

Manuscript Details

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Abstract

Scenarios are important tools to facilitate the communication among scientists, practitioners, and decision-makers, and, thus to support policy and management decisions. The use of scenarios has an enormous potential to reduce ecosystem restoration costs and to optimize benefits, but this potential remains poorly explored. Here, we recommend and illustrate six best practices to guide the use of scenarios for planning native ecosystem restoration. We argue, first, for a participatory process to consider aspirations of multiple stakeholders along the whole scenario building process, from planning to implementation and review phases. Second, targeted restoration outcomes should be defined by key-actors (those who have direct interests in restoration) and directly involved stakeholders, within a clear socio-environmental context and under a well-defined problem statement, considering a broad range of nature and human benefits that can be derived from ecosystem restoration. Third, methodological choices, such as scenario types, spatial and temporal scales, drivers, restoration-related variables, and indicators, should be defined according to the multiple desired outcomes. Fourth, we encourage the consideration of the interactions among variables, within a spatially explicit, and temporally dynamic multi-criteria approach. Fifth, analysis and dissemination of scenario results should highlight the trade-offs and synergies among different restoration outcomes, identifying the scenarios that maximize benefits and minimize costs and resistance (i.e. the cost-effective and most feasible scenario) for multiple targets. Finally, promoting capacity building, through a wider consultation process including interaction with a broader group of stakeholders, is critical for the successful implementation and review of restoration interventions. Scenarios that support ecosystem restoration should follow an adaptive and iterative process, aiming to continuously improve restoration interventions and outcomes.

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Submission Files Included in this PDF

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Metzger_etal_rev#1_coverletterf.docx [Cover Letter]

Metzger_etal_rev#1_highlightsf.docx [Highlights]

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São Paulo, October 2, 2017

1
2
3 Dr. Owen Petchey,
4 Guest Editor
5 *Current Opinion in Environmental Sustainability*
6
7

8 Dear Dr. Petchey,
9

10 We greatly appreciate the careful review and constructive comments of the two
11 reviewers, and your own evaluation of our manuscript “Best practice for the use of
12 scenarios for restoration planning” (COSUST 2017_67), submitted to the Special Issue on
13 “Global Change and Biodiversity”. We now enclose a revised manuscript, in which we
14 have incorporated or responded to all comments.
15

16 The most important change in the revised version is the inclusion of several case studies
17 in the text and a new box to illustrate our best practices. We also deleted all reference to
18 our unpublished systematic review about restoration scenarios (Acosta et al.), and tried
19 to improve our figures. Overall, we have aimed to incorporate all reviewer suggestions,
20 and provided a detailed response (in blue) to each comment in the following pages.
21

22 We would be happy to undertake any further revisions necessary.
23

24 Sincerely,
25
26
27

28 Jean Paul Metzger (corresponding author) on the behalf of all co-authors
29

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35 **DETAIL RESPONSES to Editor and Reviewers**

36 **Editor (Owen Petchey)**

37 I have received two valuable, reasonable, relatively positive, and very prompt reviews of
38 your article. I believe that all the comments are important and valid, and could lead to a
39 significantly improved manuscript. I believe positive changes to the article in response
40 to all the comments will easily come to mind, but clarify one: Reliance on unpublished
41 works presents reviewers and readers considerable problems. They have no access to
42 that evidence, so cannot assess its support of the statements. It is also not possible to
43 assess the relationship between the two works (e.g. amount of overlap). (Please note
44 that I am not suggesting that the evidence is not supporting, or any problems with the
45 relationship between the two works.) Hence, please very carefully consider how your
46 article can be presented without referring to unpublished works. Or perhaps there is
47 some alternative solution, such as to make cited unpublished work openly available, for
48 example by publishing it on a preprint server.

49

50 *Reply from authors: We understand and agree with this difficulty, and so we decided to*
51 *omit all reference to the literature review developed by Acosta and colleagues. In the*
52 *main text, we deleted half of a paragraph in the introduction, and we also excluded*
53 *(former) box 1.*

54

55 I left the deadline for revision as the system default (17.9.2017). Please let us know if
56 and when we can expect the revision not then.

57 Best wishes

58 Owen

59

60 **-Reviewer 1**

61 The authors state that the goal of the paper is to “Here, we provide guidelines for the use
62 of scenarios for ecosystem restoration planning that can facilitate and stimulate their
63 implementation and optimize restoration actions in the context of the ambitious global
64 restoration commitments planned for the coming decades.” The authors clearly outline a
65 set of principles for planning. I strongly concur with the authors about the need to have
66 more systematic and inclusive planning process that include a diverse group of
67 stakeholders who consider specific outcomes and trade-offs at large spatial scales.

68 However, the paper seemed like a lot of jargon to me without introducing something
69 new. For example, principle 1 is “the adoption of a participatory, transdisciplinary, and
70 adaptive management approach”. Calls for this type of a planning approach are
71 abundant in the restoration literature. I just came from the Society for Ecological
72 Restoration conference and there were many talks that called for or described planning
73 processes that were participatory. Even the authors note toward the end of the paper
74 that “Most of these suggestions are quite general and well-known in other management
75 practices”. So, I had a hard time identifying what was new in this paper. But perhaps
76 that's acceptable since these are supposed to be review papers. The glossary was helpful
77 in defining the various terms.

78

79 *Reply from authors: From the systematic literature review developed by Acosta et al.*
80 *(unpublished data) we identified a gap in the use of scenarios for restoration planning.*
81 *We argue here that scenarios can be useful tools to improve restoration benefits and*
82 *efficiency, and with this manuscript we aim to stimulate the use of restoration scenarios,*
83 *suggesting six best practices. We recognize that individually most of those best practices*

84 are already well-known in other management activities, applied sciences or even for
85 restoration without the use of scenarios. Some of these have already been used for
86 restoration scenarios (see the new box 2 for examples), but as far as we know, no one
87 has put them together in a systematic and organized framework for the use of scenarios
88 for restoration. All authors considered this as an original contribution, as stated in the
89 introduction. In addition, we changed the approach of the paper from a more theoretical
90 set of best practices to an illustrative case of best practices in planning restoration
91 scenarios, as suggested by the reviewer in the next comment.
92

93 I kept waiting for the authors to provide a single example to illustrate how this has been
94 successfully used in a specific system and potential challenges. The challenge is always
95 to operationalize complex ideas proposed by primarily academics in a real world
96 setting. The process described is fairly vague and seems cumbersome. Of course, I agree
97 that identifying all drivers of degradation and conducting spatially explicit planning are
98 important, but getting many stakeholders to participate in that sort of process is
99 challenging. This paper would be much more convincing and novel if the authors could
100 illustrate the process and what was learned from the process through a case study. For
101 example see- Lazos-Chavero et al. 2016. Stakeholders and tropical reforestation:
102 challenges, trade-offs, and strategies in dynamic environments. Biotropica 48:900-914
103 where they describe principles of a participatory process and illustrate the process with
104 a case study to make it more tractable to the reader.
105

106 *Reply from authors:* We fully agree with the reviewer that our first version of the
107 manuscript was more theoretical and general, with few illustrations of how the
108 suggested practices can be applied in real situations. In this new version, we cited
109 several examples in the text, and developed a new box highlighting three case studies
110 that applied some of our best practices. We restrict our examples to the ones that
111 consider scenarios for restoration, and not for the ones that considered restoration
112 activities without the use of scenarios. With these modifications, we consider that our
113 manuscript is now more clear and easy to be understood and incorporated into future
114 restoration scenarios. We thank the reviewer for this suggestion.
115

116 At multiple points in the paper, the authors refer to an in preparation paper “Acosta et
117 al.” that is never cited in the references. For example starting on line 65, the entire
118 paragraph reviews this paper, and Box 1 is also focused on describing the methods for
119 this paper. This is problematic since most journals don’t allow citation of papers unless
120 they are in press since the reader can’t refer to the source to evaluate the more detailed
121 information. It felt like the authors were leaving the concrete information in the current
122 manuscript for the Acosta paper and that maybe the two need to be combined. At any
123 rate, the authors need to rewrite the paper to exclude this reference if it isn’t accessible
124 to readers.
125

126 *Reply from authors:* We agree and excluded all reference to our systematic review
127 (Acosta et al. in prep.).
128

129 I didn’t find the figures that helpful. It seemed like the authors tried to put all the various
130 terms on one of the figures to show how they were linked. Figures should clarify ideas
131 (i.e. a good picture is worth 1000 words), but I spent more time trying to understand the
132 figures so they didn’t help much.
133

134 *Reply from authors:* We agree that the figures were not clear but believe the figures are
135 important elements to synthesize our proposed framework. Thus, in this new version,
136 we have tried to simplify and make them easier to be understood. Particularly, we
137 simplified the framework figure in box 1 and improved the presentation of figure 1.

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-Reviewer 2

This is a timely paper that calls attention to the importance of using modeling scenarios in decision making for restoration. The principles presented increase the effectiveness of scenarios and promote the participation of important stakeholders in the process of building and analyzing different restoration scenarios and identifying tradeoffs and potential conflicts. The manuscript focuses on ecological restoration as the approach used, but repeatedly mentions the importance of spatial issues and landscape planning. This left me a bit confused about whether landscape restoration would be a better choice for the approach used. For example, forest landscape restoration is the approach designated by the Bonn Challenge. To my mind, this is not a trivial issue and landscape restoration should be discussed in relation to scenario results and how these assist decision making with respect to the extent and location of planned interventions within landscapes.

Reply from authors: Indeed we think a spatial perspective, considering appropriate scales of analysis, is important and this is highlighted in principle #4 and in several examples (see text and box 2). On the other hand, some of the suggested principles are not directly related to a spatial or landscape approach (for example, principles # 1, 2 and 6), and a landscape restoration perspective is not really presented here (because this was not our aim). We understand that a landscape perspective is important, but our principles go beyond a landscape ecological restoration, and can be applied in other situations or perspectives, for example to plan local restoration actions. We thus prefer to maintain our text more general, including landscape restorations, but not being restricted to this situation.

The points are all very well made, but general statements have limited effectiveness in conveying them. More specific examples of scenarios would be useful to illustrate each principle. Examples of dialogue workshops (mentioned in lines 357-359) would also be useful. Where are these dialogues happening, and in what context?

Reply from authors: We agree and as mentioned previously, we included several examples along the text and in box 2. Specifically, dialogue workshops were illustrated by the work of Mitchell et al. (2015) (see box 2).

I suggest using a well developed example of a restoration scenario to illustrate specific points and principles.

Reply from authors: We agree and presented in more detail three case studies in box 2, illustrating all six best practices.

Also, some information on software tools for scenario building would be useful to include in an Appendix.

