

1 **Monitoring climate sensitivity shifts in tree-rings of eastern boreal North America using model-**
2 **data comparison**

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26 Abstract

27 The growth of high-latitude temperature-limited boreal forest ecosystems is projected to become more
28 constrained by soil water availability with continued warming. The purpose of this study was to
29 document ongoing shifts in tree growth sensitivity to the evolving local climate in unmanaged black
30 spruce (*Picea mariana* (Miller) B.S.P.) forests of eastern boreal North America (49°N-52°N, 58°W-
31 82°W) using a comparative study of field and modeled data. We investigated growth relationships to
32 climate (gridded monthly data) from observed (50 site tree-ring width chronologies) and simulated
33 growth data (stand-level forest growth model) over 1908-2013. No clear strengthening of moisture
34 control over tree growth in recent decades was detected. Despite climate warming, photosynthesis
35 (main driver of the forest growth model) and xylem production (main driver of radial growth) have
36 remained temperature-limited. Analyses revealed, however, a weakening of the influence of growing
37 season temperature on growth during the mid- to late-20th century in the observed data, particularly in
38 high-latitude (> 51.5 °N) mountainous sites. This shift was absent from simulated data, which resulted
39 in clear model-data desynchronization. Thorough investigations revealed that desynchronization was
40 mostly linked to the quality of climate data, with precipitation data being of particular concern. The
41 scarce network of weather stations over eastern boreal North America (> 51.5 °N) affects the accuracy
42 of estimated local climate variability and critically limits our ability to detect climate change effects on
43 high-latitude ecosystems, especially when drought severity is projected to rise. Climate estimates from
44 remote sensing could help address some of these issues in the future.

45

46 **Introduction**

47 Tree growth rates are well correlated with spatial and temporal climate variability (Gifford and
48 Evans 1981; Rennenberg and others 2006; Wu and others 2012; Vlam and others 2014; Gričar and
49 others 2015). Since the beginning of the Industrial Revolution, increasing anthropogenic activities
50 have altered global climate and local weather dynamics, particularly during the last century (Mann and
51 others 1998; IPCC 2014), thereby affecting tree growth processes. Tree growth in many boreal regions
52 lost its positive response to rising temperatures during the late-20th century (D'Arrigo and others
53 2008), a phenomenon often paralleling increased sensitivity of tree growth to precipitation and
54 drought severity (Buermann and others 2014; Galván and others 2015; Latte and others 2015). Yet
55 causes for changing climate sensitivity in tree-rings vary and may also result from responses to other
56 phenomena that are associated with changing cloud cover, delayed snowmelt and increasing local
57 pollution (Vaganov and others 1999; D'Arrigo and others 2008). Furthermore, links between temporal
58 variations in tree responses to climate and climate change likely involve cross-scale interactions
59 between abiotic and biotic variables, e.g., tree age/size and site characteristic effects on tree growth
60 (Carrer and Urbinati 2004; Rossi and others 2008; Ibáñez and others 2014; Navarro-Cerrillo and
61 others 2014) and insect herbivory (Krause and others 2012; Fierravanti and others 2015). The
62 evaluation of climate change effects on tree growth dynamics remains challenging (Girardin and
63 others 2016b).

64 In the boreal forest of eastern North America, seasonal temperatures have increased by as much
65 as 3°C since the beginning of the 20th century (Hansen and others 2010; Jaume-Santero and others
66 2016), while seasonal precipitation has shown variable patterns (Wang and others 2014). Studies have
67 reported a decrease in tree growth sensitivity to growing season temperature in historically
68 'temperature-limited' high latitude and high altitude forests (Jacoby and D'Arrigo 1995; Briffa and
69 others 1998; Galván and others 2015). In parallel, growth declines have been reported over the late
70 20th century (Girardin and others 2016a), while the occurrence of years with extremely low growth in
71 the boreal forest of eastern North America has increased throughout the 20th century (Ols and others
72 2016). Both phenomena have been attributed to increased drought impacts on tree growth. During the
73 21st century, soil water availability, atmospheric water demand and heat stress in the boreal forest of

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74 eastern North America are projected to limit tree growth increasingly as a consequence of continuing
75 warming (Girardin and others 2016b; Novick and others 2016). The degree to which this forest will
76 adapt to warmer and drier conditions, e.g., by increasing its water use efficiency, is uncertain (Charney
77 and others 2016). Therefore, it is important that these ecosystems are continuously monitored to detect
78 early warning signs of changes in climatic controls on tree growth (Gauthier and others 2015).
79 However, such observation-based monitoring is complicated by the large spatial extent of the boreal
80 forest of eastern North America.

81 Forest growth models can facilitate the exploration of tree growth processes and their expected
82 relationships with the evolving local climate. Such models can be built upon sets of mathematical
83 equations accounting for non-linear relationships between specific environmental and physiological
84 variables that have been derived from empirical observations (Landsberg and Waring 1997; Misson
85 2004). Studying the coherency of climatic signals that are contained in empirical tree growth data and
86 simulated tree-growth data may help us understand whether variations in tree-growth responses to
87 climate emerge from changing climate alone or from changes in tree-growth sensitivity to climate.
88 Modeling may also help the study of tree growth and its sensitivity to climate in areas where ground
89 sampling is more difficult due to the remoteness of locations and the costs that are associated with this
90 type of sampling.

91 In this study, we explore the possibilities of detecting shifts in tree growth sensitivity to climate in
92 boreal black spruce forests of eastern North America by comparing observed and model-based
93 climate-growth relationships over the period 1908-2013. Through this case study, we have proposed
94 an experimental design that could be paired with ongoing national forest inventory programs (e.g.,
95 Girardin and others 2016a) to implement large-scale, systematic and long-term monitoring of tree
96 growth sensitivity to climatic variations. Here, observed data consisted of a newly acquired network of
97 50 annually resolved and absolutely dated black spruce tree-ring width chronologies covering
98 latitudinal and longitudinal gradients of eastern boreal North America (49°N-52°N, 58°W-82°W, Fig.
99 1). Regarding the model-based data, we used a stand-level forest growth model that was based on the
100 Physiological Principle Predicting Growth (3PG) model (Landsberg and Waring 1997) to simulate
101 yearly site-specific net primary production (NPP) for the period encompassing the observed data (i.e.,

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102 1908-2013). Two hypotheses were formulated on the basis of the widely accepted evidence that
103 temperatures have been rising in the study region:

104 (H1) Yearly variability in tree growth is under the control of climate. The validity of this
105 hypothesis implies a significant correlation between tree-ring width data and climatically driven
106 simulations of NPP;

107 (H2) The control of water on tree growth has increased over time along with the rise of
108 temperature, particularly in high-latitude and high-altitude forests. This implies an increased positive
109 sensitivity to precipitation, in both tree-ring width data and climatically driven simulations of NPP.

