1	Salinity-induced phenotypic plasticity in threespine stickleback sperm activation
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13	
14	Abstract
15	Phenotypic expression may be and often is influenced by an organism's developmental
16	environment, referred to as phenotypic plasticity. The sperm cells of teleosts have been
17	found to be inactive in the seminal plasma and are activated by osmotic shock for most fish
18	species, through release in either hypertonic (for marine fish) or hypotonic (for freshwater
19	fish) water. If this is the case, the regulatory system of sperm mobility should be reversed in
20	salt and freshwater fish. We tested this hypothesis by first activating sperm of saltwater and
21	freshwater populations of threespine stickleback in salt- and fresh water. The sperm from
22	saltwater stickleback could be activated in either salinity, which matches the freshwater
23	colonization history of the species, whereas the sperm from the freshwater population acted
24	as predicted by the osmotic shock theory and was activated in freshwater only. As the

25 freshwater population used here was calculated to be thousands of years old, we went on to 26 test whether the trait(s) were plastic and sperm from freshwater males still could be 27 activated in saltwater after individuals were exposed to saltwater. After raising freshwater 28 stickleback in saltwater we found the mature males to have active sperm in both salt and 29 freshwater. Further, we also found the sperm of wild-caught freshwater stickleback to be 30 active in saltwater after exposing those mature males to saltwater for only two days. This 31 illustrates that the ability for stickleback sperm to be activated in a range of water qualities is 32 an environmentally induced plastic trait.

33

## 34 Introduction

35 Selection can favor flexible individuals or genotypes that are able to modify their 36 development, physiology or behavior in response to environmental cues, a phenomenon 37 broadly referred to as phenotypic plasticity [1]. Although phenotypic plasticity is usually 38 thought of as adaptive, it can also be neutral or maladaptive with regards to individual 39 fitness, depending on the environment in which the individual finds itself [2]. Adaptive 40 plasticity can affect evolutionary dynamics because it has the potential to promote 41 colonization and rapid divergent evolution in newly founded populations [3]. If the founding 42 population persists and the environment is stable, these plastic traits might become 43 genetically assimilated and expressed constitutively, rather than being plastic [4]. This loss of 44 plasticity, also called canalization, can then represent a reproductive barrier between 45 populations, if the isolated populations are differently canalized.

46

47 It seems phenotypic plasticity could have played an important role in the evolutionary
48 dynamics of the threespine stickleback *Gasterosteus aculeatus* (hereafter stickleback).

49 Originally a marine species, the stickleback successfully colonized many newly established 50 freshwater systems at the end of the last glacial period [5]. Most of these freshwater 51 stickleback populations display evidence of parallel adaptation in shape and other 52 morphological traits compared to the ancestral marine form, notably in the morphology of 53 the bony lateral plates covering each side of the stickleback body, which are reduced in most 54 freshwater populations [5]. Yet despite physical adaptation, all stickleback populations 55 investigated thus far, not only those that are anadromous, have an extremely wide salinity 56 tolerance, and can be exposed directly to a new salinity without inducing any known stress 57 responses [6, 7].

58

59 The possibility for gametic isolation has largely been overlooked in studies of adaptation to 60 freshwater, and little is known about actual fertilization success in nature between 61 stickleback inhabiting different water salinities. Stickleback reproduce externally, and have 62 gametes that might experience a dramatically different environment after being released 63 into the surrounding water/nest [5]. There are studies on stickleback indicating that sperm 64 are unable to activate in highly contrasting salinities, which suggested the possibility of a 65 gametic barrier between fresh and saltwater populations [8, 9]. The objective of this study 66 was to test whether sperm mobility could be a potential mating barrier in the threespine 67 stickleback.

