1	Biotic and abiotic determinants of the ascent behaviour of adult Atlantic salmon transiting
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#### 20 Abstract

21

22 The spawning migration of Atlantic salmon has been characterized by tracking salmon carrying 23 electronic tags as they ascend rivers but still little is known about how natural obstacles such as 24 waterfalls influence migratory behaviour and how such behaviours are mediated by various biotic 25 (e.g., fish size) and abiotic (e.g., discharge, water temperature, barometric pressure) factors. The 26 Norwegian river Numedalslågen is interrupted by natural waterfalls ranging in height from 2 to 6 27 m. We tagged 113 Atlantic salmon with radio transmitters in the estuary and used stationary radio 28 telemetry stations to track fish. Ninety-one salmon were recorded in Numedalslågen, 39 of which 29 remained in the river for spawning. Large salmon moved farther and faster upriver but also delayed 30 longer and had lower daily probability to pass the second waterfall. Delay below and passage 31 probability at the final, largest waterfall was affected by water discharge, wherein passage occurred 32 when discharge was declining. Barometric pressure also influenced daily probability of ascent, 33 albeit in opposite directions for each waterfall. Importantly, we also found that salmon with 34 surgically implanted radio transmitters moved farther upriver on average and delayed less time 35 below one of the waterfalls than those with externally attached transmitters. Although there is 36 variance in timing arising from individual decision making, we showed that natural waterfalls 37 delay progress of Atlantic salmon on their spawning migration and that both biotic (i.e. size) and 38 abiotic (i.e. barometric pressure, discharge) factors influenced the salmon's decisions to pass 39 waterfalls that they encounter.

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41 Keywords- biotelemetry, waterfall, migration, exploitation, straying, salmonidae

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- 42 Introduction
- 43

44 Migration behaviour has evolved in all animal taxa and serves different functions among species (Dingle 1980, 2014). Migration behaviour often maximizes lifetime fitness (Dingle and 45 46 Drake 2007), and migratory animals access multiple habitats to exploit spatiotemporal dynamic 47 resources. Migratory animals are increasingly threatened by human developments that obstruct 48 migration and limit access to key habitats (Lennox et al. 2016). Migratory barriers can include 49 city buildings for birds (Hager et al. 2013), wind turbines for bats (Cryan and Brown 2007), and 50 dams for fishes in freshwater systems (Kareiva et al. 2000; Roscoe and Hinch 2010; Noonan et 51 al. 2012). Dams are constructed for flood control, irrigation, hydropower generation, among 52 other reasons, and now number in the tens of thousands around the globe. These unnatural 53 barriers can often delay (Jensen et al. 1986; Gowans et al. 1999) or disrupt (Tentelier and Piou 54 2011) migration (see Thorstad et al. 2008). Some dams are passable to fish by either being 55 sufficiently small that jumping fish may ascend or by the provision of fish passage facilities 56 intended to enable the upstream migration of migrants. Rivers are highly variable, and there are 57 both local differences in gradient and hydrology as well as seasonal changes in river features, 58 especially temperature and flow, that can be considered obstacles to migration (Thorstad et al. 59 2008).

60

Many rivers are now altered, challenging migration of many fishes (Lennox et al. 2016).

- 61 Rivers also have natural challenges to migration, obstacles whose features provide important
- 62 information about natural variation in migration behaviour within fish species. Atlantic salmon

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63	(Salmo salar) provides a good model species for studying migration behaviour because it is
64	widely distributed in the North Atlantic Ocean (MacCrimmon and Gots 1979), is economically
65	and culturally important throughout its range (Stensland 2010), is a species at risk in many
66	jurisdictions (Parrish et al. 1998, ICES 2017), and has a well-studied upriver migration biology
67	(Jensen et al. 1986; Økland et al. 2001; Baisez et al. 2011; Richard et al. 2014; Kristinsson et al.
68	2015). The current paradigm for Atlantic salmon migration has been developed from electronic
69	tagging studies (Økland et al. 2001; Richard et al. 2014) in rivers with low gradient and
70	relatively linear migrations between the tagging site and spawning grounds for fish. Økland et al.
71	(2001) observed salmon rapidly ascending rivers in an active migration phase until they reached
72	their eventual spawning site, where they held in pools for weeks or months until reproduction
73	(Heggberget 1988). Richard et al. (2014) similarly suggested a rapid ascent of the river, albeit
74	with holding occurring in favourable pools that may not necessarily be near the spawning sites.
75	In many rivers, the spawning grounds are beyond natural obstacles such as high velocity gorges
76	(Lennox et al. 2015) or waterfalls (Kennedy et al. 2013; Kristinsson et al. 2015) that many
77	salmon ascend during the migration. How these natural obstacles alter the migration patterns of
78	salmon in freshwater is under-represented as a component of the migration biology of salmon.
79	High gradient rivers may challenge salmon migration, and identification of the factors
80	that influence upriver migration can therefore contribute to a more complete model of fish
81	migration biology by contrasting patterns and strategies used by fish under different hydraulic
82	environments. Moreover, documenting the passage patterns and success of salmon at natural
83	obstacles will advance understanding of salmon behaviour at manmade obstacles such as

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84 fishways by providing evidence of natural behaviour for contrast (Jensen et al. 1986; Gowans et 85 al. 1999). Numedalslågen River in southern Norway includes waterfalls that salmon can ascend 86 to spawning grounds, providing a venue in which to investigate the migration behaviour of 87 Atlantic salmon in a river punctuated by waterfalls. We used radio-tagging and linear regression 88 models to investigate the rate of displacement, delays below waterfalls, and probability of 89 waterfall passage during two migratory seasons in Numedalslågen. 90 91 **Methods** 92 **Study Site** 93 94 95 Numedalslågen measures 336 km and is Norway's third longest river, draining a total catchment area of 5670 km<sup>2</sup> and meeting the Atlantic Ocean at 59.043604, 10.064923. The main 96 97 stem of the river consists of 72 km accessible to salmon up to Hvittingfoss, in addition to 55 km 98 of major tributaries of the river including the Hagnes, Dale, and Herland Rivers. Salmon spawn 99 throughout this 72 km stretch and in tributaries. In July and August, the maximum temperatures 100 in the river attain 15-25 °C. The river is developed for hydropower production, but all power 101 stations are upstream of the salmon producing stretch. The river has relatively high fish species 102 richness and includes migratory Atlantic salmon, which are of high cultural importance, 103 supporting recreational hook and line fishing in the river, and a method of traditional recreational 104 fishing that is endemic to the watershed. The river is interrupted by waterfalls that salmon ascend This is the peer reviewed version of the following article: Lennox, Robert J.; Thorstad, Eva Bonsak; Diserud, Ola Håvard; Økland, Finn; Cooke, Steven J.; Aasestad, Ingar; Forseth, Torbjørn.