110

111 **Material and methods**

112 *Study area*

113 The study area consists of three latitudinal transects (western, central and eastern; Fig. 1a) that were
114 established in northern boreal Quebec (Ols and others 2016). The terrain in this area is characterized
115 by low plains in the west (200-350 m above sea level [a.s.l.]) and by mountains, where topographic
116 relief is particularly pronounced in the north, central and eastern regions (up to 1128 m a.s.l. in the
117 Otish Mountains). The two main climatic gradients in the study area are a decreasing temperature
118 gradient from south to north and an increasing summer (June to August) precipitation gradient from
119 west to east (Fig. 1b). The eastern region is regularly prone to spruce budworm (*Choristoneura*
120 *fumiferana* [Clemens]) outbreaks (Boulanger and Arseneault 2004).

121

122 *Tree-ring width measurements*

123 Tree growth data ($n = 890$ trees) were collected at 50 sites that were located along the three latitudinal
124 transects (Fig. 1a; Table S1) (Ols and others 2016). All sites were pure, unmanaged old-growth black
125 spruce (*Picea mariana* (Miller) B.S.P.) forests growing on xeric to meso-xeric soils (Direction des
126 inventaires forestiers 2015). Between 10 and 27 dominant trees (standing living or dead) were sampled
127 per site (one core per tree). Sampled cores were processed using standard procedures and the rings
128 were visually and statistically cross-dated. Tree-ring width measurements were detrended using a 60-
129 year spline to eliminate noise that was caused by site- and biologically related effects (e.g.,

130 competition, self-thinning and aging) (Cook and Peters 1997). Detrended ring-width measurements
131 were then processed using autoregressive modeling to remove autocorrelation (pre-whitening) and
132 averaged into site-specific residual tree-ring width (RWI) chronologies using a robust bi-weighted
133 mean.

134

135 *Climate data*

136 Climatological data that were used as inputs to the forest growth model and in the calculations of
137 climate-growth relationships were monthly means of maximum (T_{\max}) and minimum (T_{\min})
138 temperatures, and monthly total precipitation (Prec), which were all extracted from the $0.5^\circ \times 0.5^\circ$
139 CRU TS 3.22 database (Harris and others 2014). The climatic characteristics of each study site were
140 extracted over the 1901-2013 period, using a site-centered $0.5^\circ \times 0.5^\circ$ grid cell. We retrieved data from
141 twenty-one grid cells, with each grid cell containing between 1 and 7 study sites. Consequently, some
142 study sites exhibited identical climatic characteristics (Table S1). To test the influence of climate data
143 type on model simulations and climate-growth relationships, site-specific climate data (T_{\min} , T_{\max} and
144 Prec) were also extracted over the 1901-2013 period (using the same procedures as above) from three
145 alternative databases: (1) the Canadian software BioSIM (Régnière and others 2014); (2) the combined
146 $0.5^\circ \times 0.5^\circ$ CRU TS 3.22 temperature (Harris and others 2014) and GPCC precipitation (Full Data
147 Reanalysis Version 7, Schneider and others 2015); and (3) Twentieth Century Reanalysis (20CR,
148 Compo and others 2011) datasets. The 20CR data are derived from oceanic temperature and surface
149 pressure data, and do not incorporate precipitation and station temperature records (Compo and others
150 2011); 20CR may thus be viewed as being independent of all other climate products.

151 The boreal region of eastern Canada is not covered by a dense network of weather stations
152 (Fig. S1). In many instances, the existing stations have been running intermittently (Girardin and
153 others 2016b). To capture precipitation and temperature input data accuracy through space and time,
154 the number and location of meteorological stations that were used for climatic interpolations within
155 our study area were extracted. We also extracted the only long-running hydrological record that was
156 available for the study area, i.e., the 1960-1993 De Pontois river flow from HYDAT 28.0 (Water
157 Survey of Canada, <http://www.ec.gc.ca/rhc-wsc>) (Table S3), and used this record as a surrogate for

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158 drought conditions (Haslinger and others 2014).

159

160 *Forest attributes*

161 Biometric information necessary for the model simulation was obtained as follows. First, the above-
162 ground biomass in Mg per hectare (W_{abg}) was estimated at each study site using country-wide
163 species-specific allometric equations (Paré and others 2013) that were applied to site-specific basal
164 areas (Table S2). Second, site-specific topography data (slope and aspect values; Table S2) were
165 extracted from Canada 3D, a digital elevation model (DEM) that was produced by the Canadian
166 Forestry Service (Natural Resources Canada 2002) using ArcGIS® (ESRI 2011). Last, historical
167 patterns of defoliation severity that was incurred by the spruce budworm (1967–2016), and which
168 were compiled from Quebec’s annual provincial surveys (Ministère des Forêts, de la Faune et des
169 Parcs du Québec [MFFPQ] 2014), were extracted for each of our sites.

170

171 *Net primary productivity data*

172 Net primary production (NPP) at our 50 sites was simulated using the StandLEAP model (version 0.1
173 SVN, Girardin and others 2016b). StandLEAP is a generalized plot-level model that is based upon the
174 3PG model (Landsberg and Waring 1997), which is applicable to relatively homogeneous forests. It
175 was developed for the estimation of forest productivity over large areas (e.g., Girardin and others
176 2016b) but with a spatial resolution that was sufficiently fine for use in forest management (e.g.,
177 Raulier and others 2000; Coulombe and others 2009; Anyomi and others 2014). StandLEAP can be
178 parameterized for individual species and its application to any stand does not require fine-tuning of the
179 model to fit the data. The model has been tested against numerous independent tree-ring datasets in
180 western, central and eastern Canada (Girardin and others 2008, 2011b, 2011a, 2012, 2014, 2016b).
181 StandLEAP runs on a monthly time-step. In StandLEAP, parameters are set up to fully characterize
182 the effects of many interacting and non-linear modifiers of carbon flux quantities (e.g., growth and
183 respiration). Absorbed photosynthetically active radiation (APAR) is related to growth primary
184 production (GPP) using a radiation use efficiency (RUE) coefficient that differs among locations and
185 through time as a function of environmental constraints. Constraints take the form of species-specific

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186 parameters (f_1, \dots, f_n) that take a value of 1 under average conditions; they are closer to zero to
187 represent increasing limitations, or above 1 as conditions improve towards optimal. Constraints
188 represent the effects of soil drought (Bernier and others 2002), frost (Aber and others 1996) (both
189 limited to a maximum of 1.0), mean maximum and minimum air temperature, vapor pressure deficit
190 (VPD), radiation, and leaf area index (where values greater than 1.0 are possible) on GPP. The
191 following equation summarizes these functions:

$$192 \quad GPP = APAR \times (\overline{RUE} \times f_1 f_2 \dots f_n), \quad (1)$$