Reply from authors: Thank you for this comment. We decided to include the following supplementary material (which is quoted in the methodological principle #4):

After an extensive literature review (Acosta et al. unpublished data) on the use of scenarios in restoration, we did not find any comprehensive software tool for building scenarios. To build scenarios it is necessary to use *different types of data* (e.g. interviews, climate models, land use/land cover data), *multiple analyses* (e.g. algorithms, models), *obtained from diversified sources* (e.g. data gathered by

192 participatory approaches, or by satellites), and results can be *presented in many formats*
193 (e.g. graphs, maps, raster). As a result, it seems natural that the process of generating
194 scenarios for environmental restoration will need multiple and diversified
195 methodological approaches, as well as analytical tools.

196
197 In the absence of a comprehensive restoration scenario building software, here we
198 provide a few examples of software that can be used to create and model some of the
199 necessary inputs for building restoration scenarios. For example, FRAGSTAT is
200 frequently used to mathematically characterize landscape structure [1]. CONEFOR [2]
201 and Circuitscape [3] are suitable tools to evaluate connectivity. DYNAMICA-EGO [4] can
202 be used to simulate land use and land cover dynamics. Maxent [5] and DISMO [6] and
203 Biomod2 [7] are good examples of tools to evaluate environmental suitability or to map
204 potential species distributions. InVEST, ARIES, TESSA are tools to evaluate ecosystem
205 service provision (see a more comprehensive list and references in [8]). To read,
206 integrate, map, and plot all those analyses in a spatially explicit way, GIS platforms (e.g.
207 ArcGIS, DivaGIS, MapINFO, QGIS) and programming languages such as Python,
208 Mathematica, Matlab, S-PLUS, and R language are encouraged.

209
210 The development of restoration scenarios requires thus multiple data types and sources,
211 analyses, and models, which is only possible by integrating different software tools.

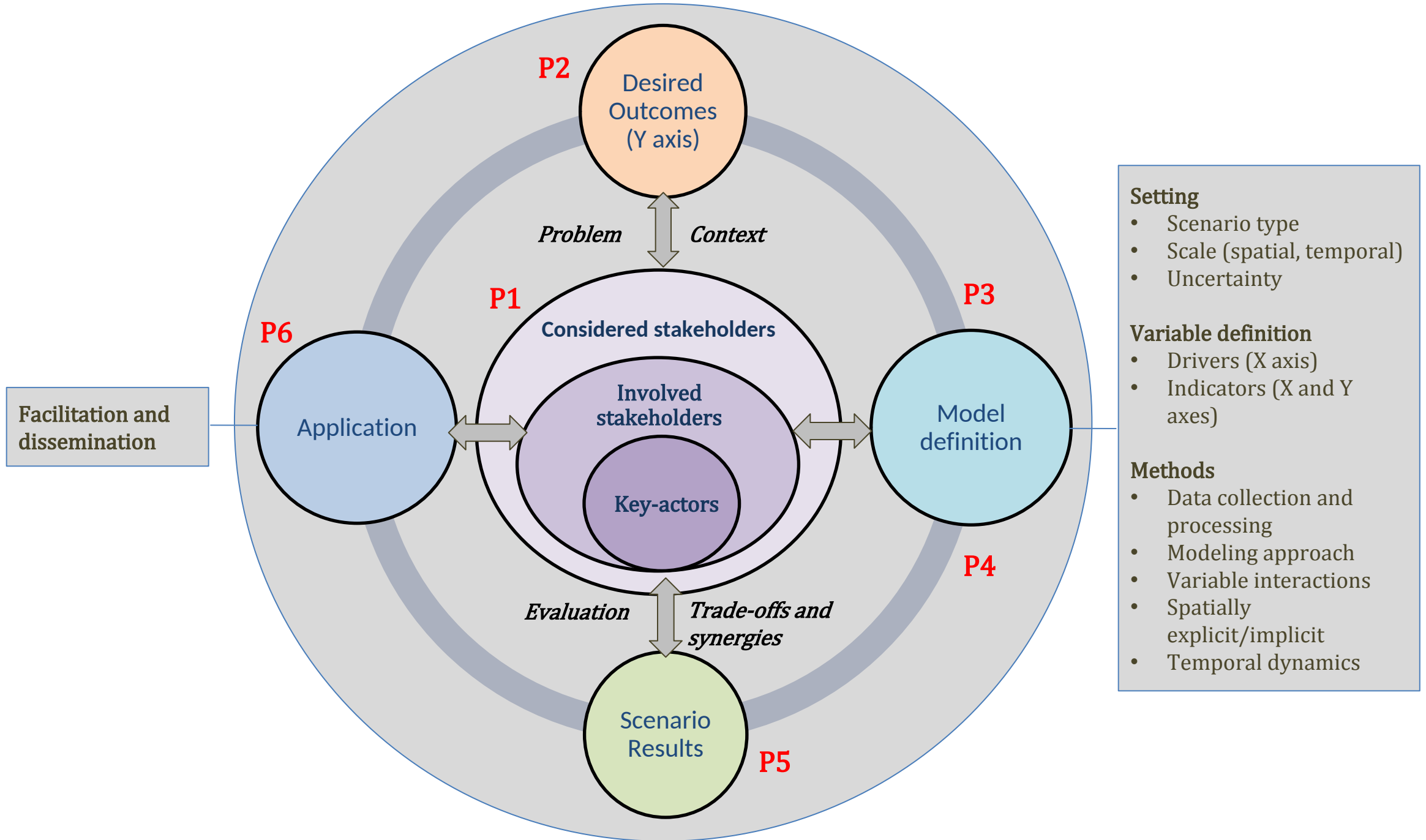
212 213 214 References

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240 Biodiversity and Ecosystem Services; 2016.

244 Thank you very much for this careful review and consideration. We appreciate all
245 Editor's and Reviewer's suggestions which were very helpful to substantially improve
246 the quality and clarity of the manuscript.
247
248

Highlights

- Scenarios are useful tools in improving ecosystem restoration cost-effectiveness and efficiency
- To be more effective, we propose a participatory, transdisciplinary, and adaptive management approach, where involvement of stakeholders is key throughout the whole process, from planning to implementation and review
- Scenarios supporting restoration need to follow an adaptive and iterative process, where synergies and trade-offs among different outcomes can be discussed within a spatially explicit and temporally dynamic multi-criteria approach.



Best practice for the use of scenarios for restoration planning

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1

2 **Abstract**

3 Scenarios are important tools to facilitate the communication among scientists,
4 practitioners, and decision-makers, and, thus to support policy and management
5 decisions. The use of scenarios has an enormous potential to reduce ecosystem
6 restoration costs and to optimize benefits, but this potential remains poorly explored.
7 Here, we recommend and illustrate six best practices to guide the use of scenarios for
8 planning native ecosystem restoration. We argue, *first*, for a participatory process to
9 consider aspirations of multiple stakeholders along the whole scenario building process,
10 from planning to implementation and review phases. *Second*, targeted restoration
11 outcomes should be defined by key-actors (those who have direct interests in
12 restoration) and directly involved stakeholders, within a clear socio-environmental
13 context and under a well-defined problem statement, considering a broad range of
14 nature and human benefits that can be derived from ecosystem restoration. *Third*,
15 methodological choices, such as scenario types, spatial and temporal scales, drivers,
16 restoration-related variables, and indicators, should be defined according to the
17 multiple desired outcomes. *Fourth*, we encourage the consideration of the interactions
18 among variables, within a spatially explicit, and temporally dynamic multi-criteria
19 approach. *Fifth*, analysis and dissemination of scenario results should highlight the
20 trade-offs and synergies among different restoration outcomes, identifying the scenarios
21 that maximize benefits and minimize costs and resistance (i.e. the cost-effective and
22 most feasible scenario) for multiple targets. *Finally*, promoting capacity building,
23 through a wider consultation process including interaction with a broader group of
24 stakeholders, is critical for the successful implementation and review of restoration
25 interventions. Scenarios that support ecosystem restoration should follow an adaptive
26 and iterative process, aiming to continuously improve restoration interventions and
27 outcomes.

28

29 **Highlights**

- 30
- 31 ● Scenarios are useful tools in improving ecosystem restoration cost-effectiveness
32 and efficiency
 - 33 ● To be more effective, we propose a participatory, transdisciplinary, and adaptive
34 management approach, where involvement of stakeholders is key throughout
35 the whole process, from planning to implementation and review
 - 36 ● Scenarios supporting restoration need to follow an adaptive and iterative
37 process, where synergies and trade-offs among different outcomes can be
38 discussed within a spatially explicit and temporally dynamic multi-criteria
39 approach.

40 **Graphical Abstract:** Figure in Box 2

41

42 Introduction

43 One of the main environmental challenges of this century is to reverse current
44 anthropogenic landscape degradation trends, acting decisively to restore degraded
45 ecosystems, as recognized by different international commitments, such as the Bonn
46 challenge, the CBD Aichi targets 14 and 15, or the Initiative 20x20 in Latin America [1].
47 However, restoring ecosystems at the spatial and temporal scales proposed by these
48 commitments represents a considerable challenge, which can only be achieved by
49 establishing clear targets, considering the diversity of stakeholders involved and the
50 political, economic, socio-cultural, environmental, legal, and technological contexts of
51 ecosystem restoration. As the resources for restoration are limited and this is a costly
52 activity [2,3], strategic planning is an obligation. The cost-effectiveness, however, will
53 depend on the uncertainties related to the costs of necessary interventions and the
54 potential benefits that can be obtained from restoration actions. Here, scenario
55 comparison can be a key tool for restoration prioritization.

56 Scenarios were recently defined as representations or storylines of possible futures,
57 including alternative policy or management options [4*]. Scenarios are a way to
58 simulate, explore, and compare the possible outcomes of a decision, which makes them
59 an essential decision-making tool. They need to be combined with robust models in
60 order to translate the initial conditions defined by each scenario into realistic outcomes
61 [4*].

62 While scenarios are already used to confront and avoid future degradation processes
63 [5], they are less common in restoration planning, where they can be useful to assess
64 potential impact on biodiversity or ecosystem services [e.g. 6], and to evaluate the
65 restoration costs [e.g. 7,8]. Here, we recommend six best practices to guide the use of
66 scenarios for planning native ecosystem restoration. These practices can facilitate,
67 stimulate and optimize restoration actions in the context of the ambitious global
68 restoration commitments planned for the coming decades. An international group of
69 scientists and practitioners, with a wide range of ecosystem restoration experience from
70 around the world, identified these six best practices or principles for the development of
71 more robust restoration scenarios to reduce restoration costs and associated conflicts
72 while optimizing its benefits. The ideal framework to use restoration scenarios should
73 consider a transdisciplinary, participatory, and adaptive management approach, from
74 which our main recommendations of best practices can be derived (Box 1). We also
75 provide a *glossary*, which should assist scientists and practitioners to more precisely
76 access the set of decision-making tools that scenarios offer to support restoration
77 actions.