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105	before reaching the end of the migratory stretch at Hvittingfoss: Abyfoss (6 m), Holmfoss (2 m;
106	Figure 1A), and Hoggtveita (3 m; Figure 1B). Flow in the river and at these waterfalls is partially
107	controlled by release of water through the power generating station at rates set by Norwegian
108	Royal Decree. Flow thresholds were updated in 2001 to stipulate minimum flow requirements at
109	the town of Kongsberg (upstream of the salmon producing stretch), from May 25 – June 30 (65
110	$m^3 s^{-1}$ ), July 1 – July 30 (50 $m^3 s^{-1}$ ), and August 1 – August 31 (40 $m^3 s^{-1}$ ). The contribution from
111	non-regulated parts of the watershed at the river mouth is more than 50%, so the river is largely
112	impacted by natural variation in water discharge.
113	
114	Sampling
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116	
110	A total of 113 salmon were intercepted before they entered the Numedalslågen River in
117	A total of 113 salmon were intercepted before they entered the Numedalslågen River in 2003 (N = 64; 25 male, 38 female, 1 unknown; $72 \pm 12.8$ cm) and 2007 (N = 49; 11 male, 37
117	2003 (N = 64; 25 male, 38 female, 1 unknown; $72 \pm 12.8$ cm) and 2007 (N = 49; 11 male, 37
117 118	2003 (N = 64; 25 male, 38 female, 1 unknown; $72 \pm 12.8$ cm) and 2007 (N = 49; 11 male, 37 female, 1 unknown; $81 \pm 9$ cm) throughout the season (May 22 – August 19, 2003; May 21 –
117 118 119	2003 (N = 64; 25 male, 38 female, 1 unknown; $72 \pm 12.8$ cm) and 2007 (N = 49; 11 male, 37 female, 1 unknown; $81 \pm 9$ cm) throughout the season (May 22 – August 19, 2003; May 21 – September 5, 2007). Fish were captured in bag nets in the Larviksfjord 3.0 km from the
<ol> <li>117</li> <li>118</li> <li>119</li> <li>120</li> </ol>	2003 (N = 64; 25 male, 38 female, 1 unknown; $72 \pm 12.8$ cm) and 2007 (N = 49; 11 male, 37 female, 1 unknown; $81 \pm 9$ cm) throughout the season (May 22 – August 19, 2003; May 21 – September 5, 2007). Fish were captured in bag nets in the Larviksfjord 3.0 km from the Numedalslågen River estuary bridge. Only undamaged fish swimming freely in the nets were
<ol> <li>117</li> <li>118</li> <li>119</li> <li>120</li> <li>121</li> </ol>	2003 (N = 64; 25 male, 38 female, 1 unknown; $72 \pm 12.8$ cm) and 2007 (N = 49; 11 male, 37 female, 1 unknown; $81 \pm 9$ cm) throughout the season (May 22 – August 19, 2003; May 21 – September 5, 2007). Fish were captured in bag nets in the Larviksfjord 3.0 km from the Numedalslågen River estuary bridge. Only undamaged fish swimming freely in the nets were selected for the study. To increase sample size, four of the salmon in 2007 were captured with

125	For tagging, fish were placed in a 0.5 mL/L 2-phenoxy-ethanol bath (EEC No. 204 589-
126	7) for three minutes for anaesthesia and then an external radio transmitter (model F2120 and
127	F1970 from Advanced Telemetry Systems [ATS], Isanti, Minnesota, USA) was attached with
128	wire passed through the dorsal musculature below the dorsal fin. The transmitters were
129	rectangular and measured $19 \times 50 \times 9$ cm with a weight in air of 15 g in 2003, and $13 \times 29 \times 7$
130	mm with a weight in air of 4.3 g in 2007. In consideration that external tags were smaller in 2007
131	than 2003, we checked whether there were differences associated with tag sizes before pooling
132	both together and found no effects. Therefore, the size of the tag is not considered in any
133	analyses comparing external and internal tags. In 2003, 38 of the individuals were implanted
134	with radio transmitters (ATS model F1830, cylindrical shape, 12 x 53 mm, 11 g in air) in the
135	coelom instead of external attachment. These transmitters were surgically implanted in
136	anaesthetized fish by making a 2.5 cm long incision on the right side of the abdomen behind the
137	pectoral fins 1-3 cm from the centre, inserting the transmitter, drawing the antenna through a
138	separate hole in the skin made by a surgical cannula, and suturing the incision with non-
139	absorbable silk (Ethicon 2/0). During the surgery, the fish were held supine with water pumped
140	over the gills. Individual fish were identified by using radio transmitters with unique
141	combinations of frequency (within the 142.003-142.493 MHz range) and pulse rate (40 to 60
142	pulses per minute). The transmitters had a guaranteed battery life of 94-129 d.
143	Before tagging, sex was assigned to each fish by visual assessment of secondary sexual
144	traits, was measured, and was sampled for 2-3 scales posterior to the dorsal fin near the midline.
145	Scale samples were visually analyzed to determine the origin of the tagged salmon (wild or

cultivated from hatcheries; none were identified as escaped from commercial marine salmon
farms). After tagging, fish were transferred to a recovery tank until they could swim normally
and be released into the sea.