193 where \overline{RUE} represents a species-specific mean value of RUE that is applicable to the entire species'
194 range. Monthly canopy light absorption and photosynthesis parameters were derived from metadata
195 that were generated using a more detailed multi-layer, hourly time-step model of canopy
196 photosynthesis and transpiration that is called FineLEAP (Raulier and others 2000; Hall and others
197 2006). Representation of photosynthesis in FineLEAP is based upon the equations of Farquhar and
198 others (1980). Additional details of the procedure and origin of the basic field measurements and
199 procedure for estimation of parameters for radiation interception, radiation- and water-use-efficiency
200 can be found in Hall and others (2006). NPP is computed monthly, after partitioning respiration into
201 maintenance (R_m) and growth (R_g : a fixed proportion of the difference between GPP and R_m)
202 quantities and subtracting these from GPP. Maintenance respiration is computed as a function of
203 temperature using a Q_{10} relationship (Ågren and Axelsson 1980; Ryan 1991; Lavigne and Ryan 1997).
204 Acclimation of respiration to temperature is modelled using the equation of Smith and others (2016).
205 As is the case in 3-PG, part of NPP is first allocated to fine roots (Eq. (13) in Landsberg and Waring
206 1997) on a yearly basis and then to replacement of carbon biomass that is lost to leaf and fine woody
207 litter turnover. The remaining NPP is then allocated to increments in stand carbon compartments of
208 foliage, branches, coarse roots and stems. The modifier for soil water availability is based on modeled
209 water balance, which is coupled to transpiration and NPP, as described by Bernier and others (2002).
210 The impact of CO_2 fertilization is included through a modifier of the potential water use efficiency
211 (WUE), as described by Girardin and others (2016b). The active soil depth was set to 600mm at all
212 sites (Table S2). An active soil depth between 300 and 900mm has generally been accepted as a

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213 desirable range for black spruce (Viereck and Johnston 1990; Girardin and others 2016b). Three sites
214 (viz., 39, 45 and 47; Table S2) had their above-ground biomass truncated to a maximum value of 110
215 Mg/ha because of estimated field values that reached higher than typical conditions for which the
216 model was calibrated. All carbon flux quantities used in this study were made insensitive to changing
217 forest age over time, by fixing constant forest attributes (e.g., biomass and stem densities) across all
218 simulation years. Carbon flux quantities solely express direct climate influences on plant growth,
219 avoiding the influence of post-fire stand dynamics on fluxes (e.g., Girardin and others 2011a; Pan and
220 others 2011) and allowing a direct comparison with climate driven tree-ring width measurements that
221 were collected from old-growth forest stands. The model does not simulate soil processes other than
222 water balance, since it implicitly assumes constant soil nutrient properties and turnover. Furthermore,
223 computations assume the absence of insect outbreaks.

224

225 *Correlation between tree-ring and NPP metrics*

226 Carry-over effects from the previous growing season have been reported to affect tree growth
227 significantly the following season, and particularly in harsh environments (Babst and others 2014; Ols
228 and others 2016). For instance, lower carbohydrate reserves in the following growing season, can
229 notably decrease the capacity of trees to respond to favorable growth conditions. Accordingly,
230 monthly NPP values that were obtained from modelling were summed from July of the previous year
231 to June of the current year of growth to represent carbon quantities that were mobilized and allocated
232 to growth from one year to the next (as in Girardin and others 2016b). The correspondence between
233 annual RWI and NPP metrics were then explored through moving window correlations (one-tailed
234 test) at site level. Correlations were computed in R (R Core Team 2015) using 21-year-long windows
235 that were incremented in five-year steps from 1908 to 2013. The null hypothesis of no positive
236 correlation between RWI and NPP was rejected when $P < 0.05$. Temporal stability in correlations
237 between RWI and NPP metrics were also investigated at the regional level using the same moving-
238 correlation procedure as above. Regional RWI and NPP metrics were computed as a robust bi-
239 weighted mean of all site-specific metrics. The significance of each 21-year correlation averaged
240 across sites was evaluated using a competitive test, which combines the probabilities of dependent

241 tests using Fisher's method (Dai and others 2014). When applied to our specific case, it compares, for
242 each 21-year period the distribution of P values of all site-specific NPP and RWI correlations to the
243 distribution of randomly selected 100,000 vectors of P -values of similar length. Competitive tests
244 were computed in R using the *competitive.test* function that is available in the *CombinePValue*
245 package (Dai 2014). To test for coherence in year-to-year variability between the two metrics, moving
246 correlations between first-differenced RWI and NPP metrics (subtraction of the value at year_{t-1} from
247 the value at year_t) were also computed using the same methodology that was described above.

248

249 *Climate-growth relationships*

250 Coherency in the climatic signals that were contained in RWI and NPP metrics were investigated by
251 correlation analyses. First, correlations between tree-growth metrics and monthly climate data were
252 computed using 21-year-long windows incremented in five-year steps from 1908 to 2013 using the
253 *treeclim* package (Zang and Biondi 2015). Climate data included monthly maximum and minimum
254 temperatures and monthly total precipitation. Months spanned from May of the previous year to
255 August of the current year of growth. Site-specific moving correlations were then averaged across all
256 sites to characterize monthly climate-growth relationships at the scale of our study area. The following
257 hypotheses, which were based upon the earlier work by Girardin and others (2016b), were postulated
258 and tested using one-tailed tests: (1) growth is positively correlated with previous September through
259 current May (hereafter September-May) temperatures; (2) growth is negatively correlated with June-
260 August temperatures; and (3) growth is positively correlated with precipitation, regardless of the
261 month. Alternatively, we also tested the inverse versions of hypotheses 1 to 3: (4) growth is negatively
262 correlated with September-May temperatures; (5) positively correlated with June-August
263 temperatures; and (6) negatively correlated with precipitation. Hypotheses 1-6 were considered true
264 both for months of the previous year and current growing season. These procedures were run for both
265 RWI and NPP series. Note that stronger correlations observed with NPP can logically emerge from
266 computation alone, since NPP is itself computed from these climate data. The significance of each 21-
267 year correlation averaged across sites was evaluated using the competitive test that was described
268 earlier. We opted for six one-tailed hypotheses rather than three two-tailed hypotheses, because under

269 two-sided testing the analysis is particularly sensitive when both strong positive and negative effects
270 occur across sites (Whitlock 2005). Last, the distributions of site-specific correlations with monthly
271 climate variables of the two metrics were compared using Wilcoxon-Mann-Whitney (U-test) and
272 Kolmogorov-Smirnov tests. To test for coherence between local drought conditions and forest growth,
273 we also computed the correlation between tree growth metrics and the mean July-to-September De
274 Pontois river flow, using both raw and first-differenced metrics.