68

## 69 Materials and Methods:

Details concerning sampling, sample sites and keeping fish in the laboratory can be found in
Supplementary 1. This study consists of four parts as seen in Figure 1. Males were
immediately killed by a swift blow to the head, and the testes were removed surgically. Each

73	testis was cut open and diluted in either naturally occurring fresh (~0 ppt) or artificially
74	made salt-water (30 ppt), mixed and immediately transferred to a chambered microscope
75	where sperm movement was recorded. For further details, please see Supplementary 1.
76	
77	Statistical analysis
78	Data were analyzed using R (R Development Core Team, 2011). The total number (n) of
79	males analyzed for each salinity treatment is given in Figure 1. For each experimental setup
80	we tested for differences in sperm velocity between the two treatments, freshwater and
81	saltwater using a two-tailed t-test on mean curvilinear velocity (VCL) across treatments and
82	within individual.
83	
84	Results
85	The mean velocity (± standard deviation) for marine and freshwater stickleback are found in
86	table 1 and illustrated in Figure 2. Sperm from both marine and freshwater threespine
87	stickleback could be activated by either fresh water or salt water. However, freshwater
88	males must acclimate to saltwater conditions before their sperm can be activated by salt
89	water, while sperm from saltwater males can be activated immediately by either fresh- or
90	salt water.
91	
92	Discussion
93	The ability of sperm to have a somewhat wide osmotic activation tolerance has also been
94	observed in other fish species, such as the medaka (Oryzias latipes) [10], and Gulf killifish
95	(Fundulus grandis) [11], where the sperm of Gulf killifish and tilapia also had the ability to be

96 activated by both hypotonic and hypertonic osmolarities. Osmolarity is not the only

97 mechanism by which sperm are activated, as both the concentration of specific ions and/or 98 pH levels have also been found to be of importance in some species [12-14]. Again, it is 99 interesting that the saltwater stickleback sperm can be activated in both salt and fresh 100 water, as salt and fresh water have the opposite concentration of critical ions such as 101 calcium and potassium [14].

102

103 Details of sperm activation in stickleback are not known, and the sperm characteristics found 104 in this study seem more similar to those of internally fertilizing fishes, e.g. ceasing to move 105 quite quickly in water, regardless of salinity. In internal fertilizing fish such as ocean pout 106 (Macrozoares americanus L) [15] and spotted wolfish (Anarhichas minor) [16], the milt 107 contains highly active sperm that are immobilized immediately upon dilution in salt water, 108 but stay active for days in the ovarian fluid of the females. In stickleback, the male builds a 109 nest where the female deposits her eggs, which are covered in gelatinous ovarian fluid. The 110 ovarian fluid contains a variety of ions [8, 17], and as the stickleback male spawns directly on 111 the eggs, which are within the nest, the ejected sperm are exposed to the ovarian fluid or a 112 dilution of that fluid rather than the surrounding water. This is presumably increasing the 113 longevity of the sperm cells by several hours [8], an important effect as fertilization of a 114 stickleback egg clutch has been found to take several minutes [18].

115

Sperm from freshwater males failed to activate when exposed to salt water in our experimental conditions. However, when offspring of the same freshwater population were raised in salt water, and more surprisingly, when wild caught freshwater males were acclimated for just two days to salt water, the freshwater males' sperm could be activated by either fresh or salt water. This indicates that phenotypic plasticity in sperm activation has 121 been maintained during the almost 8,000 years that this population has been living in fresh 122 water, and there has not been environmental assimilation causing sperm to be activated in 123 only fresh water. The degree of phenotypic plasticity in sperm of salt-water males is unclear 124 and was not tested, given their robust activation in both salinity treatments. Phenotypic 125 plasticity in sperm activation has also been observed in tilapia [19] and the range of 126 osmolarity that activated sperm motility shifted higher and broadened as the acclimation 127 salinity of the fish increased for Gulf killifish [11]. Spermatogenesis usually starts in adult 128 stickleback males immediately after the reproductive season, meaning the sperm can be 129 mature (thought to be a fixed state) for several months prior to spawning season [20]. It is 130 therefore quite surprising that the sperm of mature, wild caught freshwater stickleback have 131 the ability to adapt to sudden changes in osmolarity and go from almost zero activation in 132 salt water when being fresh water adapted, to having fully active sperm in salt water after 133 being acclimated to salt water for only a few days. One likely explanation for the plastic 134 activation of the sperm cells could be a change of the ion concentration in the seminal 135 plasma that is surrounding the sperm while in storage [21] - if the seminal plasma increases 136 in osmolarity when the fish is exposed to saltwater, the spermatozoa could change such that 137 they become active already in the testis, as is the case for internally fertilizing fish [15, 16]. 138 However, while sperm of internally breeding fishes loses the ability to move instantly in pure 139 salt water (not tested in fresh water) [16], the sperm of saltwater stickleback and salt water-140 acclimated freshwater stickleback are motile also in both salt and fresh water. Spermatozoa 141 mobility signaling is a complex and highly orchestrated process that has not been extensively 142 studied in fish [22]. More work is needed to determine which physiological acclimation 143 mechanisms the stickleback use to change the interpretation of the osmotic stress signals 144 from hypo- to hyperosmolarity, and vice versa, to activate the spermatozoa mobility.