78

79 ***Principle #1 – Adoption of a participatory, transdisciplinary, and adaptive management*** 80 ***approach***

81 Ecosystem and landscape restoration aims to conserve biodiversity, safeguard essential
82 ecosystem services for human well-being, and achieve social and economic benefits
83 [1,9]. Therefore, restoration scenarios should capture the aspirations of multiple
84 stakeholders, including those who have power to influence restoration initiatives (e.g.
85 government, NGOs, scientists, private companies, community leaders), and also those
86 who are likely to be influenced by the restoration projects (e.g. local communities,
87 landowners).

88 Stakeholders can have different degrees of involvement in the scenario development

89 process. *Key-actors* initiate the process and are those individuals or institutions that
90 have a direct interest in the restoration process (e.g. government agency implementing
91 a restoration policy). These key-actors are responsible for identifying the problem
92 statement and socio-environmental context on which to base the scenarios, as well as
93 supporting financially and technically the scenario building process. They also ensure
94 the participation of other parties. *Involved stakeholders* are individuals and/or
95 institutions recruited by the key-actors because of their potential influence over the
96 scenarios, either directly through their actions on restoration sites or because the
97 scenarios, or the potential outputs of them, directly involve these stakeholders in other
98 ways. Throughout the scenario development process, *key-actors* and *involved*
99 *stakeholders* have to contemplate another group of *considered stakeholders*. This group
100 may be directly or indirectly affected by the restoration outcomes but do not necessarily
101 have an interest or a need to participate in the restoration scenario development (Figure
102 1).

103 Each individual or stakeholder group can have different expectations on restoration
104 outcomes, hence a *transdisciplinary* [sensu 10] and *participatory approach* [11] is
105 necessary to have these perspectives correctly represented (see examples in Box 2). The
106 group developing the scenarios, particularly *key-actors* and *involved stakeholders*,
107 should ensure that the whole range of potential perspectives and interests are
108 represented, aggregating academic and non-academic knowledge. Similarly, they should
109 interactively act on all steps of scenario development, including scenario design,
110 methodological definition, analysis of results, dissemination, and reanalysis, within an
111 *adaptive management approach* [12].

112 Taking such a participatory, transdisciplinary, and adaptive management approach is
113 important for two main reasons: it allows the consideration of aspirations and
114 knowledge of multiple stakeholders, while also anticipating constraints for undertaking
115 restoration programs and scenarios. Indeed, the most successful and creative projects
116 involving social-environmental complexity are those where project leaders pursue co-
117 production and co-ownership of knowledge throughout the process [13*]. For example,
118 Palacios-Agundez et al. [14] and Convertino et al. [15] showed that developing
119 participatory restoration scenarios that include stakeholder's feedback and preferences
120 generates more realistic scenarios and increases community engagement.

121 The participatory process, although essential, is not straightforward. Deciding who
122 should be involved in the process is complex (Box 2), as individuals or institutions can
123 have diverse interests. Similar to landscape governance, informal networks, multi-
124 stakeholder coalitions, and/or public-private partnerships are needed to achieve
125 collective, place-bound outcomes [16]. Competencies in decision-making and
126 communication are critical to the process of developing mutual understanding,
127 openness to diverse ideas and progress towards end goals [17]. This complexity
128 requires purposeful and active management and can be time-consuming and expensive
129 [13*]. The core leaders of the group need to pay careful attention to transparency to
130 encourage participation of those on the periphery of the process and to nurture their
131 involvement through regular meetings [18]. In particular, such leaders have to consider
132 power relationships, as imbalances can derail the entire process [19*,20]. Being
133 informed about the complexities of group dynamics and organization behavior can help
134 to guide the team-based process [21,22].

135 A participatory process involving co-production of knowledge is thus needed to
136 generate relevant and reliable restoration scenarios that account for multiple
137 perspectives and sources of information. This is a well-known procedure applied in
138 different management contexts [23,24], but it is still poorly incorporated into
139 restoration planning and even less adopted when scenarios are developed for
140 restoration (but see [25,26]).

141

142 ***Principle #2 – Multiple desired outcomes should be clearly identified***

143 A restoration initiative usually involves multiple actors with diverse perspectives,
144 requirements, and desires. Through a participatory process, those aspirations should be
145 clearly translated or represented in the “*expected outcomes*” or “*goals*” of the
146 restoration scenarios, which can also be considered as the targets to be achieved (see
147 glossary).

148 Desired outcomes should be simply and clearly illustrated, for example, if quantifiable,
149 as the Y-axis or the response variable of the scenario graphs (i.e. conservation outcomes
150 and/or nature’s contributions to people), which can be projected into the future by each
151 scenario through models [4*]. Such *outcomes* can be diverse, including matters such as
152 habitat structure (e.g. biomass, vegetation stratification), provision of ecosystem
153 services (e.g. water supply, soil stabilization), presence or abundance of a particular
154 species (e.g. threatened species, or species providing relevant ecosystem services),
155 richness or diversity of a taxonomic group, or control of invasive species. However, it is
156 important to realize that these desired outcomes may not fully occur even if the
157 projected restoration scenario is implemented, as there will always be uncertainties
158 associated with the modeling process as well as with the trajectory that a habitat under
159 restoration might take.

160 To appropriately define potential outcomes, *key-actors* and *involved stakeholders* need
161 first to identify a common “*problem statement*” and define the *socio-environmental*
162 *context*. For example, in South Africa’s Cape Floristic Region, invasive alien trees
163 threatened not only indigenous biodiversity but also water provision, livestock
164 production, and livelihoods [27]. The socio-environmental context related to budget
165 limitations, management capacity, and landowner attitudes constrained decisive actions
166 for invasive species control, and needed to be considered in the scenario development
167 [28*,29]. In a South American example, the conservation of two mammal species was
168 considered in a context of limited funds. Alternative scenarios were thus considered by
169 maximizing habitat availability and biogeographical representation, while minimizing
170 land acquisition costs to restore 12 million ha of Atlantic Forest [7]. Through this
171 process of problem statement and social-environmental context definition, conservation
172 and economic interests of different stakeholders should be taken into account (see
173 examples in Box 2).

174 Following the social process outlined above will ensure credibility (technical evidence
175 or premises are adequate), saliency (findings are relevant to decision-makers), and
176 legitimacy (all views and beliefs are considered and impartially tackled), key ingredients
177 for an effective scenario development process [30].

178

179 ***Principle #3 – Definition of methodological choices according to expected outcomes***

180 The development of scenarios involves multiple methodological choices regarding the
181 type of scenarios, the selection of direct and indirect drivers influencing restoration, as
182 well as other restoration-related variables and indicators. Those choices are not always
183 obvious, and for this reason they need to be based, first of all, on the desired outcomes
184 provided by the involved and considered stakeholders and on the type of restoration
185 required.

186 First, it is critical to determine the appropriate type of scenario. There are four types of
187 scenarios according to the typology proposed by the Intergovernmental Science-Policy
188 Platform on Biodiversity and Ecosystem Services - [4*]: i) *Exploratory scenarios*
189 examine different plausible futures based on past trends and in possible (e.g.
190 positive/negative, optimistic/pessimistic) storylines or future trends of some variables,

191 usually indirect drivers such as socio-political, economic, or technological factors; ii)
192 *Target-seeking scenarios* define targets of Y-axis outcomes (e.g. nature or nature's
193 benefits to people) to be achieved in the future, and then consider different initial
194 conditions and scenarios to attain those targets; iii) *Policy-screening scenarios* compare
195 different ways to apply a particular policy (such as restoration) based on their impact on
196 required outcomes (Y-axis); and iv) *Retrospective policy evaluations* compare the
197 projected outcomes obtained from scenarios applied in the past with actual
198 achievements, analyzing the reasons for differences between expected and realized
199 outcomes. All these scenarios can be applied to restoration, depending on the
200 restoration phase: exploratory scenarios are useful for agenda setting, target-seeking
201 and policy-screening ones are adequate for an intervention phase, while retrospective
202 policy evaluations are suitable for a review phase [4*].

203 Second, involved and considered stakeholders must identify key direct and indirect
204 drivers (see glossary) that may influence the restoration process, taking into account the
205 desired outcomes (Figure 2). For example, rural-urban migration is a main indirect
206 driver for large-scale forest recovery in some Latin American countries, and thus should
207 be considered in large-scale restoration planning, both at the exploratory (exploratory
208 scenarios) and intervention stages (target-seeking or policy-screening scenarios).
209 Similarly, sustainable agricultural intensification (direct driver) is a mechanism that can
210 avoid agricultural expansion and consequently spare land for restoration [31*]; hence it
211 is an important factor to consider in scenario development. For instance, Bohnet et al.
212 [32] developed a landscape toolkit with which stakeholders create and evaluate
213 spatially-explicit land use and management change scenarios. This process offers more
214 transparency and highlights possible conflicts of interest among different stakeholders.

215 Third, there are specific restoration-related variables that should be considered when
216 scenarios are modeled [33], such as biotic (e.g. persistence of soil seed banks, dispersing
217 fauna) and abiotic variables (e.g. soil quality, slope, precipitation, rainfall seasonality,
218 landscape structure parameters). These variables can affect the local and landscape
219 resilience of the study system, modulating the system's capacity to intrinsically recover
220 [34*], and defining when a passive restoration strategy is possible, or inversely, when an
221 active restoration is required [35]. The spatial and temporal scales of restoration
222 initiatives as well as data uncertainty and availability are likely to drive the choice of
223 restoration-related variables (Figure 2). Additionally, variables should be chosen in a
224 participatory manner, considering the perspectives of key-actors and other stakeholders
225 (as shown in the general framework figure in Box 2), who possess the technical
226 expertise and on-the-ground knowledge regarding restoration drivers and their future
227 trajectories [36].

228 Fourth, as multiple drivers and restoration-related variables may be involved in
229 planning restoration, a multi-criteria approach that compares scenarios with different
230 targets is critical. Egoh et al. [37], for example, explore scenarios to achieve a European
231 Union 15% restoration target (target-seeking scenario), considering both endangered
232 species conservation and ecosystem service provision. To develop the models, they
233 compared sets of scenarios with a different combination of targets to better explore the
234 most suitable combination of outcomes. Restoration scenarios also need to be based on
235 the identification of specific, observable, and measurable indicators that will be used to
236 assess the suitability of scenarios in terms of whether they reach the desired outcomes
237 or targets, as well as the cost-effectiveness of the restoration initiative [7].

238

239 ***Principle #4 - Scenarios should be spatially explicit, temporally dynamic and should***
240 ***consider outcome interactions***

241 Once the scenario type, drivers, and restoration-related variables are identified, the

242 restoration project can move forward to the modeling stage. At this stage, the
243 participants of the restoration project have to make a series of decisions that will form
244 the basis of the models that will be used to compare the different scenarios.