275

276 **Results**

277 *Climate sensitivities in tree growth metrics*

278 RWI was generally positively correlated with current year spring and summer temperatures (Fig. 2a).
279 However, these correlations decreased substantially and became non-significant during the mid- to
280 late-20th century (Fig. 2a). This decrease in correlation corresponded with the emergence of significant
281 negative correlations with previous summer and previous October temperatures (maxima and minima)
282 from the 1940s to 1990s, and with current spring precipitation during a brief period covering the 1960s
283 to 1980s (Fig. 2a). In addition, significant positive correlations between RWI and early winter
284 temperatures were observed during the late-20th century (Fig. 2a). The correlation between RWI
285 metrics and the mean July-to-September De Pontois river flow of the year prior to growth, and over
286 the period 1960-1993, was significantly positive (median correlation: $r = 0.31$), especially at high
287 latitudes (> 51.5 °N) (Fig. 3). In summary, annual growth variability in this boreal region of eastern
288 North America has shifted from being positively correlated with growing-season temperature early in
289 the 20th century, to being negatively correlated with summer temperature during mid-century, and then
290 back to being positively correlated with temperature during the late-20th century. There was no clear
291 evidence of a strengthening of tree growth sensitivity to precipitation throughout the 1908-2013
292 period, using CRU precipitation data (Fig. 2a).

293 Relationships between NPP and monthly climate variables were similar to those observed for
294 RWI metrics (Fig. 2b, c). NPP correlated positively with current year temperatures, but this
295 relationship was much weaker from 1958 to 1988 compared to all other periods (Fig. 2b). Between
296 1973 and 1998, there was an emergence of significant negative correlations with previous summer

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297 temperature (Fig. 2b). Unlike RWI, there was a period of sustained significant positive correlations
298 with July or August precipitation during the year contemporaneous to growth from 1933 to 1998 (Fig.
299 2b). Wilcoxon-Mann-Whitney tests indicated that the distribution of correlations between NPP and
300 monthly temperature (minimum and maximum) was generally more homogeneous than with RWI
301 (Fig. 2c). In contrast, the distributions of correlations with precipitation for both metrics were mostly
302 similar. This was also observed using Kolmogorov-Smirnov tests (Fig. S2). NPP metrics and the mean
303 July-to-September De Pontois river flow were not significantly correlated ($P > 0.05$) (data not shown).
304 However, these variables were significantly correlated after a first-difference transformation in 33 of
305 the 50 study sites, mostly north of 51.5°N and west of 74.0°W (median correlation: $r = 0.32$;
306 correlation pattern was similar to the RWI pattern exhibited in Fig. 3d, but with both variables taken
307 on their non-lagged calendar years; results not shown). In summary, NPP variability shifted from
308 being temperature-driven in the early-20th century (an indication of temperature limitation of the rate
309 of photosynthesis), to precipitation-driven during the mid- to late-20th century (i.e., the influence of
310 available moisture), and then again temperature-driven during the late-20th century.

311

312 *Synchronicity in tree growth metrics*

313 Correlations between site-specific RWI and NPP metrics at the regional level were often positive and
314 significant during the early-20th century, and throughout the late-20th to early-21st centuries (Fig. 4).
315 However, a clear desynchronization was observed in the middle of the century at almost all sites, when
316 correlations substantially decreased to become negative and occasionally significantly negative (Fig.
317 5, left-hand panels). Although its duration and timing differed across sites, this desynchronization was
318 most prominent in mountainous north easternmost sites (Fig. 6d), i.e., in areas ongoing the most rapid
319 warming (Fig. 1a). First-differencing of the RWI and NPP data enhanced correlations during the late-
320 20th century but decreased correlations during the early-20th century across the whole area (Fig. 5,
321 right-hand panels). It is noteworthy that the same mid- to late-20th century desynchronization between
322 observed and simulated tree-growth metrics was obtained using alternative climate datasets, albeit
323 with variations in the onset and duration of this desynchronization depending upon the data products
324 (Fig. S3). For instance, correlations between NPP and RWI were improved during the 1933-1963

325 period when fed by simulations of NPP that were driven by the 20CR data, although they did not fully
326 compensate for model-data desynchronization (Fig. S3d).

327

328 **Discussion**

329 The purpose of this study was to document shifts in tree growth sensitivity to climate in temperature-
330 limited boreal forest ecosystems of eastern boreal North America over 1908-2013 using a comparative
331 study of field and modeled data.

332 Despite climate warming in the study area (Figs. 1a and S4), there was no clear evidence for a
333 strengthening of radial tree growth (RWI) sensitivity to precipitation during recent decades (Fig. 2).

334 The post-1980 significant positive correlations between (1) growing-season temperature and radial
335 growth (Fig. 2c), and between (2) radial growth and modeled productivity (NPP) across the entire
336 study region (Fig. 4) indicate that both photosynthesis (the main driver of the model) and xylem
337 formation (the main driver of radial growth) have until recently remained temperature-limited. The
338 response of tree radial growth in our study area is therefore different from the response that has been
339 frequently reported in the literature for the boreal forest. A likely reason for this lack of increased
340 sensitivity in tree growth to precipitation may be that despite rising temperature (Fig. 1a), atmospheric
341 water demand may have decreased over the course of the 20th century in our study area (Fig. S5; also
342 see Fig. S9 in Girardin and others 2016b). A decrease in water demand, coupled with a potential
343 increase in water use efficiency under elevated atmospheric CO₂ concentrations, may have contributed
344 to the stabilization of tree dependence upon incoming precipitation that is necessary for soil moisture
345 recharge.

346 Our results revealed that while modeled productivity remained somewhat spring temperature-
347 sensitive over the entire study period, the positive influence of growing season temperature on radial
348 growth briefly disappeared during the mid-20th century. This phenomenon occurred in parallel with an
349 increased sensitivity to moisture, as indicated by the negative correlation between radial growth and
350 summer temperature, by the positive correlation between radial growth and the mean July-to-
351 September De Pontois river flow, and by the positive correlation between modeled productivity and
352 July precipitation. During this same period, the overall region-wide significant synchrony between

353 modeled productivity and radial growth also dropped to become insignificant, particularly at eastern
354 high-latitude mountainous sites, i.e., those undergoing the strongest warming (Fig. 1a). This sudden
355 model-data desynchronization is noteworthy and deserves attention, as it may impair our capacity to
356 monitor shifts in tree growth sensitivity to climate in these forests. We subsequently discuss three
357 factors that may be involved in this desynchronization: accuracy of input climate data; the advent of
358 external factors in the ecosystem; and model uncertainties.

359 Climate data uncertainties have a large influence on model-based estimations of historical and
360 ongoing ecosystem processes. The choice of climate dataset, with those of precipitation being of
361 particular concern, affects the capacity to identify drivers of variability in empirical data products and
362 model results (e.g., Daly and others 1994; Ito and others 2017; Wu and others 2017). For example, the
363 probability of a false negative result (e.g., a significant RWI-precipitation correlation that was not
364 detected when a true relationship exists) could theoretically be higher at sites where climate data
365 quality is lowest (Wilson and others 2007). In the current study, the quality of climate data is likely to
366 be a critical factor explaining the drop in model-data correspondence during the mid- to late-20th
367 century. First, the desynchronization in model-data correspondence was most prominent at sites
368 located above 51.5 °N (Fig. 5c), i.e., where station density is low (Figs. 5 and S1). Therefore; there is
369 an apparent relationship between station density and climate signal degradation. Additionally, weak
370 model-data correspondence was clearly linked to altitudinal differences between reference stations
371 (mainly located along the coast) and mountainous sampled sites, with a higher capacity to detect a
372 positive correlation between radial growth and modeled productivity at low altitude sampling
373 locations (Fig. 6d). This bias finds explanation in the fact that CRU temperature interpolations over
374 eastern North America do not depict altitudinal climate gradients (Fig. S6). Our capacity to model
375 forest growth in mountainous regions is thus very likely hindered by inaccuracies of temperature and
376 precipitation estimates at these high-altitude sites. The variations in the onset and duration of the
377 desynchronization between radial growth and modeled productivity across climate data products
378 illustrate the problem of climate data uncertainties, which are particularly present in precipitation
379 estimates (Figs. S1c and S7). Cross-correlations between CRU and the 20th Century Reanalysis
380 (20CR) precipitation gridded data show strong inconsistencies during the period 1940-1980 (Fig. S7).