149

150 Tracking

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Migration of salmon in Numedalslågen was monitored by stationary and manual radio 152 153 tracking. Stationary data logging stations (ATS DCCII Datalogger, with four or nine element 154 Yagi antennas at each site) were established at Åbyfoss, Holmfoss, and Hoggtveita (Figure 2). 155 The Bommestad station was established to identify the entrance of fish into the river and was 156 placed sufficiently upriver to avoid incursion of tidal water that would attenuate radio signals and 157 reduce the probability of registering salmon. The stations at Holmfoss and Hoggtveita were 158 established to monitor the passage of the waterfalls. Delays were defined as time spent in the pool below the waterfall. Because the Åbyfoss waterfall is below Bommestad, the delay and 159 160 passage time of fish at this waterfall were calculated using manual tracking positions. Technical 161 problems in 2007 caused the stationary data loggers at Bommestad (July 15-22), Holmfoss 162 (August 19-22), and Hoggtveita (July 31 – August 13) to be out of operation. Positions were 163 generated (approximate accuracy  $\pm$  150 m) by manual radio tracking of fish with an ATS R2100 164 radio receiver at three-day intervals (May 24 - October 1 in 2003 and May 27 – October 25 in 165 2007) and then weekly, until November 26 in 2003 and until December 28 in 2007. Radio 166 tracking was also conducted in the nearby Drammen River (59.739314, 10.216454) September

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167	17, 2007 and November 21-22, 2007. Spawning likely occurs in early November (Heggberget
168	1988); therefore, positions on November 2 were taken to be representative of the final spawning
169	position of salmon in the river.
170	
171	Environmental Monitoring
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173	Recordings of water flow at Holmfoss and water temperature at Bommestad were
174	provided by Norwegian Water Resources and Energy Directorate. Daily rainfall was registered
175	in Larvik (59.058320, 10.121998) and Kongsberg (59.624465, 9.637968) and atmospheric
176	barometric pressure was recorded in Kongsberg.
177	
178	Analyses
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180	Our first set of analyses focused on using radio tracking data to calculate the timing of
181	movements by Atlantic salmon within Numedalslågen. From detection data, we calculated the
182	timing of river entry, the spawning site (km upriver), rate of displacement in kilometres per day
183	(log transformed to suit the assumption of normally distributed residuals), and the time required
184	to attain the maximum position in the river (d). Each of these analyses was implemented with
185	linear models with the <i>lm</i> function in R (R Core Team 2017) considering fish body size, sex, date
186	first recorded in the river, origin (hatchery or wild), and tag type (externally attached or
187	implanted) as fixed effects. To account for variance among years the data should ideally

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188 incorporate a random intercept, i.e. fit a mixed effects model with year as random factor, 189 however, with only two levels (2003 and 2007) the model cannot effectively account for the 190 variance so we considered year as a fixed effect. In consideration of possible effects of the 191 timing of river entry and distance traveled, these variables were also tested as fixed effects that 192 could explain the displacement by the migrating fish. We checked for violation of independence 193 in case of temporal autocorrelation but found no evidence of this in the model. The final model 194 was selected by backwards selection from the initial model by considering AIC improvement 195 and significant fixed effects. Because there was some skewedness in the distribution of the 196 response variables, we also considered a generalized linear model for the number of days to enter 197 the river using rapid (< 2 d to enter) or latent (> 2 d to enter) as a binned binomial response 198 variable using the *glm* function in R.

199 Our second set of analyses was to investigate the factors related to waterfall passage. The 200 first aspect we modelled was the number of days delayed below each waterfall. The second was 201 the daily probability of passage, which was modeled by generalized linear mixed effect model 202 (glmer function from the lme4 package in R), with fish ID as random factor to account for 203 temporal pseudoreplication because we have repeated measurements from the same individual. Owing to poor resolution of passage data at Åbyfoss, models were only constructed for the 204 205 Holmfoss and Hoggtveita waterfalls. Fixed effects hypothesized to influence the number of delay 206 days and daily passage probability were body size, sex, origin, tag type (only for 2003 model), 207 day of year, days since arrival, barometric pressure, precipitation at Larvik and at Kongsberg, 208 water discharge and temperature, change in water discharge and temperature since previous day,

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209	relative mean discharge (equal to the day's discharge minus the average discharge recorded each
210	day since arrival at waterfall), and relative minimum discharge (equal to the day's discharge
211	minus the minimum discharge recorded on each day since arrival at waterfall). Means are
212	presented $\pm$ standard deviation.
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214	Results
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216	Summary
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218	Among the 113 salmon tagged, six were neither recorded by radio receivers nor
219	recaptured and may have entered/ spawned in distant rivers or been recaptured without being
220	reported or died for other reasons. Eight individuals were captured in marine fisheries and eight
221	in river fisheries (Rivers Drammen and Glomma) and harvested without entering
222	Numedalslågen. Ninety-one (81%) salmon (77 $\pm$ 12 cm; 30 M, 60 F, 1 unknown) were recorded
223	in Numedalslågen on average $3.70 \pm 9.38$ d after tagging (range = $0.19 - 70.52$ d). The majority
224	of these (56%) entered within 1 d of tagging. We did not find any significant relationships
225	between time from release to entry of River Numedalslågen and sex, body size, tag type, or
226	origin using linear regression. In consideration of skewedness in the distribution of entry times
227	by using rapid (< 2 d) or latent (> 2 d) entry as a binomial response, and when only considering
228	rapid enterers, there were no significant effects. However, the later in the season the fish was
229	released, the faster it entered (t = $-2.08$ , P = $0.04$ ).