245 First, they have to decide whether the model will be spatially explicit (e.g. does the
246 spatial arrangement of the landscape matters to the restoration process being
247 modeled?), implicit (e.g. does the spatial location of each habitat patch need to be
248 specified in the model?), or non-spatial (e.g. do theoretical models reveal the interaction
249 among variables, without any reference to space?). Since restoration outcomes are
250 clearly affected by the surrounding landscape and the functioning of the latter is affected
251 by restoration areas [34*,38], we strongly advocate for a spatially explicit approach (see
252 Box 2 and Figure 2). This approach optimizes results and enables planning a restoration
253 scenario that simultaneously minimizes costs (for example, properly allocating areas for
254 passive restoration) and identifies priority areas for active restoration (e.g. with an
255 increase in biodiversity status and ecosystem services provision). In this context, for
256 example, Perry and Enright [39] compared outcomes from spatially explicit and implicit
257 models applied to the same system (using the same initial parameters), supporting the
258 notion that spatially explicit models are better for restoration applications (see also [40]
259 and Box 2 for more information).

260 Second, a wide range of methods can be used for modeling scenarios, including mental
261 maps, conceptual models, systematic conservation planning, and mathematical models.
262 For example, both Tambosi et al. [41] and Crouzeilles et al. [7] approached the effects of
263 habitat availability on the identification of priority areas for restoration in the Atlantic
264 Forest. However, while the former ranked landscapes based on their contribution to
265 increase connectivity, the latter used a systematic conservation planning approach to
266 solve a mathematical problem statement. Solutions to restoration prioritization
267 modeling regarding mathematical problem statements tend to be more complex, but are
268 more informative to decision makers as they deal with specific targets and costs [42].

269 Third, it is important to identify and set parameters for the interactions and feedbacks
270 among the chosen drivers and restoration-related variables, focusing on temporal
271 dynamics. For example, the potential for natural forest regeneration depends on the
272 amount of forest in the surrounding landscape (among other restoration-related
273 variables), which can change over the time that the restoration takes place [L. Tambosi,
274 PhD thesis, University of São Paulo, 2014; [43]]. The interactions between variables
275 through time will determine scenario trajectories, which in turn can significantly affect
276 the duration and outcome of restoration initiatives, as well as their costs, demanding
277 adaptive management. We argue here that a dynamic approach is necessary to correctly
278 plan and evaluate restoration outcomes.

279 Finally, the parameters that define variable interactions and their temporal dynamics
280 must be identified through rigorous data collection, experimentation, modeling, and/or
281 expert knowledge. Data can be collected from multiple sources, such as literature
282 reviews of past restoration studies or other reports on the trends or behavior of
283 variables. Parameterization of variables for the model(s) will also benefit significantly
284 from the inclusion of expert knowledge, which can come from the key-actors, involved
285 and/or considered stakeholders, or from other restoration experts (Box 2).

286 We note that the development of restoration scenarios requires multiple data types and
287 sources, analyses, and models, which is only possible by integrating different software
288 tools (see supplementary material).

289

290 ***Principle #5 - Analysis and dissemination should highlight outcome trade-offs and***
291 ***synergies, promoting an iterative process of scenario construction***

292 As soon as scenario results are available, an adequate strategy for analysis and

293 dissemination among stakeholders should be initiated. Such strategy should clearly
294 outline the steps that will be taken to verify the adequacy of the results, followed by a
295 detailed analysis and discussion of the synergies and trade-offs that were identified by
296 the scenarios. For example, by comparing land use scenarios, Butler et al. [44] assessed
297 trade-offs between food and fibre production and water quality regulation, affecting
298 differently farmers and fishermen in The Great Barrier Reef, Australia. A broad
299 stakeholder consultation of scenarios' results may help to identify and solve such kind of
300 potential conflicts.

301 The sub-set of stakeholders who participated in the model definition should also engage
302 in the analysis of the scenario and model outputs (see Box 2 for examples). These
303 parties should compare the outcomes for each scenario and assess whether the scenario
304 outcomes adequately represent how the indirect and direct drivers interact with each
305 other and with other restoration-related variables. In a multi-criteria approach,
306 scenarios can be compared, through cost-effective or cost-benefit analysis, i.e.
307 identifying the scenario that results in the highest targeted benefits per unit of costs. It is
308 also important to compare trade-offs between scenarios, since the most cost-effective
309 situation may not reach the minimum desired outcomes. The choice of spatial and
310 temporal scales, as well as the level of uncertainty given data availability, should also be
311 explicit in the results. Additionally, these stakeholders should evaluate whether the
312 resulting outcomes are compatible with the initial targets of the restoration project, and
313 verify whether the restoration drivers and variables that were chosen in the model
314 definition reflected those objectives properly. If there are discrepancies, those inputs
315 need to be modified, or alternative inputs should be added. These decisions should be
316 done in consultation with the parties involved, in an iterative or adaptive management
317 approach, as previously mentioned.

318 When analyzing the final results, a close examination of the trade-offs and synergies
319 among the resulting scenarios is necessary, especially when a great number of variables
320 and criteria are adopted in the scenario construction. This can be done by plotting the
321 different scenario results against each other, using, for example, spider diagrams or
322 portfolio maps to identify trade-offs and win-win solutions [45,46], or by applying a
323 spatially explicit analysis to map trade-offs and win-win situations [46,47]. The
324 examination of these trade-offs and synergies allows identifying the scenarios that
325 maximize synergies and minimize trade-offs for all targets and all stakeholder
326 expectations. It might well be the case that no single scenario reaches all objectives, or
327 inversely, there could be certain scenarios that impact negatively on the interests of a
328 particular stakeholder group. In these cases, new scenarios that reflect different sets of
329 viewpoints might be needed, following an adaptive management approach.

330 To resolve potential conflict among stakeholders, scenario selection requires repeated
331 stakeholder consultation, in particular when intervention scenarios are considered (see
332 Bohnet et al. [32] for an example of stakeholders building and selecting scenarios). If
333 conflicts exist, it might be necessary to perform this step with the different key-actors
334 and stakeholder groups separately, before moving on to joint consultations that include
335 all stakeholders. In these consultations, the results of the scenario analysis should be
336 presented in a way that is tailored to each stakeholder group(s), i.e. "translated"
337 appropriately [48]. The feedback provided from the stakeholder groups, and the
338 additional knowledge received, is then incorporated into a set of new or modified
339 scenarios. This iterative process allows for the selection of scenarios that are acceptable
340 for the different actors involved in the exercise and leads to a set of feasible scenarios

341 that reflect the actors' perceptions, practical experience, and viewpoints [25].

342

343 ***Principle #6 – Interactive, face-to-face meetings coupled with field days can optimize***
344 ***communication, capacity building, and application of scenarios' insights***

345 The first step towards successful application of the scenarios developed is their
346 appropriate dissemination to key-actors and stakeholders, including a description of
347 their indirect and direct drivers, and how they impact on the selected restoration-
348 related variables of interest. Communicating the process and key outcomes to a wider
349 audience can facilitate buy-in from a broader stakeholder community. Communication
350 can take on a multitude of forms, depending on the audience to be reached, and the
351 desired level of interaction and stakeholder engagement. Workshops allow time for
352 interventions and face-to-face discussions among experts and key-actors, and facilitate
353 reflection on the potential impacts of the scenario outcomes, which can, ultimately, lead
354 to improved decision-making [49]. Coupling workshops with excursions or field days
355 makes the results even more tangible. Exhibitions, “road shows”, and lecture series are
356 designed to address a broad audience, while scientific publications and policy briefs
357 target a very specific audience. Social media can serve as an excellent outreach tool, with
358 webinars and Massive Open Online Courses allowing for direct interaction with the
359 audience. More interactive formats can also be used to promote capacity building and to
360 provide the targeted audience with the necessary skills to understand the results and
361 apply the outcomes of the different scenarios (see Box 2 for examples).

362 Promoting capacity building also facilitates the incorporation of the results and
363 outcomes of the scenarios into policy. Dialogue workshops between decision-makers,
364 policy-makers, and experts maximize the knowledge transfer and uptake of results,
365 while workshops with practitioners and managers facilitate the conversion of the
366 scenario results into practical restoration applications [50]. These workshops and
367 dialogues enable the formulation of implementation plans and, using the variables of
368 interest, the development of monitoring plans. Regular monitoring and reporting of
369 results can then be used to verify the scenario outputs and results [51], and to adapt the
370 parameterization of the models and re-adjustment of scenarios. The iterative interaction
371 between practitioners, key-actors, and experts enables true adaptive management and
372 formulation of adequate legislation and incentive mechanisms. Hence, direct
373 interactions with the group of people that have the power of influencing restoration and
374 those mostly affected by its outcomes are key to achieving a successful community of
375 practice and successful restoration programs.

376

377 **Final remarks**

378 The use of scenario modeling to improve restoration planning is not yet fully explored,
379 but is critical to guide cost-effective restoration interventions at the unprecedented
380 scales promoted by emerging global restoration commitments. Restoration programs
381 now have to progress beyond the simplistic definition of a given number of hectares to
382 restore, and start considering the inherent challenges to address the expected trade-offs
383 arising from the combination of multiple restoration goals in areas already disputed by
384 other land uses and interests [52*]. To fully realize the potential of scenario modeling
385 for restoration, we advocate for the use of the guidelines presented here. We reinforce
386 the need to incorporate a transdisciplinary, participatory, and adaptive management
387 approach to restoration scenario building. During this scenario building process it is

388 essential that key-actors and other stakeholders negotiate their interests and select
389 desired outcomes, participate actively in methodological choices, discuss the synergies
390 and trade-offs among different outcomes, communicate results with a broader audience,
391 and engage in an adaptive cycle that leads to improved restoration scenarios, and from
392 this allow for more successful restoration projects. We also encourage the application of
393 a spatially explicit and dynamic multi-criteria modeling approach, at adequate scales,
394 with a well-developed problem statement, and the use of multiple iterative and face-to-
395 face communication and capacity building activities to successfully achieve restoration
396 outcomes. Most of these suggestions are quite general and well-known in other
397 management practices, but they can facilitate the use of scenarios in the context of
398 ecosystem restoration. The use of scenario tools has to go beyond their more common
399 usage to avoid degradation processes. A more widespread application of scenarios to
400 guide restoration planning, implementation, and monitoring in large-scale programs is
401 possible.

402

403

404 **Conflicts of interest**

405 There is no conflict of interest.

406

407

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426

427

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603 **Glossary**

604 **General definitions**

605 *Scenarios* – We adopted the definition of IPBES [4*], which considers scenarios as
606 “representations of possible futures for one or more components of the system,
607 particularly for drivers of change in nature and nature’s benefits, including alternative
608 policy and management options.”

609 *Models* are simplified representations of real systems. Models can be qualitative or
610 quantitative, and represent some components of the systems and their relationships
611 [4*]. For ecological restoration, models are particularly important to relate restoration-
612 driven changes in ecosystems structure, with their consequent implications for the
613 functioning of ecosystems, particularly with the provision of ecosystem services.