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381 This pinpoints a significant error in data estimation in one or the other climatic product, or both. It is
382 noteworthy that the onset of desynchronization between radial growth and modeled productivity
383 coincides with a period of high weather station density in the region (Fig. 6a). Yet, many of these
384 stations have recorded observations intermittently, which can be observed from the fluctuations in the
385 annual number of stations contributing to the climate interpolation algorithm (Fig. S1b&c).

386 Outbreaks of eastern spruce budworm, which are recurrent in the boreal forest of eastern
387 North America but currently not accounted for during simulations, could have contaminated field data
388 by disrupting radial growth responses to local climate, thereby causing the model-data
389 desynchronization (Girardin and others 2016b). We did not observe any obvious abrupt growth
390 decline or release, which is typical of severe outbreak defoliation on these site chronologies (Figs. 4
391 and S7). Also, most of the sites showing desynchronization were located in an area that did not show
392 historical evidence of spruce budworm outbreaks during the periods of 1947-1958, 1975-1992 and
393 2007-2016 (Fig. 6b). Furthermore, the sampled stands were free of the main budworm host-species
394 *Abies balsamea* (L.) Miller. Although the influence of such disturbance on growth cannot be ruled out
395 entirely, its role in the observed model-data desynchronization can be only minor. It may, however,
396 become an important concern if this experimental design is to be applied in regions where this
397 disturbance is recurrent (Girardin and others 2016b).

398 Our experimental design assumes that radial growth and modeled productivity are directly comparable
399 analogs. However, this disregards documented evidences that shifts in radial growth sensitivity, to
400 temperature for instance, emerge from changes in allocation of assimilates within a tree (Lapenis and
401 others 2013). Assimilate allocation is strongly sensitive to stand density (e.g., denser stands favoring
402 allocation to terminal buds to increase access to light) and climate (e.g., drier climate favoring
403 allocation to roots to increase access to water). The period of desynchronization was characterized by
404 a decrease in spring precipitation and an increase in spring temperature (Fig. S4). Such climatic
405 conditions may have temporarily favored the allocation of assimilates to the root system against radial
406 growth, disrupting radial growth responses to climate. In a sensitivity analysis where the productivity
407 fraction allocated to the stem was substituted for total annual productivity (as in Girardin and others
408 2008), we noted an improved model-data correspondence during the first half (1940-1960) of the

409 desynchronization period (Fig. S9). Yet the correspondence deteriorated substantially during the
410 second half (1960-1980) (Fig. S9). Moreover, many of the sites showing model-data
411 desynchronization were located in open-canopy stands adjacent to the limit between spruce-moss and
412 spruce-lichen domains, which were located north of 51.5°N (Robitaille and Saucier 1998). Thus, there
413 are no reasons to believe that height growth would have been favored over diameter growth during the
414 mid- to late-20th century. Model-data desynchronization during the mid- to late-20th century, therefore,
415 does not appear to be linked to shifts in field growth allocation patterns.

416 Finally, the formulation of the model used herein may be missing important dynamic
417 processes that are associated with snow accumulation and thawing, initiation of leaf-out and growth
418 processes. The sudden negative sensitivity to spring precipitation in radial growth over the mid- to
419 late-20th century, which was not observed with modeled productivity, may be indicative of a stronger
420 negative impact of spring precipitation on tree growth (Huang and others 2010; Girard and others
421 2011; Ols and others 2016). Sites exhibiting a sudden negative sensitivity to spring precipitation were
422 the ones undergoing the strongest warming (Fig. 1a) and were mainly located in high-altitude
423 mountainous areas (Fig. 6d). Snow dynamics (snowfall and snowmelt) influence tree growth and
424 climate-growth relationships at boreal latitudes (Frechette and others 2011; Verbyla 2015), particularly
425 along altitudinal gradients (Trujillo and others 2012). Indeed, a thick spring snow cover may delay the
426 start of the growing season through delayed snow melt (Vaganov and others 1999). The current
427 formulation of the water balance within the model does not include a dynamic snow model, as is the
428 case in Terrier and others (2013). This may mask the onset and duration of the drought season, and
429 ultimately, affect the capacity to uncover drivers that are associated with the water balance at high-
430 altitude sites.

431

432 **Conclusion**

433 Climate change and its impact on high-latitude boreal ecosystems are now recognized. There is no
434 doubt that, in the near future, intensive efforts will be required to monitor these impacts to pave the
435 way for adaptation and mitigation solutions (Gauthier and others 2015). This will require tools to link
436 ecosystem dynamics adequately to atmospheric properties. In this study, we showed that forest growth

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437 models can reasonably track processes leading to forest growth variability in the northernmost boreal
438 forest of eastern North America through space and time when growth remains temperature-limited
439 (Girardin and others 2008, 2016b). It is conceivable that the analysis proposed here be deployed over
440 larger areas for monitoring, in particular through integration with national forest inventories that
441 specifically aim at providing large-scale systematic, timely and coherent information on the extent,
442 composition and characteristics of forests and their evolution over time (e.g., Girardin and others
443 2016a). Our work nevertheless illustrated some of the challenges that hinder the capacity to monitor
444 high-latitude boreal ecosystems at fine-scale across a diversity of landscapes. Among various issues,
445 uncertainties in climate data are of particular concern. Many of the temperature-limited regions of
446 boreal Canada are covered by a scarce network of weather stations, which affects the accuracy of local
447 climate variability estimates and that makes it difficult to relate climate to ecosystem dynamics.
448 Availability of climate data, therefore, may critically limit our ability to monitor climate change
449 impacts on high-latitude forest ecosystems while drought severity is projected to rise. Through remote
450 sensing, recent estimates of climate data, notably those of precipitation and snow cover could help
451 address some of these issues in the future.