230	Considering the 91 salmon that were recorded in Numedalslågen, 24 (26%) permanently
231	exited the river, five of which were harvested or tracked by manual tracking in the nearby
232	Drammen River. More salmon tagged in 2003 (16 of 50; 32%) than 2007 (8 of 40; 20%) exited
233	the river. Twenty-eight of the 67 salmon that remained in Numedalslågen (42%) were harvested
234	by fishers (17 [25%] by hook-and-line anglers, 11 [16%] in the traditional fishery). Therefore, 39
235	salmon were recorded in Numedalslågen during the spawning period, on average $34 \pm 18$ km
236	upriver. The final model for spawning position was reduced to only include fish body size and
237	tag type. Therefore, spawning position was not different between male and female or between
238	cultured and wild salmon, or dependent on timing of river entry. Fish with implanted transmitters
239	spawned farther upriver than those with externally attached tags ( $t = 2.11$ , $P = 0.04$ ). Longer
240	salmon also spawned significantly farther upriver (t = $3.07$ , P < $0.01$ ; Table 1).
241	The salmon that remained in the river through spawning moved at an average ground rate
242	of displacement of 10.3 km/d from river entry to spawning grounds (Figure 3). There were no
243	significant predictors of displacement except for year, in which fish were delayed longer in 2007
244	(when there was a flood event, see below). The number of days for a salmon to reach its
245	maximum upriver position was not affected by sex, tag type, or whether the fish was cultivated
246	or wild and these variables were excluded from the final model. However, displacement was
247	positively influenced by fish body size (t = $2.39$ , P = $0.02$ ) in a univariate model reduced based
248	on AIC. This suggests that larger fish moved upriver more quickly than smaller fish. There was
249	equivalent evidence to suggest that the date of first record in the river negatively influenced

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displacement, with later fish swimming slower (t = -2.41; P < 0.02). The multivariate model with both factors fit poorly because of negative collinearity.

252

253 Waterfall Passage

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Åbyfoss, Holmfoss, and Hoggtveita were obstacles to upriver migration of salmon in
Numedalslågen based on observations of delays below these obstacles (see below) compared to
fast displacement between the waterfalls (Table 2, 3). Within three days, 64% of wild and 58%
of cultivated salmon ascended Åbyfoss, but a regression model to explain the timing of ascent
was not possible because of low resolution of ascent timing without the stationary loggers. *Delay Below Waterfall*

262

263 Average delay of wild salmon at Holmfoss was  $15 \pm 9$  d (range = 0 - 28 d) and was  $29 \pm 100$ 264 29 d (range = 10 - 78 d) for cultivated salmon. Six of 12 wild salmon and 2 of 5 cultivated 265 salmon exhibited downstream and upstream searching behaviour after encountering Holmfoss 266 and one of the cultivated salmon returned to sea. The best linear model of 2003 salmon delaying 267 at Holmfoss excluded sex, cultivation, and environmental variables. Larger fish delayed longer 268 under Holmfoss (t = 2.68, P = 0.02) and delays were longer later in the season (t = 8.80, P < 269 0.01). Fish with external tags also delayed longer than fish with implanted tags (t = -4.47, P < 270 0.01; Table 4).

271	Seven wild salmon ascended Hoggtveita in 2003 after $15 \pm 16$ d (range = 1 – 38 d).
272	Whereas the delay days were predicted by body size, day of year, and tag type for salmon at
273	Holmfoss, these variables were excluded from the final model for delay days at Hoggtveita.
274	Because the tag type had no effect on the model, it was constructed with data from both 2003
275	and 2007. For both years, 15 salmon ascended after $8 \pm 12$ d (range = 0-38 d). The best model
276	included only the two discharge variables, the relative mean (t = -12.7, P < 0.01) and relative
277	minimum (t = $3.85$ , P < $0.01$ ) discharges, suggesting that salmon delayed at the waterfall until
278	days when the discharge attained values lower than they had experienced since arrival. However,
279	because the two variables were correlated, we conclude that a univariate model with only relative
280	mean discharge (t = -8.46, P < 0.01) as the best model for ease of interpretation.
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201	
282	Daily Probability of Passage
	Daily Probability of Passage
282	Daily Probability of Passage Salmon ascended Holmfoss at flows up to 235 $m^3 s^{-1}$ and as low as 57 $m^3 s^{-1}$ . Average
282 283	
282 283 284	Salmon ascended Holmfoss at flows up to 235 m <sup>3</sup> s <sup>-1</sup> and as low as 57 m <sup>3</sup> s <sup>-1</sup> . Average
282 283 284 285	Salmon ascended Holmfoss at flows up to 235 m <sup>3</sup> s <sup>-1</sup> and as low as 57 m <sup>3</sup> s <sup>-1</sup> . Average water flow at passage of Holmfoss was $107 \pm 75$ m <sup>3</sup> s <sup>-1</sup> . Salmon ascended both when flows were
282 283 284 285 286	Salmon ascended Holmfoss at flows up to 235 m <sup>3</sup> s <sup>-1</sup> and as low as 57 m <sup>3</sup> s <sup>-1</sup> . Average water flow at passage of Holmfoss was $107 \pm 75$ m <sup>3</sup> s <sup>-1</sup> . Salmon ascended both when flows were rising (N = 12) and declining (N = 15), as well as when they were stable (N = 6). Various water
282 283 284 285 286 287	Salmon ascended Holmfoss at flows up to 235 m <sup>3</sup> s <sup>-1</sup> and as low as 57 m <sup>3</sup> s <sup>-1</sup> . Average water flow at passage of Holmfoss was $107 \pm 75$ m <sup>3</sup> s <sup>-1</sup> . Salmon ascended both when flows were rising (N = 12) and declining (N = 15), as well as when they were stable (N = 6). Various water flows were available to fish while they were resting below Holmfoss, and monitoring of the
282 283 284 285 286 287 288	Salmon ascended Holmfoss at flows up to 235 m <sup>3</sup> s <sup>-1</sup> and as low as 57 m <sup>3</sup> s <sup>-1</sup> . Average water flow at passage of Holmfoss was $107 \pm 75$ m <sup>3</sup> s <sup>-1</sup> . Salmon ascended both when flows were rising (N = 12) and declining (N = 15), as well as when they were stable (N = 6). Various water flows were available to fish while they were resting below Holmfoss, and monitoring of the flows concurrently with radio tracking suggested that there were potential opportunities (i.e.

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atmospheric pressure (z = -1.91, P = 0.06); although barometric pressure was not significant its inclusion improved the model by  $\Delta AIC = 13.1$ . Therefore, longer fish had less daily probability to pass Holmfoss than shorter salmon on any given day, which aligns with the finding that longer fish experienced longer delay along with some evidence that probability of passage was higher on days with low barometric pressure (Table 5).

