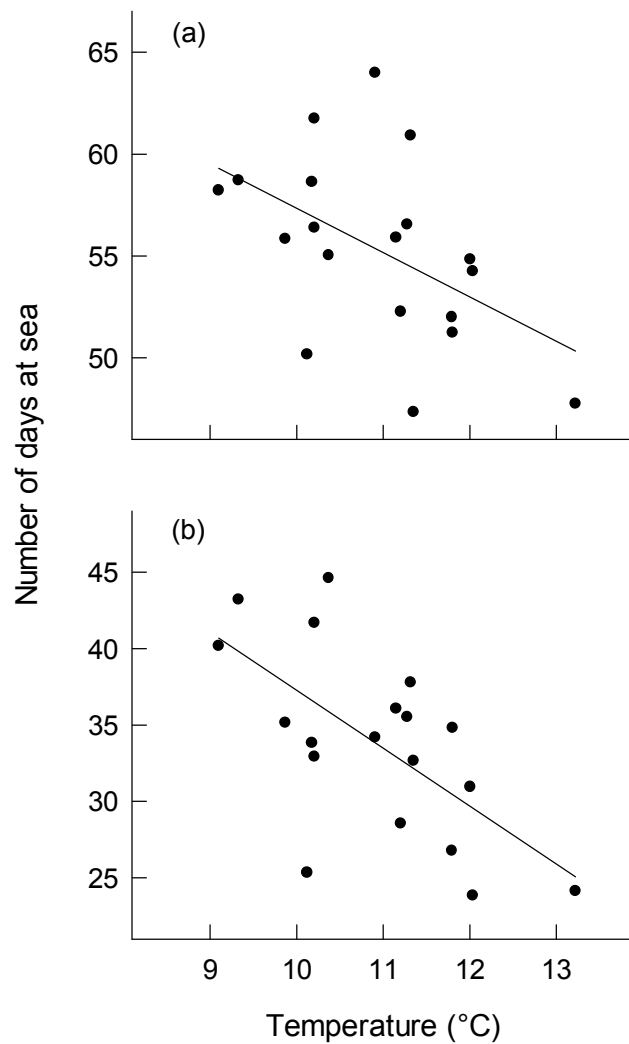
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