452

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- 671
- 672

673 Figure legends

674

675 **Figure 1.** (a) Location of the black spruce forests under study, eastern Canada. The sampling sites ($n =$
676 50) are shown accordingly with the positioning of transects along the west to east gradient (*colored*
677 *symbols*). Slopes of linear trends ($^{\circ}\text{C}$ per year) in summer (June to August) mean daily maximum
678 temperatures from 1901 to 2013 are shown in background colors. (b) Distribution of sampling sites
679 within gradients of mean annual temperature (MAT, $^{\circ}\text{C}$) and mean annual precipitation total (MAP,
680 mm). The CRU TS 3.22 database (Harris and others, 2014) was used for generating this climate
681 information.

682

683 **Figure 2.** Average correlations between monthly climate data and (a) RWI and (b) NPP metrics across
684 all sites over 1908-2013. Analyses were conducted using 21-year-long moving windows incremented
685 in 5-year steps. (c) Comparison of the distributions of correlations obtained in (a) and (b), for each
686 month and period combinations, using a Wilcoxon-Mann-Whitney test. Monthly climate variables
687 included minimum (T_{\min}) and maximum (T_{\max}) temperatures, and total precipitation (Prec) extracted at
688 site level from the $0.5^{\circ} \times 0.5^{\circ}$ CRU database (Harris and others 2014). Months spanned from May the
689 year previous to growth to August of the current year. Current year months start with a capital letter.
690 The significance of each averaged correlation across sites was evaluated under two sets of climate-
691 growth hypotheses using one-sided competitive tests (see 2.7 Climate-growth relationships). Open
692 circles and black dots on panels (a) and (b) identify significant ($P < 0.05$) correlations under
693 hypotheses 1-3 and 4-6, respectively. Black dots on panel (c) stand for no significant ($P > 0.05$)
694 differences in the distribution of correlations.

695

696 **Figure 3.** (a) Mean of site RWI chronologies above 51.5°N . (b) Mean July-to-September river flow
697 measured at the De Pontois river station (53°N - 74°W , Table S3) over 1960-1993. (c) Biplot of the
698 mean July-to-September De Pontois river flow of the year previous to growth and RWI of the year
699 contemporaneous to growth over 1961-1994. A linear regression with 95 % confidence interval is
700 shown: $R^2 = 0.24$. (d) Site-specific correlation between the mean July-to-September De Pontois river

701 flow and RWI. Blue and red circles represent negative and positive correlations, respectively; the
702 larger the circle, the higher the correlation value. Black contours indicate significant correlations ($P <$
703 0.05).

704

705 **Figure 4.** (a) Average tree-ring width indices (black line) and net primary production (red line)
706 chronologies across all sites ($n = 50$) over 1908-2013. (b) Moving correlations between both metrics
707 were computed using 21-year-long windows incremented in one-year steps. Correlations are plotted
708 on the central year of each interval. Significant correlations ($P < 0.05$) are indicated with black dots.

709

710 **Figure 5.** Pearson correlations (r) between site-specific RWI and NPP metrics during five different
711 21-yr periods: (a) 1913-1933, (b) 1933-1953, (c) 1953-1973, (d) 1973-1993, and (e) 1993-2013.

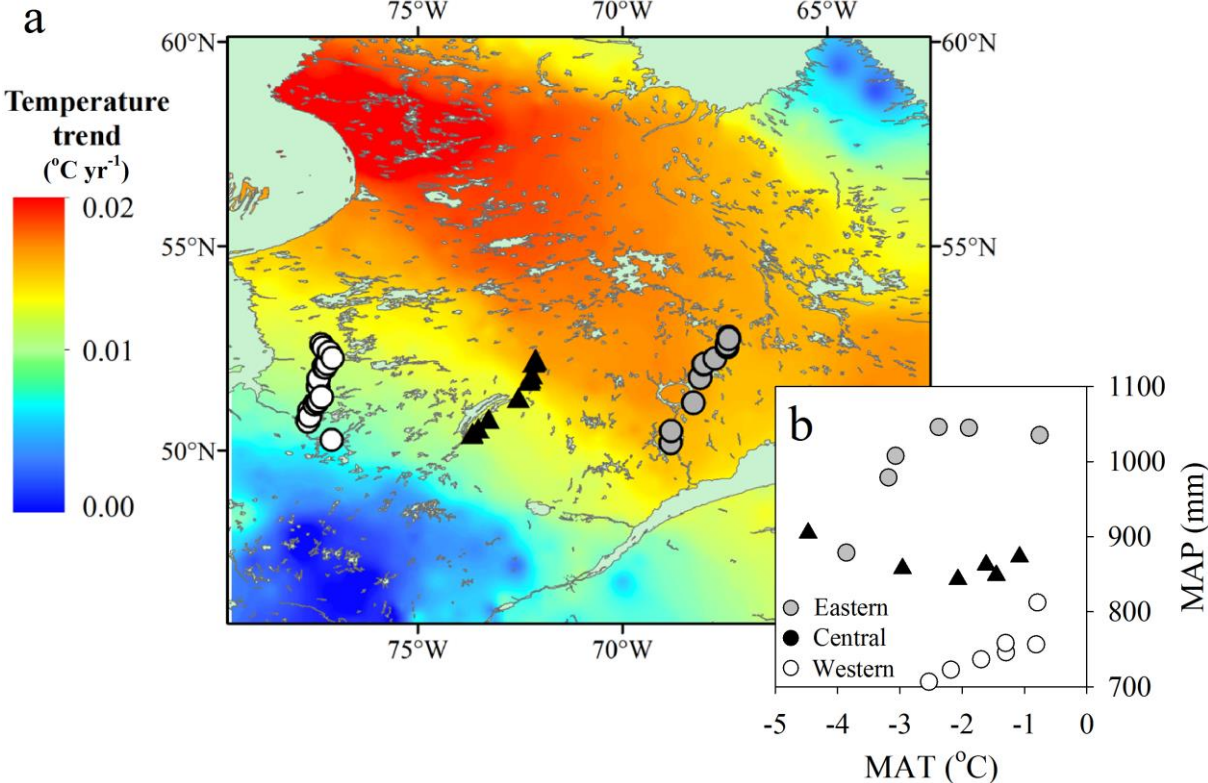
712 Correlations were computed using original (left-hand panels) and first-differenced (right-hand panels)
713 metrics. Blue and red circles represent negative and positive correlations, respectively. The larger the
714 circle, the higher the value of the correlation ($|r|$). Black contours delineating circles indicate
715 significant correlations ($P < 0.05$). Black crosses indicate the position of meteorological stations
716 available for that period.