297 Passage of Hoggtveita was undertaken by salmon at water discharges between 59 and 298 140 m<sup>3</sup> s<sup>-1</sup> (mean =  $86 \pm 25$  m<sup>3</sup> s<sup>-1</sup>). Ten of the fifteen salmon that ascended Hoggtveita did so 299 when flows were in decline. Apparently, the longer delay at Hoggtveita increased the discharge 300 that salmon were willing to ascend at. Similar to salmon at Holmfoss, salmon experienced a 301 range of flows while holding in the pool below Hoggtveita. There was also evidence that the 302 individual fish's experience influenced the delay below Hoggtveita given there was a significant 303 negative relationship between probability of passage and the water discharge (z = -3.29, P < 304 (0.01) as well as the relative mean discharge (z = -3.16, P < 0.01). In fact, all except one salmon 305 that ascended Hoggtveita did so at the lowest discharge measured during their stay below the fall 306 (Figure 4). As with Holmfoss, the barometric pressure was also included in the final model but in 307 this instance, it was a significant predictor of daily passage probability (z = 5.40, P < 0.01); 308 however, the positive relationship suggested that passage probability was higher on days of high 309 pressure, which was the opposite of what was observed at Holmfoss (Table 6).

310

### 311 Behaviour during a flood event

312

313	Between June 28 and July 6, 2007, water flows increased from 156 to 1020 m/s <sup>3</sup> . Wild
314	salmon (N = 7) moved downstream on average 2.1 km (range $0.6 - 3.7$ km) during the flood. As
315	above, the average rate of displacement, kilometres per day, was smaller in 2007 than in 2003,
316	likely because of this flooding. All salmon survived the flood and moved back upriver. They
317	were back to the site where they resided before the flood within 1-2 weeks, i.e. when the water
318	discharge was decreasing again after the flood. One cultivated salmon exited the river during the
319	flood and returned later in the season.
320	
321	Discussion
322	
323	Anadromous fishes are admired for their feats of stamina and strength in ascending
324	complex hydraulic landscapes for spawning. Salmonids can travel long distances in high gradient
325	rivers, beyond rapids and waterfalls and now also fish passage structures (Gowans et al. 1999).
326	Ascent of such natural and anthropogenic migration obstacles is energetically taxing (Booth et al.
327	1997; Burnett et al. 2014) and fish may opt to migrate during favourable conditions to economize
328	energy expenditure during the migration (Jensen et al. 1986; Richard et al. 2014). Here, we
329	revealed that salmon naturally delay at waterfalls during the upriver migration; once they
330	overcame the obstacle, they resumed rapid movement upriver towards spawning grounds or until
331	another obstacle was encountered. This expands on the general model of Atlantic salmon upriver
332	migration proposed by Økland et al. (2001); our findings corroborate the rapid ascent of the river
333	by salmon towards spawning grounds but add that natural barriers impose significant natural

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delays on the migration that can be difficult to predict, not unlike what is sometimes observed at
anthropogenic barriers equipped with fish passage facilities. Ultimately, salmon that encountered
obstacles in our study still arrived early at spawning grounds and hold near the spawning site for
an extended period, as in Økland et al. (2001).

338 Most of the salmon tagged in the estuary of Numedalslågen entered the river soon after 339 tagging and commenced their freshwater migration. Size was consistently an important factor 340 predicting migration behaviour of the salmon, a significant predictor of both distance traveled 341 upriver and the number of days elapsed before reaching the spawning site. Fitness is related to 342 size for this fish insofar as large individuals are endowed with greater reproductive capital (Heinimaa and Heinimaa 2004). The asset protection principle (Clark 1994) suggests that large 343 344 fish, having higher fitness, should forego foraging opportunities at sea earlier than small 345 individuals for the relative safety of freshwater; indeed, large fish return earliest and migrate 346 farther (Laughton and Smith 1992). However, body size did not predict timing of river entry 347 potentially because the fish that were captured for tagging were already on the way to enter the 348 river and therefore not a random sample to test this on. Longer salmon are capable of faster 349 swimming (Thorstad et al. 2008), and therefore should move more quickly than smaller 350 counterparts (Laughton and Smith 1992); however, large body size also seems to increase 351 susceptibility to delays associated with low flow (Jonsson et al. 1990). Precisely why the longest 352 tagged salmon would move farther upriver is unknown (Fleming 1996). Interestingly, the finding 353 that longer fish moved faster and farther contradicts findings from the waterfall passage (covered 354 later), which showed longer delays and lower probability of passage of the Holmfoss waterfall of

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long salmon, which is consistent with findings at a waterfall in the Laxa River (Kristinsson et al.2015).

357 Although most of the tagged salmon spawned in Numedalslågen, many also entered 358 neighbouring rivers. Straying is uncommon in Atlantic salmon but some fish do migrate to non-359 natal rivers (Jonsson et al. 2003; Ulvan et al. 2017). The proximity of Numedalslågen to the 360 Drammen River might increase the probability of non-native fish to intrude given that there is a 361 hatchery in Drammen and cultivated salmon tend to have less accurate homing to natal rivers 362 (Jonsson et al. 1991). Havn et al. (2015) also identified salmon exiting the southern Norwegian 363 river Otra and entering nearby rivers throughout the summer and it is probably not uncommon for salmon to move between local rivers during the migration. Numedalslågen was subjected to 364 365 wood pulp pollution during 2003 and a flood in 2007 that affected the movement of fish in the 366 river. Effects of wood pulp pollution on salmon migration was detailed in Thorstad et al. (2005) 367 and could account for why more fish exited the river in 2003 than in 2007. Increased flows may 368 stimulate upriver migration (Taylor and Cooke 2012), but extreme flow events can be stressors 369 (Costa et al. 2017) and floods could disturb migrating fish, as we observed in Numedalslågen. 370 Given that this is one of the first studies to document the migration of salmon during flood 371 events, it is particularly notable that fish remained in the river and continued migrating once 372 flows normalized.