145	
146	Ethics
147	This research was approved by the National Animal Research Authority in Norway, permit
148	number 4442.
149	
150	Data accessibility
151 152	doi:10.5061/dryad.ck800
153	Authors' contributions
154	A.T, A.B.M and T.L designed the study. All authors contributed to data collection. E.R.A.C
155	analyzed the sperm velocity videos, A.T analyzed the data and wrote the first draft of the
156	paper. All authors contributed towards subsequent drafts critically and approved the final
157	version of the manuscript. All authors agree to be held accountable for the content in this
158	manuscript.
159	
160	Competing interests
161	The authors declare no competing interests.
162	
163	Funding
164	The Norwegian Research Council.
165	
166	Acknowledgements:

- 167 We thank Anders Herland and Haaken Hveding Christensen for assistance in the fish lab,
- 168 Sondre Ski, Martin Malmstrøm and Arthur Bass for help in the field, and Asbjørn Vøllestad
- 169 for constructive comments to the manuscript.
- 170

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- flagella response. *Reproductive Biology* 14, 165-175. (doi:10.1016/j.repbio.2013.12.005).
- 231
- 232 Table 1: Mean velocity (μm/s) and standard deviation for each group, t-test, degrees of
- 233 freedom (df) and p-value of t-test for the comparisons. There was no significant difference in
- the sperm velocity between the two and seven-day exposure ( $F_{2\&21}$  = 0.47, p = 0.63, so these
- were combined in the t-test, referred to as "acclimated combined" in the table. The results
- are illustrated in Figure 2.
- 237
- 238 Figure Legends:
- 239 Figure 1. Experimental design. The fish were wild caught from their natural environment,
- either saltwater or freshwater, and taken into holding tanks in the lab, then: a) saltwater fish
- in saltwater b) freshwater fish in freshwater c) freshwater fish bred and grown in saltwater
- d) freshwater fish held in saltwater for two and seven days. e) illustrate the data collection,
- 243 at the experimental start both testes were removed surgically. One was activated in
- saltwater and the other was activated in freshwater, n = number of testis activated for each

group. Several activity parameters were recorded by video. In all panels, dark grey indicatessaltwater and light grey indicates fresh water.

247

248	Figure 2. Results. Sperm velocity in salt- and freshwater for the four experimental setups
249	expressed as boxplots of the mean VCL of each individual, calculated from 4 or 6 filmed
250	locations. Shown are 25%-75% quantiles (boxes), median (black horizontal line), mean (*),
251	95% limits (bars), and outliers (open circles). As there were no significant differences
252	between the two- and seven-day saltwater-exposed treatments, the data were pooled and
253	illustrated as one plot (d).
254	
255	Taugbøl, Annette; Mazzarella, Anna; Cramer, Emily Rebecca A; Laskemoen, Terje.
256	Salinity-induced phenotypic plasticity in threespine stickleback sperm activation. Biology

257 Letters 2017 ;Volum 13 <u>10.1098/rsbl.2017.0516</u>