717

718 **Figure 6.** Potential factors involved in the low RWI-NPP correlation from 1953 to 1993. (a) Changes
719 in the median distances of weather stations closest to the sampling site over the years (blue:
720 precipitation; red: temperature), with 95 % confidence intervals computed from exact bootstrap
721 resampling. Lower values denote a densification of the weather station network; higher values denote
722 a scarce weather station network. (b) Vertical bars: percentage of sites located within a defoliated
723 polygon of Quebec's provincial annual surveys covering 1967 to 2006 (source: MFFPQ 2014); the
724 inset map shows the projected defoliated areas from 1974 to 1978 (gray shading) relative to the
725 position of the sampling sites (black dots). Classes denote the percentage of needle loss on the annual
726 shoot: light (1 to 35%), moderate (36 to 70%), and severe (71 to 100%). (c) Site-specific elevation
727 (alt., above sea level) against the standard deviation (SD) of the altitudinal gradients between the four
728 nearest weather stations and each site, as estimated using the software BioSIM over 1953-1993

Shifts in tree growth sensitivity to climate

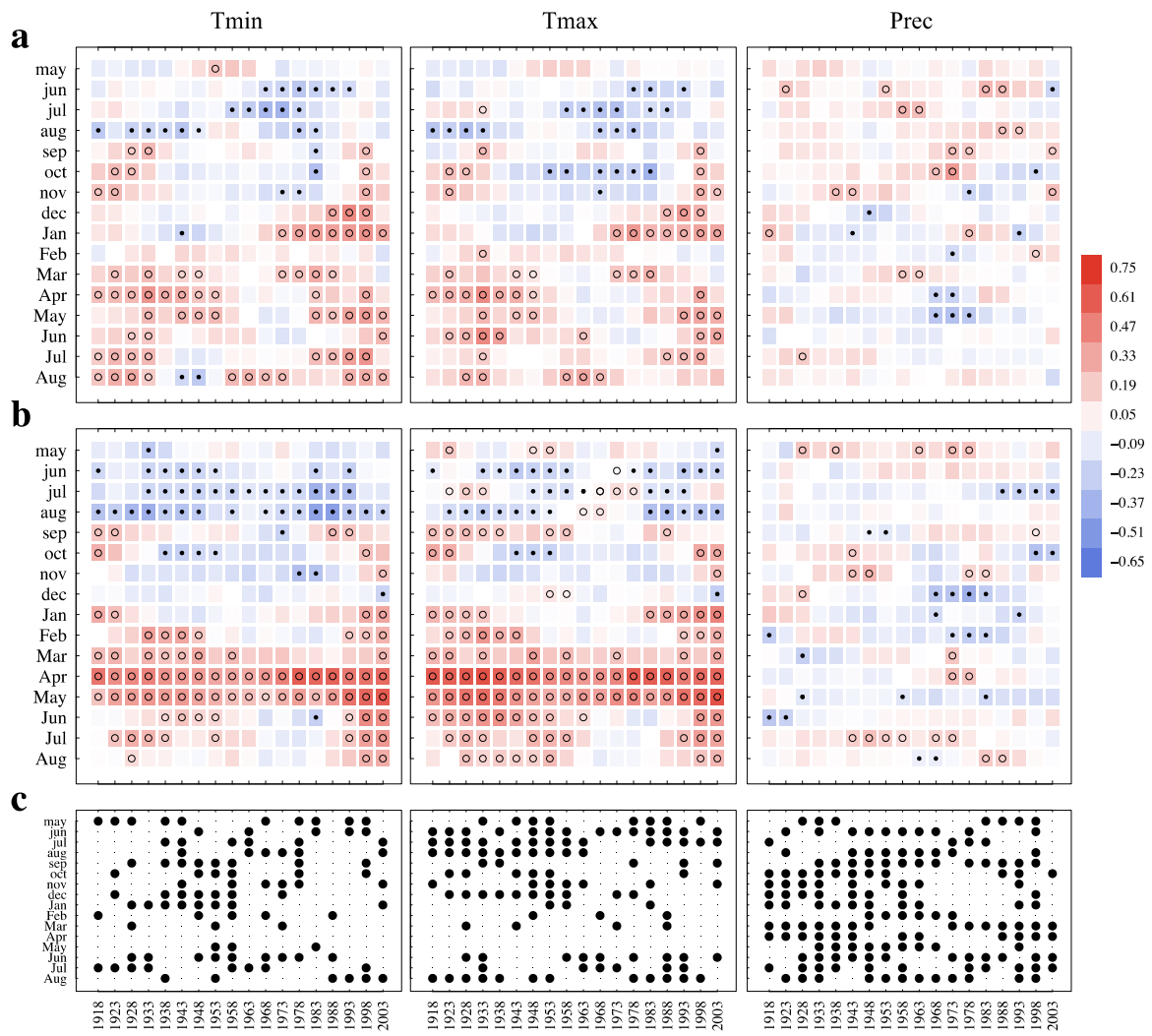
729 (Régnière and others 2014). If the four nearest stations did not all present climate records over the
730 entire period, additional stations were added until the full period was covered. Site-specific 1953-1993
731 RWI-NPP correlations are plotted using transect-specific symbols: circles for West, squares for
732 Central and triangles for East. Blue and red symbols represent negative and positive correlations,
733 respectively; the larger the symbol, the higher the correlation value. Black contours indicate
734 significant correlations ($P < 0.05$). (d) Altitudinal gradient (map) versus the distribution of the 1953-
735 1993 NPP-RWI correlations (Jarvis and others 2008). The larger the circle, the higher the value of the
736 correlation. Note that the altitudinal scale was truncated to 800 m to enhance contrasts between low-
737 and high-altitude sampling sites.
738



739

740 **Figure 1.**

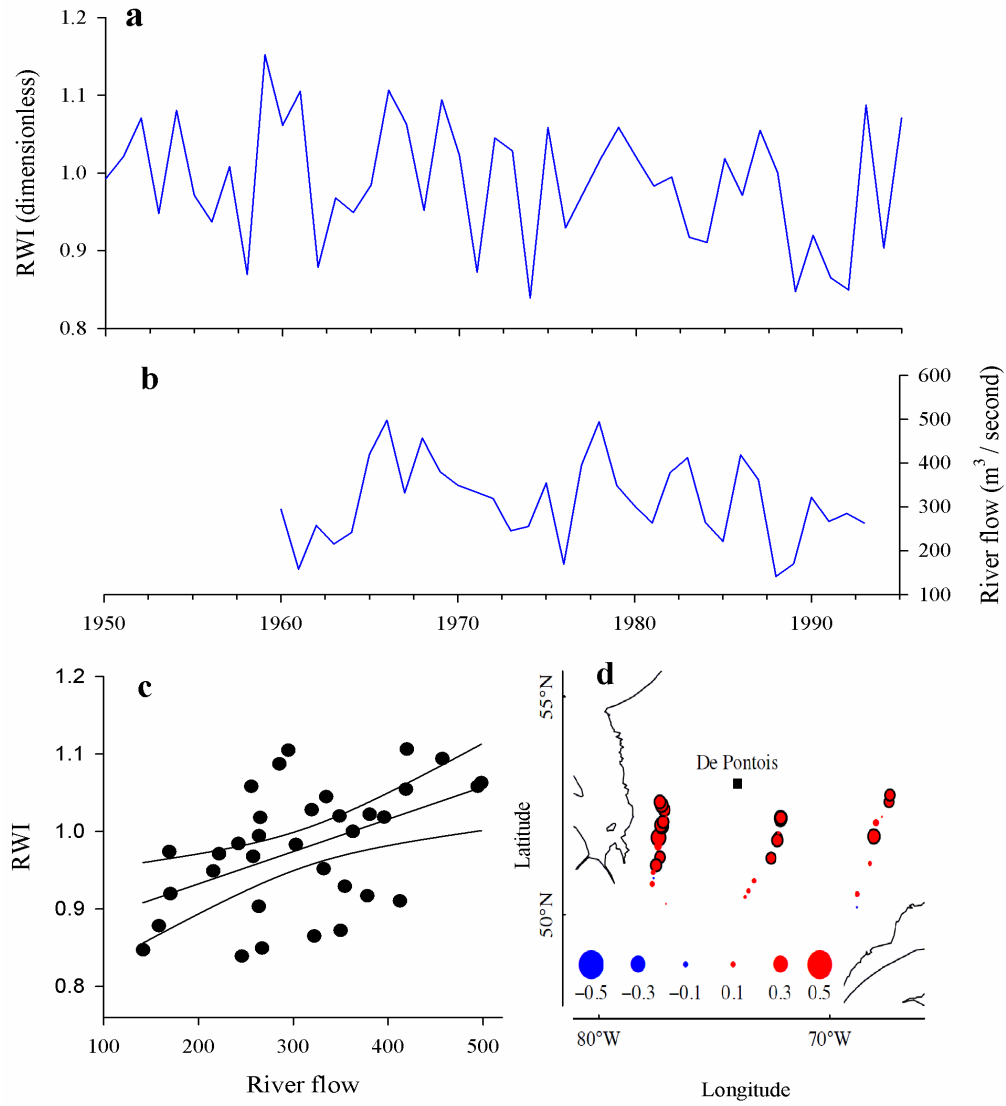
Shifts in tree growth sensitivity to climate