373 Salmon delayed at both the Holmfoss and Hoggtveita waterfalls for variable durations
374 and there were considerable differences among individuals in timing of their ascent. Although
375 we were only able to model the external variables, there is also individual decision making that

376 creates intraspecific variation, which is likely important to the overall equation given the 377 relatively low explanatory power of the models. Nonetheless, we were able to reveal important 378 external determinants of delays. Delays of salmon at both Holmfoss and Hoggtveita were longer 379 than delays recorded at waterfalls in the Icelandic River Laxa, where salmon were delayed only up to 19 d (Kristinsson et al. 2015). At Hoggtveita, delays apparently extended until the water 380 381 discharge at the fall decreased to an acceptable rate, which was different depending on the 382 individual's experience since reaching the fall. Salmon evidently benefit by delaying at 383 waterfalls rather than ascending hastily. Interestingly, salmon passed Holmfoss at higher 384 discharges than the discharges at which they ascended Hoggtveita, potentially because the latter 385 is a larger, more energetically demanding fall. Salmon were active at much higher discharges 386 than were salmon in the Scottish River Tummel (moved into entrance chamber only up to 65.6 387 m<sup>3</sup> s<sup>-1</sup>) and paused migration at lower discharges than identified elsewhere. In Norwegian rivers Vefsna and Målselva, salmon ascended fish ladders at up to 300 m<sup>3</sup> s<sup>-1</sup> (Andeson and Langeland 388 389 1971; Jensen et al. 1986). Correspondingly, catches of salmon by anglers apparently decreases beyond 250 m<sup>3</sup> s<sup>-1</sup>, approximately the highest flow at which we recorded waterfall ascent in 390 391 Numedalslågen. Although water discharge seems important to the passage of salmon, water 392 discharge was only a significant predictor of passage probability at the larger waterfall where 393 perhaps the physical exertion would be more significant at high flows. 394 Although temperature has elsewhere been correlated with salmon passage (Gowans et al.

395 1999; Kennedy et al. 2013; Kristinsson et al. 2015), barometric pressure was the only consistent

396 predictor variable included in final models explaining daily passage probability at both

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397 waterfalls, yet it appeared to act in opposite ways at the two falls. Evidence that fish behaviour is 398 manipulated by barometric pressure is equivocal (Banks 1969), although birds may use it to 399 forecast weather (Bauer et al. 2011). In fact, significance of pressure is likely explained by 400 correlations with other potentially relevant meteorological factors such as cloud coverage, 401 precipitation, and water temperature (Holmsten 2015). Pressure could therefore be used in 402 forecasting oncoming temperatures and water discharges caused by insolation and precipitation. 403 Why there were opposite effects of barometric pressure at the two waterfalls is mysterious but is 404 consistent with the observation that salmon behaviour was different at the two waterfalls, with 405 different flow requirements preferred for passage. Local knowledge is that salmon cannot pass Hoggtveita beyond 150 m<sup>3</sup> s<sup>-1</sup>, so low water levels and high pressure favour movement of salmon 406 407 at this waterfall whereas high water levels stimulate migration in other reaches. High water 408 discharges present a migration obstacle and salmon clearly delay their migration to pass at 409 favourable flows. Obstacles may differ substantially in their permeability to salmon both within 410 and among systems; each obstacle should therefore be considered independently when modeling 411 the upriver passage of salmon.

Relative to surgically implanted tags, external tags reduced distance travelled and prolonged delays below the Holmfoss waterfall. Transmitter attachment methods are known to affect the swimming performance of fish (Bridger and Booth 2003; Jepsen et al. 2015). Lewis and Muntz (1984) found that external tagging increased both tail and opercular beat frequency of fish and Arnold and Holford (1978) quantified a decrease in swimming speed, suggesting that an increase in power output to compensate for drag caused by external transmitters. Contrastingly,

418 Thorstad et al. (2000) identified no impact of external or implanted transmitters on the 419 swimming performance of Atlantic salmon in swim flumes. However, few studies have reported 420 on comparisons of wild fish performance between tag types or tagging methods. The effects of 421 external transmitters may be variable depending on water velocity, with negative effects 422 increasing with flows. If so, then ascent of waterfalls would be negatively impacted by external 423 transmitters compared to implanted transmitters. Compromises in speed of the procedure and 424 invasiveness of tagging are necessary when deciding how to tag salmon (Jepsen et al. 2015). We 425 suggest that the environmental conditions that they will encounter must factor into the decision, 426 with internal tags preferable where salmon are expected to encounter high flows (Thorstad et al. 2000), but with the warning that new surgical incisions may not heal well for salmon negotiating 427 428 a river with waterfalls such as Numedalslågen (as shown by two recaptured fish in this study). 429 Gastric tagging was not evaluated here but is a rapid method of non-surgically implanting a tag; 430 however, gastric tags may damage the stomach of the fish (Dick et al. 2017) and the long-term 431 effects are unknown, potentially making them unsuitable for iteroparous species. 432 Radio telemetry enabled us to investigate the natural behaviour and performance of 433 Atlantic salmon past natural obstacles in a regulated river. Although our analyses lack 434 information about individual decision making that creates variation, we were able to construct 435 models that effectively explain some of the variation in waterfall ascent based on external, 436 measurable variables. Wild and cultivated Atlantic salmon in Numedalslågen were capable of 437 ascending each natural obstacle in the river under the normal flow regime of the river and make 438 it onto spawning grounds (see also Kennedy et al. 2013). Waterfalls are natural features in some

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439 rivers and natural flow regimes are characterized by variability caused by annual differences in 440 precipitation, temperature, and snowpack (Poff et al. 1997) and it is known that waterfalls 441 naturally cause delays of salmon (Jensen et al. 1986), particularly during early season flood 442 events. Although we were able to identify significant predictors of waterfall ascent, models are 443 limited in the amount of variance that they can explain because many different factors (e.g. 444 experience, motivation, social impacts by conspecifics, Dodson 1988) can affect migration rate 445 and are difficult to quantify. Nonetheless, our findings are consistent with other assessments of 446 migrating Atlantic salmon and provide an important update to the general model of the spawning 447 migration of Atlantic salmon. Given that salmon delay at waterfalls and may become aggregated, 448 vulnerability to angling may increase and local closures may be considered to protect salmon as 449 they prepare to ascend these challenging areas of rivers. In rivers such as Numedalslågen where 450 the discharge can be manipulated by hydropower generating stations, research is needed to 451 understand how modifications to the flow regime in the river can affect the timing of migration 452 and spawning success of salmon in the river in ways that differ from the natural flow regime.