614 *Ecological restoration* consists of human interventions to assist the recovery of an
615 ecosystem that has been degraded, damaged, or destroyed (sensu SER, [53]). Those
616 actions include the reduction of ongoing degradation processes and an active action to
617 reverse degradation (*active restoration action*), but can also include actions of halting or
618 avoiding degradation processes, without any other intervention (*passive restoration*
619 *action*). Active restoration is a more expensive and labor-intensive action than passive
620 restoration, however passive restoration is only possible if the system is still resilient,
621 and thus can recover by itself (e.g. by natural regeneration processes).

622

623 **Stakeholders**

624 *Key-actors* are those individuals or institutions that have a direct interest in the
625 restoration process, e.g. government agency concerned with enabling policy (signatory
626 to CBD, Aichi targets), landowners. They initiate the process.

627 *Involved stakeholders* are those individuals or institutions who may affect restoration
628 scenarios through their direct actions on restoration sites or through the impacts that
629 scenarios can have on them.

630 *Considered stakeholders* are those individuals and institutions who do not necessarily
631 have an interest or need to participate in the restoration scenarios development, do not
632 have potential to influence the scenarios, but may be directly or indirectly affected by
633 the restoration.

634

635 **Scenario approach**

636 *Transdisciplinarity* is an interdisciplinary or integrative approach, which crosses
637 disciplinary/academic boundaries, and allows integration of knowledge from academic
638 and non-academic (e.g. practitioners empirical experience or local knowledge)
639 participants to deal with a common research goal [10].

640 *Participatory approach* is an approach in which a range of stakeholders are directly
641 involved in the whole process, from the design to the assessment of scenarios. The
642 approach takes into account different perspectives and issues and adds value to the
643 assessment of synergies and trade-offs.

644 *Adaptive management approach* is an iterative and learning-based management
645 approach, where actions are constantly tested and evaluated, in order to be improved
646 over time. This approach helps to deal with uncertainty and incomplete knowledge in
647 decision-making process, reducing the gap between science and practice [12].

648

649 **Scenario and model setup**

650 *Outcomes (Y-axes)* are results, goals or targets to be achieved that address the problem
651 statement according to the perspectives of key-actors and stakeholders. There may be
652 conflicting *desired outcomes*, but those will provide input into the scenario
653 development process.

654 *Input variables* are all variables that can affect expected outcomes, which can include a
655 wide array of direct and indirect human drivers, such as abiotic (e.g. parameter related
656 with relief, climate) and biotic conditions (e.g. regional species pool, local seed banks,
657 seed rain, and germination), landscape structure (e.g. isolation or connectivity to
658 potential source patches, anthropogenic matrix type, fragment size, surrounding habitat
659 amount), time elapsed since restoration, and history of degradation [33].

660 *Problem statement* is the identification of the situation that needs to be solved through
661 ecological restoration, taking into consideration the socio-environmental context.

662 *Socio-environmental context* includes both the biophysical context (i.e. the ecosystem
663 and its bio-physical drivers) as well as the associated societal / social and political
664 actors and institutions.

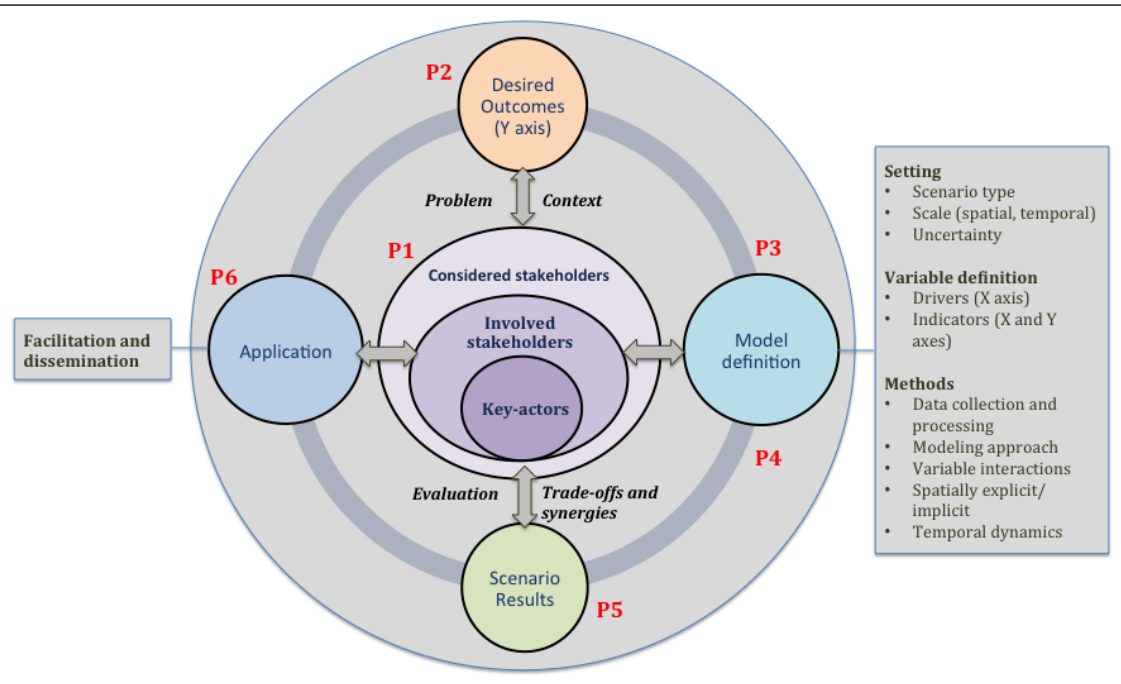
665 *Anthropogenic drivers* are factors or processes associated with human actions or
666 activities that lead to changes in the study systems. Drivers of anthropogenic
667 degradation and restoration will particularly affect biodiversity and the related
668 ecological processes and ecosystem services. Drivers can be either natural (e.g.
669 tornados, landslides, flooding regime) or anthropogenic, but here we focused on
670 anthropogenic direct and indirect drivers.

671 *Anthropogenic direct drivers* are those anthropogenic processes that directly affect
672 ecosystems, and thus depend on a human decision, both related to a degradation
673 process (e.g. native habitat destruction or degradation, introduction of invasive species,
674 construction of infrastructure) or to a restoration action (e.g. reforestation, dam
675 withdrawal). Direct drivers can include: i) land use change (which relates to the
676 contraction and/or expansion of the areas available for restoration); ii) land use and
677 land cover degradation (that results from anthropogenic loss of native cover and from
678 other anthropogenic disturbances such as contamination); iii) disturbance regimes
679 (natural factors that affect the landscape, such as fire, pests, flooding); iv) invasive
680 species; and, v) climate change.

681 *Anthropogenic indirect drivers* are factors controlled by humans that operate by
682 altering the level or rate of change of one or more direct drivers [4*]. They are usually
683 underlying causes of biodiversity and nature's benefit changes, which include
684 institutional and governance structures, as well as socio-political, economic,
685 technological, legal and cultural factors that can affect both degradation processes and
686 restoration actions. Some major indirect drivers of change are: i) demographic (e.g.
687 human population growth, density, and migration); ii) economic (e.g. markets, income
688 distribution and demand, incentives, tax benefits, land-use opportunity costs and
689 restoration costs); iii) science, knowledge (technical or scientific knowledge, including
690 indigenous and local knowledge systems), and technology (physical objects and
691 procedures); iv) institutions and governance (corporate, governmental, judicial); and v)
692 cultural (e.g. willingness to restore); vi) legal (laws affecting restoration commitment).

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Box 1. Proposed framework for building restoration scenarios that should support restoration planning.



Schematic representation of the six principles (P1 to P6) of the proposed framework to guide the use of scenarios and models for restoring native ecosystems.

Principle 1 - The restoration framework begins in the nucleus of the figure with the **key-actors**. These individuals or institutions have a direct interest in developing a restoration project (e.g. government agency implementing restoration policy). They initiate the restoration scenario building process by identifying the **problem statement** and the **socio-environmental context** on which the scenarios will be based. They are also responsible for gathering the financial and technical resources that will be needed for the scenario building process, while also ensuring the participation of other parties. **Involved stakeholders** have a direct influence on the scenarios, either through their actions or through the impacts that the scenarios can have on them. Therefore, they are recruited by the key-actors to actively participate in the scenario building process. These actors consider the interests of a broader group of **considered stakeholders** who may be directly or indirectly affected by the restoration process.

Principle 2 - By means of a participatory process, key-actors and involved stakeholders work together to determine the **desired outcomes** of the scenarios, which represent the range of nature and human benefits of restoration (e.g. enhancing biodiversity or carbon sequestration).

Principles 3 and 4 - The nature of the selected desired outcomes informs the **setting**, **variable definition**, and **methods** that form the basis of the model definition. The setting includes the scenario type (exploratory, target-seeking, retrospective policy evaluation, or policy-screening), scale and level of uncertainty. The variables that will be included into the model comprise the indirect and direct drivers of restoration, as well as the indicators that will be used to measure the effectiveness of the model and of the restoration initiative. Scenario building methods include the modeling approach, data collection and processing, variable interactions, and spatial and temporal specifications.

Principle 5 - The scenario results undergo an **evaluation** based on the indicators that

were specified in the model definition. Through a participatory consultation process, a sub-set of stakeholders assess the *trade-offs and synergies* of the scenario results in terms of how they work to achieve the desired outcomes. If necessary, they can redefine the desired outcomes and revise the model definition accordingly.

Principle 6 - Once a set of scenario outcomes are agreed upon, the process continues on to the **application** of the recommendations provided by the scenarios. This last step may include *facilitating* the incorporation of the results into policy and *disseminating* the results to a larger audience. As the application of the results from the scenarios takes place (through restoration actions), key-actors may choose to address a new problem statement, restarting the cycle.

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700 **Box 2. Case studies to illustrate the suggested principles for building restoration**
701 **scenarios**

702 ***Stakeholder involvement and scenario outcome identification (Principles #1, 2, 3 and 5)***

703 Reed et al. [54] and Mitchell et al. [50] provide good examples of how to integrate
704 multiple stakeholders in the restoration scenario building process (**Principle #1**). By
705 contrasting two scenarios based on extensifying or intensifying land management in the
706 UK uplands, *Reed et al.* identified stakeholders by conducting a series of interviews and
707 workshops with interested parties. They then used stakeholder analysis and social
708 network analysis to select a representative and interconnected group of stakeholders.
709 This smaller group was involved in exploring the current and future perceived
710 challenges of the upland system, developing a conceptual model of the main themes and
711 desired outcomes (**Principle #2**), constructing scenarios by focusing on the drivers of
712 change within the system and interactions among potential outcomes (**Principle #3**),
713 and refining and prioritizing those scenarios based on their trade-offs and synergies
714 (**Principle #5**). Similarly, for their case study of the Tasmanian Midlands, an agricultural
715 landscape and grassland biodiversity hotspot, *Mitchell et al.* engaged government
716 officials, conservationists, rural organizations, land-holders and scientists by conducting
717 participatory workshops (**Principle #1**). Through these workshops, participants
718 reviewed the historical transformation of the landscape, discussed their desired
719 outcomes, and the likely effects of climate change, other dynamic drivers of change, and
720 governance influencers on the future of the region (**Principles #2 and #3**). This process
721 built upon a prior social-ecological-system analysis of the dynamics affecting native
722 grasslands, and was illustrated through a conceptual model (**Principle #3**). On both
723 cases, the workshops ensured that stakeholder's comments were incorporated into the
724 conceptual model and scenario design (**Principle #1**).