453

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455

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# 618 Tables

619

- 620 Table 1. Linear model of the spawning position of Atlantic salmon in the Numedalslågen River, Norway. Parameter estimates are
- 621 given as  $\pm$  the 95% confidence interval. For tag type, which is a factor, the reference level is in brackets and is relative to external
- 622 attachment. Significant values are highlighted in bold.

# 623

Parameter	Estimate ± 95% CI	DF	t-value	P-value	
(Intercept)	$-41336\pm47829$	35	-1.69	0.10	
Tag Type					
(Implant)	14314 ± 13299	35	2.11	0.04	
Body size	$900\pm576$	35	3.06	<0.01	

624

Table 2. Summary of the passage of the Holmfoss waterfall in Numedalslågen for individual fish. Passage times were determined by stationary logging stations situated at the waterfall. Range in flow prior to passage covers the period when the individual was recorded in the pool below the waterfall without passing. Resulting from technical failure, the exact timing of passage and therefore the flow at passage is missing for some fish. For fish that passed quickly, the range and spread in flow were not possible to calculate and are therefore NA.

630

	Flow $(m^3 s^{-1})$	Flow trend	Days recorded below		Range in flow (m <sup>3</sup> s <sup>-</sup>
Year	at passage	upon passage	waterfall prior to passage	Average flow (m <sup>3</sup> s <sup>-1</sup> ) prior to passage	<sup>1</sup> ) prior to passage
	NA	NA	24	$108 \pm 27$	82-160
	NA	NA	29	$119 \pm 27$	78-170
2003	57	stable	53	79 ± 31	39-170
	87	stable	8	$108 \pm 26$	78-170
	61	declining	62	$96 \pm 25$	68-148
	119	rising	48	$86 \pm 17$	69-139
	74	rising	23	77 ± 34	39-152
	78	declining	74	$64 \pm 25$	39-152

	60	1 1	0	100 01	75.150
	68	declining	9	$108 \pm 31$	75-152
	119	rising	1	$79\pm0$	NA
	68	declining	5	$104 \pm 31$	75-148
	71	rising	22	$95 \pm 29$	69-170
	69	stable	5	$79\pm9$	70-88
	79	declining	5	$89 \pm 2$	87-91
	152	rising	11	$81 \pm 16$	69-119
	94	declining	NA	NA	NA
	69	stable	8	$83\pm9$	70-91
	70	declining	21	$96 \pm 21$	78-152
	101	declining	22	$142 \pm 61$	80-283
	82	declining	8	$102 \pm 18$	80-126
2007	461	declining	24	$236\pm156$	82-733
2007	152	declining	28	$219\pm51$	164-357
	122	declining	20	$112 \pm 25$	85-184
	162	rising	13	$114 \pm 27$	85-175

60	rising	17	70 + 25	51-184
09	nsing	1/	$79 \pm 35$	51-184
72	declining	6	$75 \pm 1$	73-76
95	declining	0	NA	NA
162	rising	2	$154 \pm 30$	133-175
61	stable	24	$73\pm 8$	61-89
69	rising	13	$68 \pm 14$	51-89
133	declining	10	$112 \pm 28$	85-175
68	rising	14	$68\pm 6$	61-79
68	rising	13	$67\pm 6$	61-79
98	stable	78	$94 \pm 47$	51-282
235	rising	27	$72 \pm 11$	61-121

 Table 3. Summary of the passage of the Hoggtveita waterfall in Numedalslågen for individual fish. Passage times were determined by stationary logging stations situated at the waterfall. Range in flow prior to passage covers the period when the individual was recorded in the pool below the waterfall without passing. Resulting from technical failure, the exact timing of passage and therefore the flow at passage is missing for some fish. For fish that passed quickly the range and spread in flow were not possible to calculate and are therefore NA.

	Flow $(m^3 s^{-1})$	Flow trend	Days recorded below		Average flow (m <sup>3</sup>	Range in flow (m <sup>3</sup> s <sup>-1</sup> )
Year	at passage	upon passage	prior to passage		s <sup>-1</sup> ) prior to passage	prior to passage
	107	declining	4	1	$130 \pm 16$	115-152
	70	stable	0	)	NA	NA
	109	declining	3	3	$122 \pm 45$	56-152
2003	56	stable	1	l	56	56
2005	55	declining	0	)	NA	NA
	79	rising	3	3	$79 \pm 4$	75-83
	75	stable	8	3	$113\pm30$	75-152
	79	declining	NA	1	NA	NA

140	declining	36	$312 \pm 191$	146-963
112	declining	38	$249 \pm 125$	116-733
112	declining	15	$213\pm76$	116-391
2007 68	rising	4	$80\pm11$	65-89
72	declining	3	$76 \pm 1$	75-76
91	declining	7	$188\pm60$	122-282
64	declining	1	72	72

Table 4. Linear model of delay at Holmfoss. Factors excluded from the model were sex, cultivation, and environmental variables (see Analyses in Methods). Parameter estimates are  $\pm$  the 95% confidence interval. For tag type, which is a factor, the reference level is in brackets and is relative to implanted. Significant values are highlighted in bold.

644

Parameter	Estimate ± 95% CI	t-value	P-value
(Intercept)	$-125.75 \pm 60.52$	-4.07	<0.01
Tag Type (External)	$-20.93 \pm 9.17$	-4.47	<0.01
Body size	$0.57\pm0.41$	2.68	0.02
Julian Date	$\boldsymbol{0.77 \pm 0.18}$	8.80	<0.01

645

Table 5. Generalized linear model of the daily probability of passage at Holmfoss in 2003 and 2007. Water discharge variables were
excluded from the model (see Analyses in Methods). Parameter estimates are ± the 95% confidence interval. Significant values are
highlighted in bold.

## 

Estimate ± 95% CI	z-value	P-value
51.21 ± 50.55	1.99	0.05
$-0.07 \pm 0.06$	-2.19	0.03
$-0.05 \pm 0.06$	-1.91	0.06
	$\textbf{-0.07} \pm \textbf{0.06}$	-0.07 ± 0.06 -2.19

Table 6. Generalized linear model of the daily probability of passage at Hoggtveita. Relative mean discharge is the water discharge on

- 654 the day of passage for a given fish relative to the daily mean of the discharge on days prior to passage that the fish was tracked below
- 655 the waterfall. Parameter estimates are  $\pm$  the 95% confidence interval. Significant values are highlighted in bold.

Parameter	Estimate $\pm$ SE	z-value	P-value
(Intercept)	$-88.56 \pm 33.77$	-5.14	<0.01
Water Discharge	$\textbf{-0.07} \pm \textbf{0.04}$	-3.29	<0.01
<b>Barometric Pressure</b>	$\boldsymbol{0.09 \pm 0.04}$	5.40	<0.01
Relative Mean Discharge	$\textbf{-0.04} \pm \textbf{0.02}$	-3.16	<0.01

Figures 

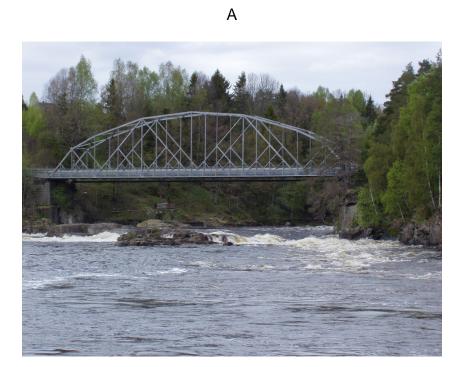


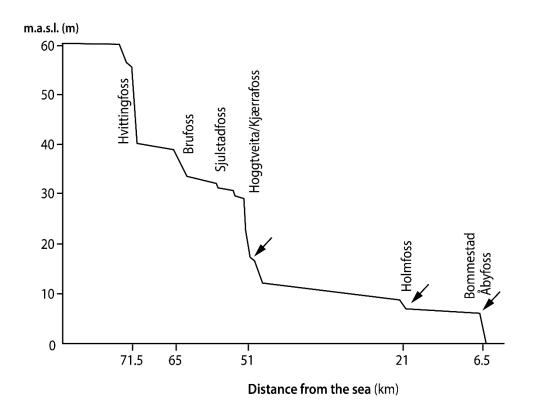




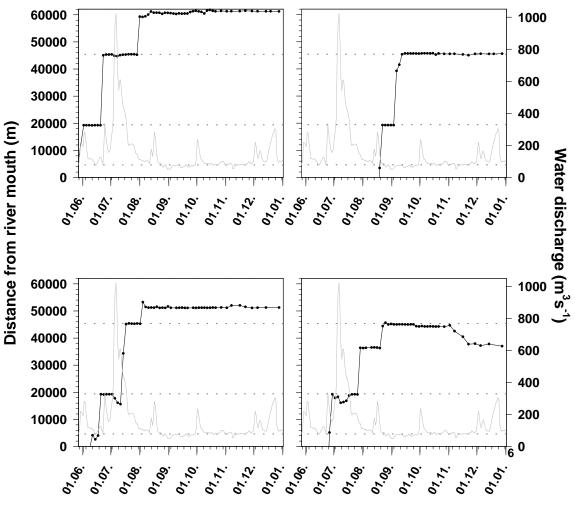




Figure 1.

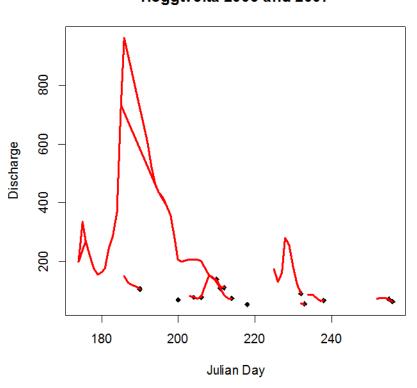


676 Figure 2.



Date

678 Figure 3.



Hoggtveita 2003 and 2007

679

Figure 4.

## 682 Figure Legends

683

Figure 1. Photographs of the Holmfoss (A) and Hoggtveita (B) waterfalls in Numedalslågen. Holmfoss measures 2 m high and

685 Hoggtveita measures 3 m high; both represent natural barriers to upriver migration by Atlantic salmon.

686

687 Figure 2. Elevation map of the Numedalslågen River relative to distance from the fjord. The first waterfall, Åbyfoss, is located near

688 Bommestad where the first stationary data logging station was located. Note that the longest delays for salmon were recorded at

689 Holmfoss, a relatively low-elevation waterfall en route to the spawning territories upriver. Hoggtveita is the highest-elevation

690 waterfall in the anadromous section of the system, which ends below Hvittingfoss. M.A.S.L. is the metres above sea level. Arrows

691 point to the locations of logging stations.

692

Figure 3. Example migration patterns of Atlantic salmon moving from the river mouth to spawning grounds. Migration shown as distance from river mouth for four individuals (black lines, where small black dots indicate the tracking). The horizontal dashed grey lines indicate Åbyfoss, Holmfoss and Hoggtveita, and clearly shows these as migration barriers in terms of delaying migration. Water discharge is presented on the alternate y-axis and is indicated by the grey line. Dates on the x-axes are given as dd.mm.

697

698 Figure 4. Water discharge at the Hoggtveita waterfall in Numedalslågen. Black points illustrate the dates and discharges at which

699 individual Atlantic salmon (Salmo salar) ascended the waterfall. Red lines indicate the discharge history for each fish: the water

- 700 discharge on days prior to passage. No red tail indicates that the fish passed on the same day as arriving (i.e. had no discharge history).
- 701 Fish tracked in 2003 and 2007 are combined in the figure. Regression modelling suggested that salmon ascended on days during
- 702 which the water discharge at the waterfall was lower than the average daily discharge encountered on previous days, which is
- 703 illustrated in this figure insofar as the black dot (date and discharge of passage) is generally the lowest point in the discharge history
- 704 (red line).