725 ***Methodologies for scenario design (Principle #3), and benefits of using a spatially***
726 ***explicit approach (Principles #4 and 5)***

727 Reed et al. [54] and Birch et al. [6] created their restoration and ecosystem service
728 scenarios through a spatially explicit approach, which provides the unique opportunity
729 to exactly locate areas with restoration potential across large landscapes (**Principle #4**).
730 After receiving inputs from involved stakeholders, *Reed et al.* used spatially explicit
731 computer models to identify the externalities and explore the ecosystem service trade-
732 offs and synergies of two contrasting policy scenarios (**Principle #5**). The policy
733 scenarios were on one hand the extensification of land use management in the UK
734 uplands, which refers to restoring land to sequester carbon and to provide habitat for
735 some species, and on the other hand, the intensification of agriculture and livestock
736 production to achieve food security. Their models included variables related to land
737 manager behavior, vegetation dynamics, population dynamics of wildlife species of
738 interest, carbon dynamics, and water quality (**Principle #3**). Similarly, in their case study
739 of four different degraded drylands in Latin America, *Birch et al.* applied a spatially
740 explicit approach to assess the potential impact of restoration on the net value of
741 ecosystem services such as carbon sequestration, timber and non-timber forest
742 products, tourism and livestock production (**Principle #4**). The scenarios included
743 business-as-usual state, passive restoration, passive restoration with protection, and
744 active restoration, constructed with a forest dynamics model (**Principle #3**). Each of
745 these ecosystem services and their estimated net present values (the difference in value
746 between the business-as-usual scenario and the restoration scenarios) were mapped

747 under each scenario **(Principle #4)**. A cost-benefit analysis of restoration was conducted
748 by estimating the “net social benefit of restoration”, or the net value of the ecosystem
749 services minus the costs of reforestation, considering the different discount rates
750 involved in land use change **(Principle #3)**. In addition to showing that restoration leads
751 to increased ecosystem service provision in almost all cases, and that there are marked
752 differences in the cost-effectiveness of the different kinds of restoration scenarios, their
753 results indicate that using a spatially explicit approach can allow areas with the greatest
754 potential benefit per unit cost to be prioritized for conservation planning **(Principle #4)**.
755 Both studies conclude that using a spatially explicit approach allows identification of the
756 exact location of trade-offs and complementarities among desired outputs in order to
757 minimize externalities and create a win-win situation for the environment, climate
758 change, and for the livelihood of local landowners **(Principle #5)**.

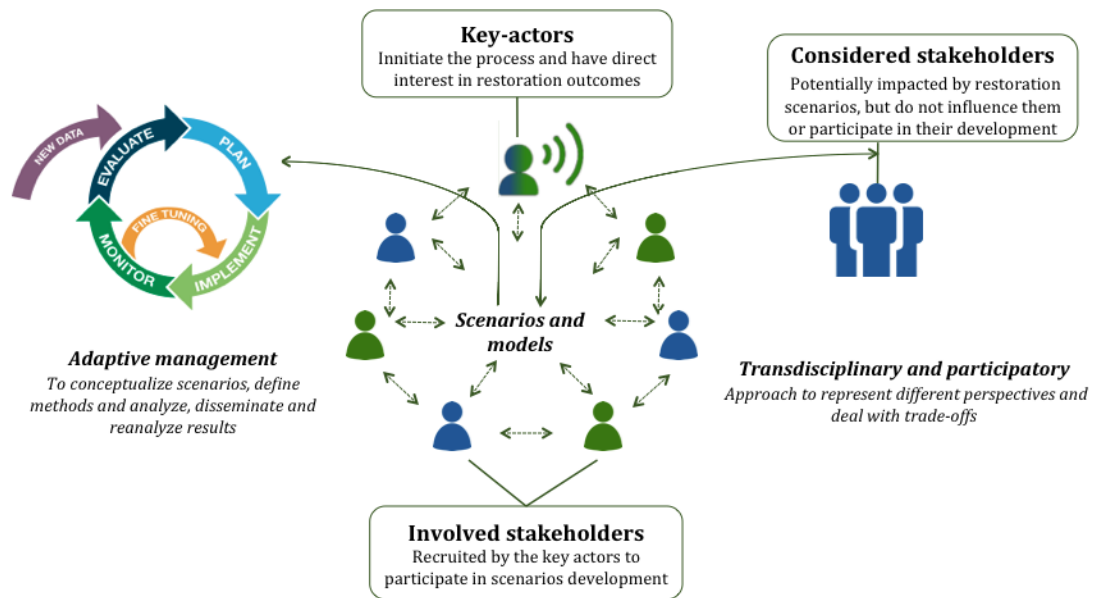
759 *Analyzing outcome trade-offs and synergies (Principle #5) and communicating results*
760 *effectively (Principle #6)*

761 Mitchell et al. [50] and Reed et al. [54] make use of story lines and narratives to
762 construct and communicate their restoration scenarios effectively **(Principle #6)**. After
763 their workshops and stakeholder consultations, *Mitchell et al.* applied a systems-based
764 strategy to consider critical uncertainties within the drivers of change on the Tasmanian
765 Midlands system dynamics. They created a quadrant matrix of scenarios comprising the
766 possible combinations of these uncertainties. A smaller group of researchers (here
767 considered as key-actors and involved stakeholders) was then able to refine scenario
768 narratives based on scientific expert consultation. The scenarios varied on the basis of
769 farmer profitability and social and human capital, ranging from agricultural loss and
770 rural decline to sustainable and profitable agriculture. These narratives were then
771 brought back to the community so that stakeholders could understand how their
772 decisions would affect their environment **(Principle #6)**. In a similar fashion, *Reed et al.*
773 used story lines and narratives to define their extensification or intensification policy
774 scenarios in the UK uplands **(Principle #6)**. The narratives were communicated to
775 stakeholders by film, which facilitated the integration of information from a wide range
776 of sources, including local and scientific knowledge, and gave public relevance to the
777 issue while also providing rigorous evidence **(Principle #6)**. The films illustrated and
778 communicated those narratives in a way that was easy for people from different
779 backgrounds and education to understand and endorse. For both studies, the narratives
780 allowed stakeholders to identify opportunities for biodiversity conservation and
781 potential sources of financial support to incentivize local stakeholders to pursue win-
782 win opportunities whenever possible **(Principle #5)**.

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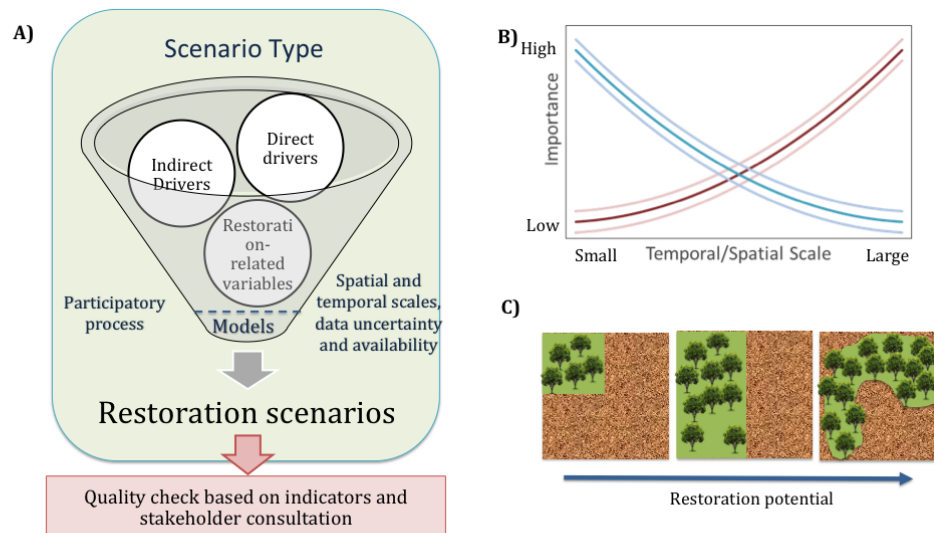
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789 **Figure 1.** The development of scenarios involves potential stakeholders influencing
790 (green), or being influenced by (blue) restoration, with different roles in the process. A
791 transdisciplinary and participatory approach is employed to harmonize different and
792 sometimes conflicting perspectives on restoration, while adaptive management
793 safeguards scenario functionality in a changing socio-environmental context.

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 816 **Figure 2. A)** The type of scenario (from IPBES, [4*]) will inform the selection of direct
 817 and indirect drivers and restoration-related variables, by means of a participatory
 818 process, and considering spatial and temporal scales as well as data uncertainty and
 819 availability. These variables will then interact with each other based on their given
 820 parameters. The chosen model filters those interactions to generate different
 821 restoration scenarios. Once the scenarios are built, the results should be evaluated
 822 based on pre-established indicators and in consultation with multiple stakeholders. **B)**
 823 Drivers and restoration-related variables can be more or less important depending on
 824 their temporal and spatial scale. For example, climate change can be very important at a
 825 large temporal and spatial scale, but not necessarily for a short-term plot level
 826 restoration project (red line). Similarly, an abiotic restoration-related variable such as
 827 soil quality can be crucial when planning restoration at a small scale, but less relevant
 828 for a large landscape with multiple soil types and varying soil fertility (blue-line). Such
 829 changing relevance must be considered when choosing drivers and restoration-related
 830 variables. **C)** Adopting a spatially explicit approach is important to model restoration
 831 scenarios. In particular, the spatial configuration of existing forest patches and new
 832 restoration areas can strongly influence the speed, type, and cost of restoration, while
 833 also determining functional connectivity.

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Glossary

General definitions

Scenarios – We adopted the definition of IPBES [4*], which considers scenarios as “representations of possible futures for one or more components of the system, particularly for drivers of change in nature and nature’s benefits, including alternative policy and management options.”

Models are simplified representations of real systems. Models can be qualitative or quantitative, and represent some components of the systems and their relationships [4*]. For ecological restoration, models are particularly important to relate restoration-driven changes in ecosystems structure, with their consequent implications for the functioning of ecosystems, particularly with the provision of ecosystem services.

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Scenario approach

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Scenario and model setup

Outcomes (Y-axes) are results, goals or targets to be achieved that address the problem statement according to the perspectives of key-actors and stakeholders. There may be conflicting *desired outcomes*, but those will provide input into the scenario development process.

Input variables are all variables that can affect expected outcomes, which can include a wide array of direct and indirect human drivers, such as abiotic (e.g. parameter related with relief, climate) and biotic conditions (e.g. regional species pool, local seed banks, seed rain, and germination), landscape structure (e.g. isolation or connectivity to potential source patches, anthropogenic matrix type, fragment size, surrounding habitat amount), time elapsed since restoration, and history of degradation [33].

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Key-actors

Innitate the process and have direct interest in restoration outcomes

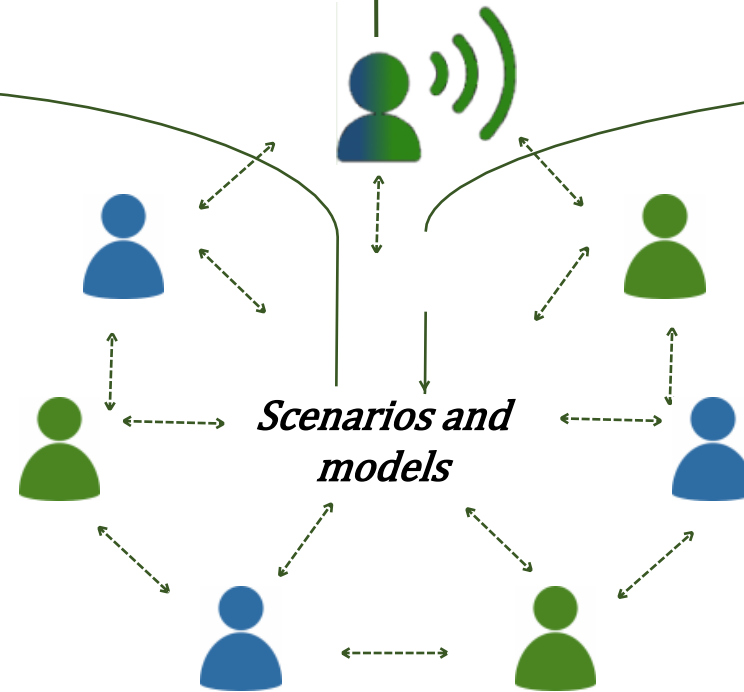
Considered stakeholders

Potentially impacted by restoration scenarios, but do not influence them or participate in their development



Adaptive management

To conceptualize scenarios, define methods and analyze, disseminate and reanalyze results

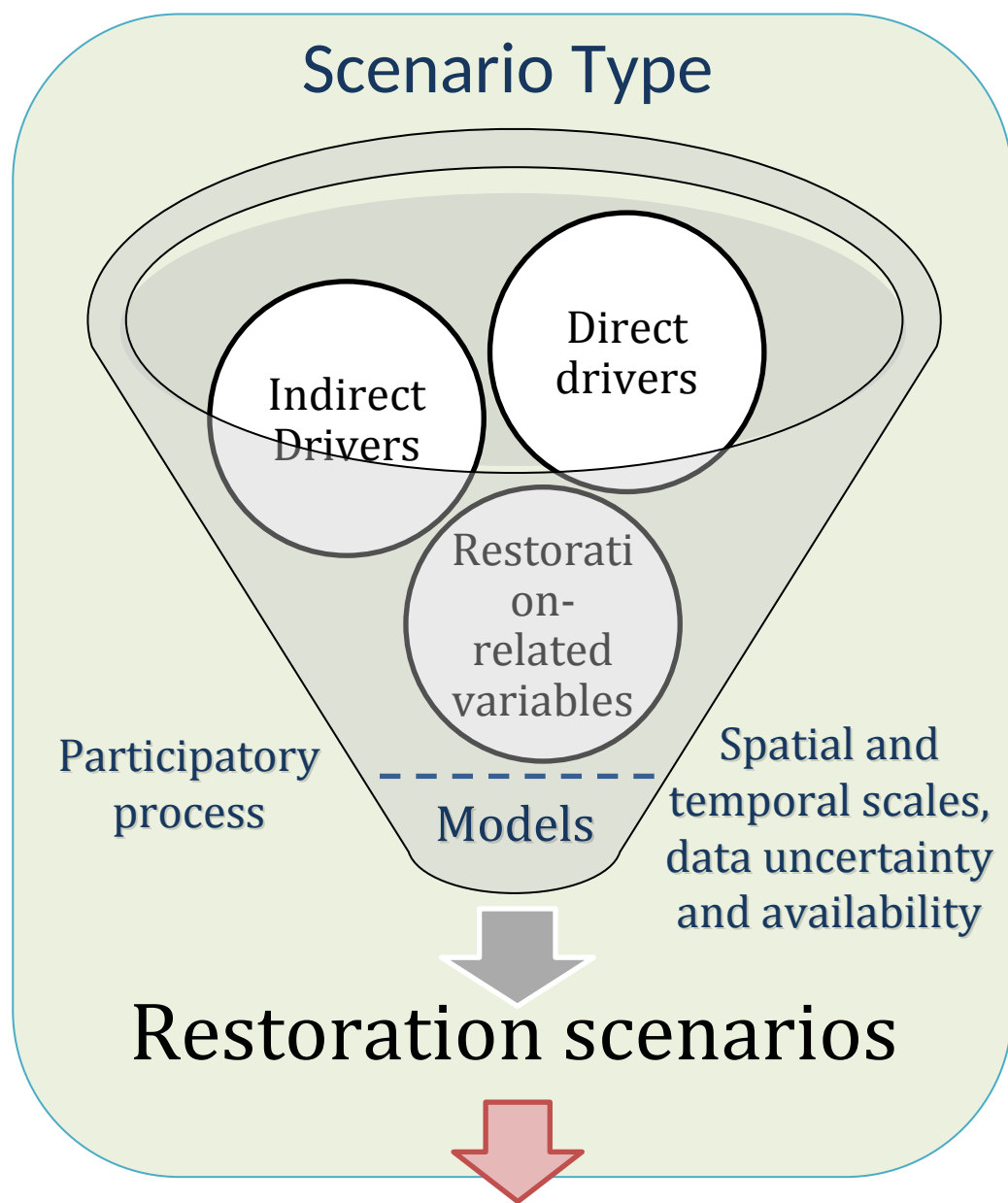


Transdisciplinary and participatory
Approach to represent different perspectives and deal with trade-offs

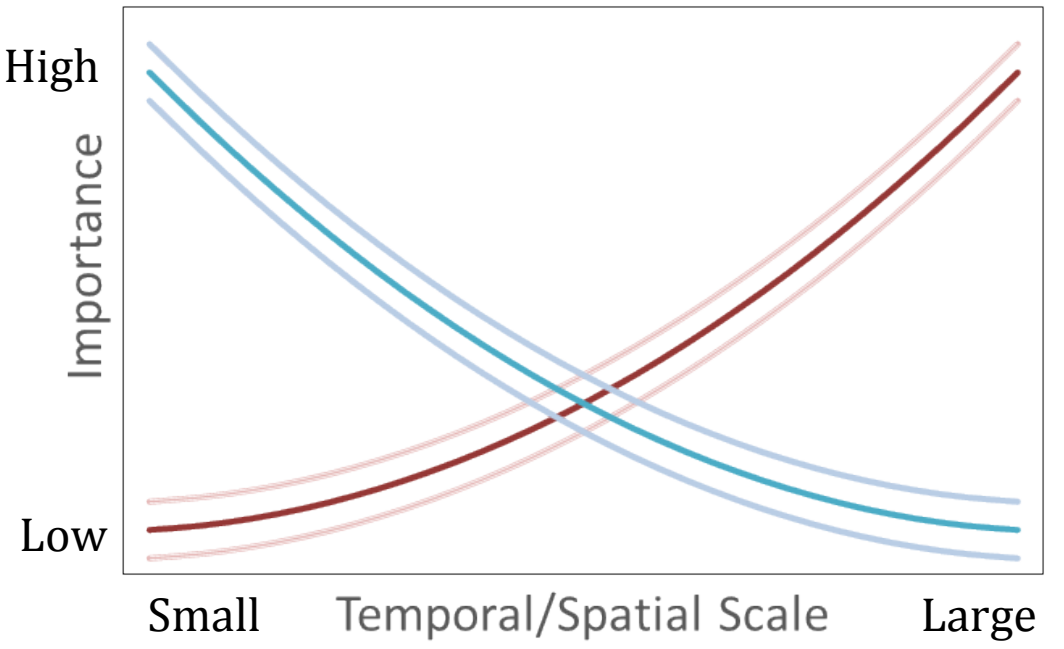
Involved stakeholders

Recruited by the key actors to participate in scenarios development

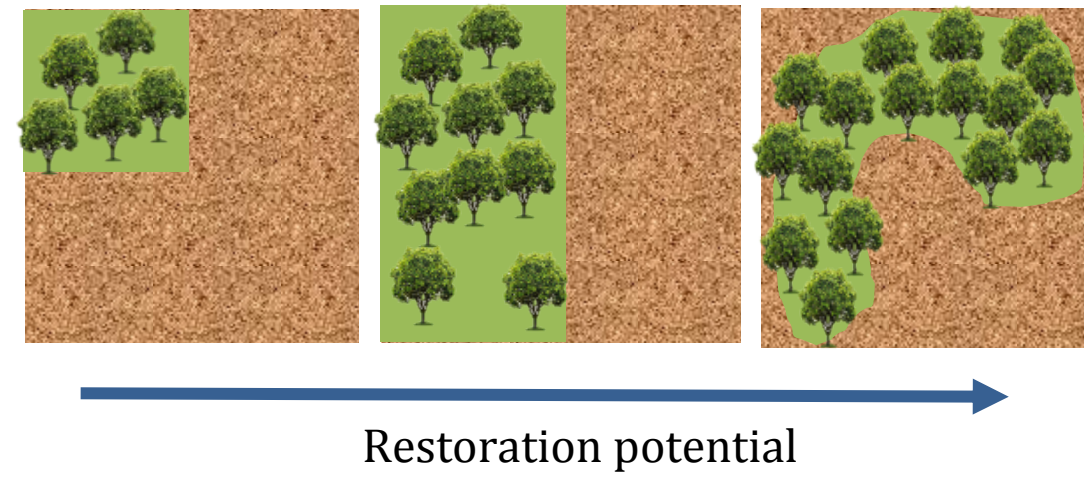
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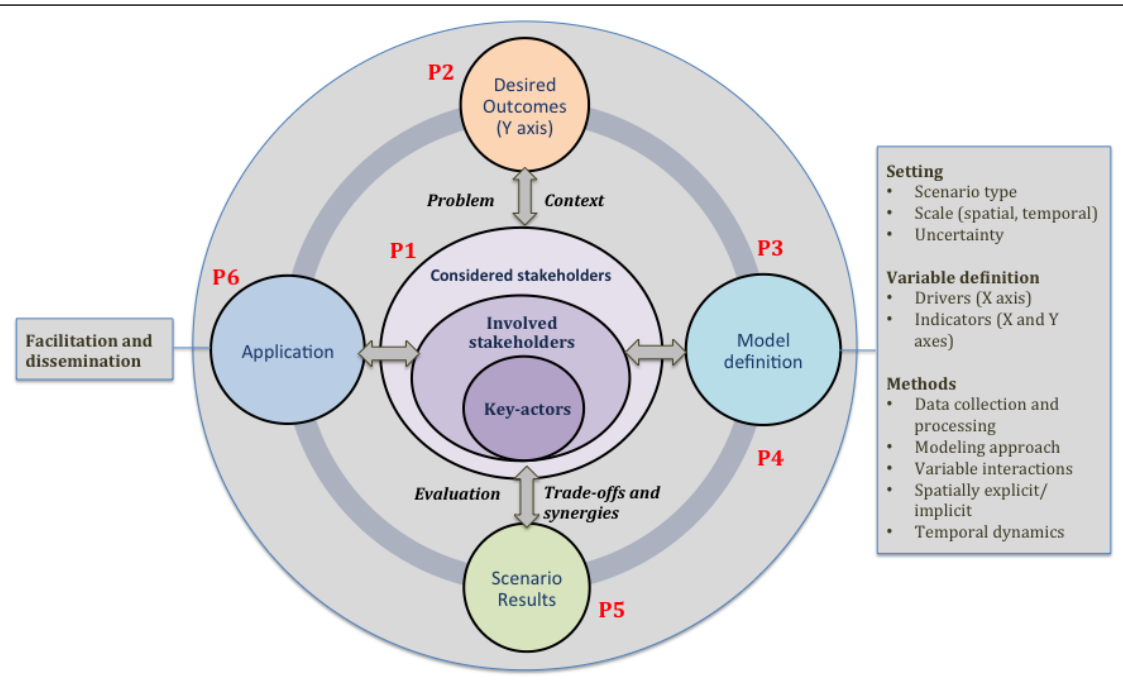


C)



Quality check based on indicators and stakeholder consultation

Box 1. Proposed framework for building restoration scenarios that should support restoration planning.



Schematic representation of the six principles (P1 to P6) of the proposed framework to guide the use of scenarios and models for restoring native ecosystems.

Principle 1 - The restoration framework begins in the nucleus of the figure with the **key-actors**. These individuals or institutions have a direct interest in developing a restoration project (e.g. government agency implementing restoration policy). They initiate the restoration scenario building process by identifying the **problem statement** and the **socio-environmental context** on which the scenarios will be based. They are also responsible for gathering the financial and technical resources that will be needed for the scenario building process, while also ensuring the participation of other parties. **Involved stakeholders** have a direct influence on the scenarios, either through their actions or through the impacts that the scenarios can have on them. Therefore, they are recruited by the key-actors to actively participate in the scenario building process. These actors consider the interests of a broader group of **considered stakeholders** who may be directly or indirectly affected by the restoration process.

Principle 2 - By means of a participatory process, key-actors and involved stakeholders work together to determine the **desired outcomes** of the scenarios, which represent the range of nature and human benefits of restoration (e.g. enhancing biodiversity or carbon sequestration).

Principles 3 and 4 - The nature of the selected desired outcomes informs the **setting**, **variable definition**, and **methods** that form the basis of the model definition. The setting includes the scenario type (exploratory, target-seeking, retrospective policy evaluation, or policy-screening), scale and level of uncertainty. The variables that will be included into the model comprise the indirect and direct drivers of restoration, as well as the indicators that will be used to measure the effectiveness of the model and of the restoration initiative. Scenario building methods include the modeling approach, data

collection and processing, variable interactions, and spatial and temporal specifications.

Principle 5-The scenario results undergo an **evaluation** based on the indicators that were specified in the model definition. Through a participatory consultation process, a sub-set of stakeholders assess the **trade-offs and synergies** of the scenario results in terms of how they work to achieve the desired outcomes. If necessary, they can redefine the desired outcomes and revise the model definition accordingly.

Principle 6- Once a set of scenario outcomes are agreed upon, the process continues on to the **application** of the recommendations provided by the scenarios. This last step may include **facilitating** the incorporation of the results into policy and **disseminating** the results to a larger audience. As the application of the results from the scenarios takes place (through restoration actions), key-actors may choose to address a new problem statement, restarting the cycle.

Box 2. Case studies to illustrate the suggested principles for building restoration scenarios

Stakeholder involvement and scenario outcome identification (Principles #1, 2, 3 and 5)

Reed et al. [54] and Mitchell et al. [50] provide good examples of how to integrate multiple stakeholders in the restoration scenario building process (**Principle #1**). By contrasting two scenarios based on extensifying or intensifying land management in the UK uplands, *Reed et al.* identified stakeholders by conducting a series of interviews and workshops with interested parties. They then used stakeholder analysis and social network analysis to select a representative and interconnected group of stakeholders. This smaller group was involved in exploring the current and future perceived challenges of the upland system, developing a conceptual model of the main themes and desired outcomes (**Principle #2**), constructing scenarios by focusing on the drivers of change within the system and interactions among potential outcomes (**Principle #3**), and refining and prioritizing those scenarios based on their trade-offs and synergies (**Principle #5**). Similarly, for their case study of the Tasmanian Midlands, an agricultural landscape and grassland biodiversity hotspot, *Mitchell et al.* engaged government officials, conservationists, rural organizations, land-holders and scientists by conducting participatory workshops (**Principle #1**). Through these workshops, participants reviewed the historical transformation of the landscape, discussed their desired outcomes, and the likely effects of climate change, other dynamic drivers of change, and governance influencers on the future of the region (**Principles #2 and #3**). This process built upon a prior social-ecological-system analysis of the dynamics affecting native grasslands, and was illustrated through a conceptual model (**Principle #3**). On both cases, the workshops ensured that stakeholder's comments were incorporated into the conceptual model and scenario design (**Principle #1**).

Methodologies for scenario design (Principle #3), and benefits of using a spatially explicit approach (Principles #4 and 5)

Reed et al. [54] and Birch et al. [6] created their restoration and ecosystem service scenarios through a spatially explicit approach, which provides the unique opportunity to exactly locate areas with restoration potential across large landscapes (**Principle #4**). After receiving inputs from involved stakeholders, *Reed et al.* used spatially explicit computer models to identify the externalities and explore the ecosystem service trade-offs and synergies of two contrasting policy scenarios (**Principle #5**). The policy scenarios were on one hand the extensification of land use management in the UK uplands, which refers to restoring land to sequester carbon and to provide habitat for some species, and on the other hand, the intensification of agriculture and livestock production to achieve food security. Their models included variables related to land manager behavior, vegetation dynamics, population dynamics of wildlife species of interest, carbon dynamics, and water quality (**Principle #3**). Similarly, in their case study of four different degraded drylands in Latin America, *Birch et al.* applied a spatially explicit approach to assess the potential impact of restoration on the net value of ecosystem services such as carbon sequestration, timber and non-timber forest products, tourism and livestock production (**Principle #4**). The scenarios included business-as-usual state, passive restoration, passive restoration with protection, and active restoration, constructed with a forest dynamics model (**Principle #3**). Each of these ecosystem services and their estimated net present values (the difference in value between the business-as-usual scenario and the restoration scenarios) were mapped

under each scenario **(Principle #4)**. A cost-benefit analysis of restoration was conducted by estimating the “net social benefit of restoration”, or the net value of the ecosystem services minus the costs of reforestation, considering the different discount rates involved in land use change **(Principle #3)**. In addition to showing that restoration leads to increased ecosystem service provision in almost all cases, and that there are marked differences in the cost-effectiveness of the different kinds of restoration scenarios, their results indicate that using a spatially explicit approach can allow areas with the greatest potential benefit per unit cost to be prioritized for conservation planning **(Principle #4)**. Both studies conclude that using a spatially explicit approach allows identification of the exact location of trade-offs and complementarities among desired outputs in order to minimize externalities and create a win-win situation for the environment, climate change, and for the livelihood of local landowners **(Principle #5)**.

Analyzing outcome trade-offs and synergies (Principle #5) and communicating results effectively (Principle #6)

Mitchell et al. [50] and Reed et al. [54] make use of story lines and narratives to construct and communicate their restoration scenarios effectively **(Principle #6)**. After their workshops and stakeholder consultations, *Mitchell et al.* applied a systems-based strategy to consider critical uncertainties within the drivers of change on the Tasmanian Midlands system dynamics. They created a quadrant matrix of scenarios comprising the possible combinations of these uncertainties. A smaller group of researchers (here considered as key-actors and involved stakeholders) was then able to refine scenario narratives based on scientific expert consultation. The scenarios varied on the basis of farmer profitability and social and human capital, ranging from agricultural loss and rural decline to sustainable and profitable agriculture. These narratives were then brought back to the community so that stakeholders could understand how their decisions would affect their environment **(Principle #6)**. In a similar fashion, *Reed et al.* used story lines and narratives to define their extensification or intensification policy scenarios in the UK uplands **(Principle #6)**. The narratives were communicated to stakeholders by film, which facilitated the integration of information from a wide range of sources, including local and scientific knowledge, and gave public relevance to the issue while also providing rigorous evidence **(Principle #6)**. The films illustrated and communicated those narratives in a way that was easy for people from different backgrounds and education to understand and endorse. For both studies, the narratives allowed stakeholders to identify opportunities for biodiversity conservation and potential sources of financial support to incentivize local stakeholders to pursue win-win opportunities whenever possible **(Principle #5)**.

Conflicts of interest

There is no conflict of interest.

SUPPLEMENTARY MATERIAL

After an extensive literature review (Acosta et al. unpublished data) on the use of scenarios in restoration, we did not find any comprehensive software tool for building scenarios. To build scenarios it is necessary to use *different types of data* (e.g. interviews, climate models, land use/land cover data), *multiple analyses* (e.g. algorithms, models), *obtained from diversified sources* (e.g. data gathered by participatory approaches, or by satellites), and results can be *presented in many formats* (e.g. graphs, maps, raster). As a result, it seems natural that the process of generating scenarios for environmental restoration will need multiple and diversified methodological approaches, as well as analytical tools.

In the absence of a comprehensive restoration scenario building software, here we provide a few examples of software that can be used to create and model some of the necessary inputs for building restoration scenarios. For example, FRAGSTAT is frequently used to mathematically characterize landscape structure [1]. CONEFOR [2] and Circuitscape [3] are suitable tools to evaluate connectivity. DYNAMICA-EGO [4] can be used to simulate land use and land cover dynamics. Maxent [5] and DISMO [6] and Biomod2 [7] are good examples of tools to evaluate environmental suitability or to map potential species distributions. InVEST, ARIES, TESSA are tools to evaluate ecosystem service provision (see a more comprehensive list and references in [8]). To read, integrate, map, and plot all those analyses in a spatially explicit way, GIS platforms (e.g. ArcGIS, DivaGIS, MapINFO, QGIS) and programming languages such as Python, Mathematica, Matlab, S-PLUS, and R language are encouraged.

The development of restoration scenarios requires thus multiple data types and sources, analyses, and models, which is only possible by integrating different software tools.

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