741

742 **Figure 2.**

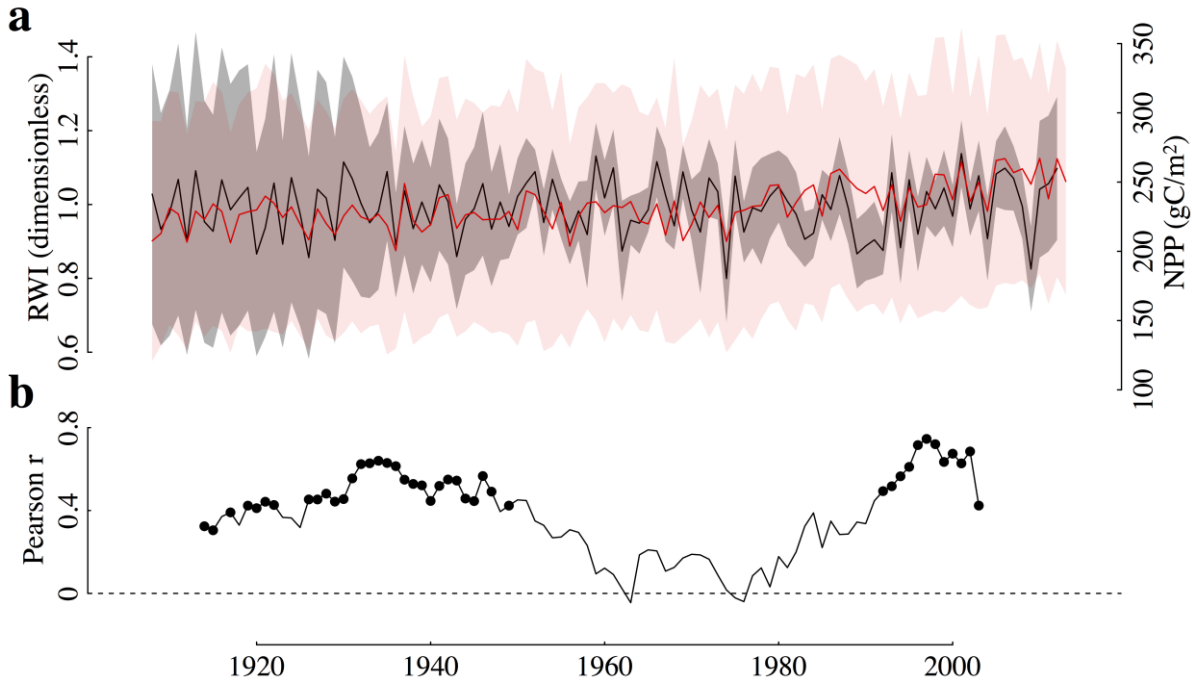
Shifts in tree growth sensitivity to climate



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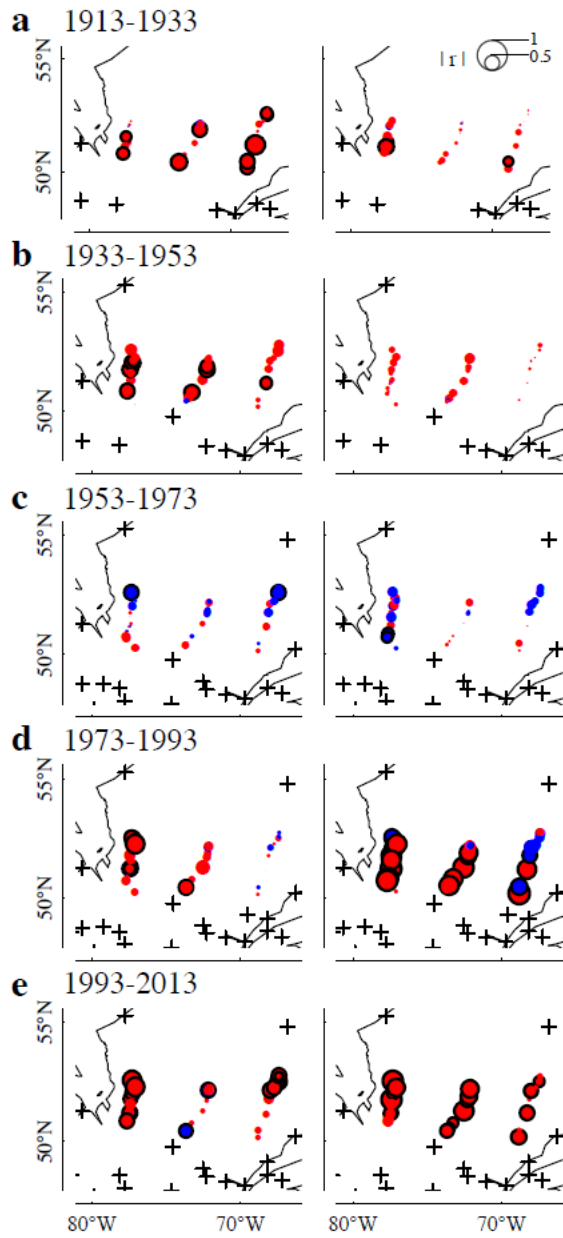
744 **Figure 3.**

Shifts in tree growth sensitivity to climate



745

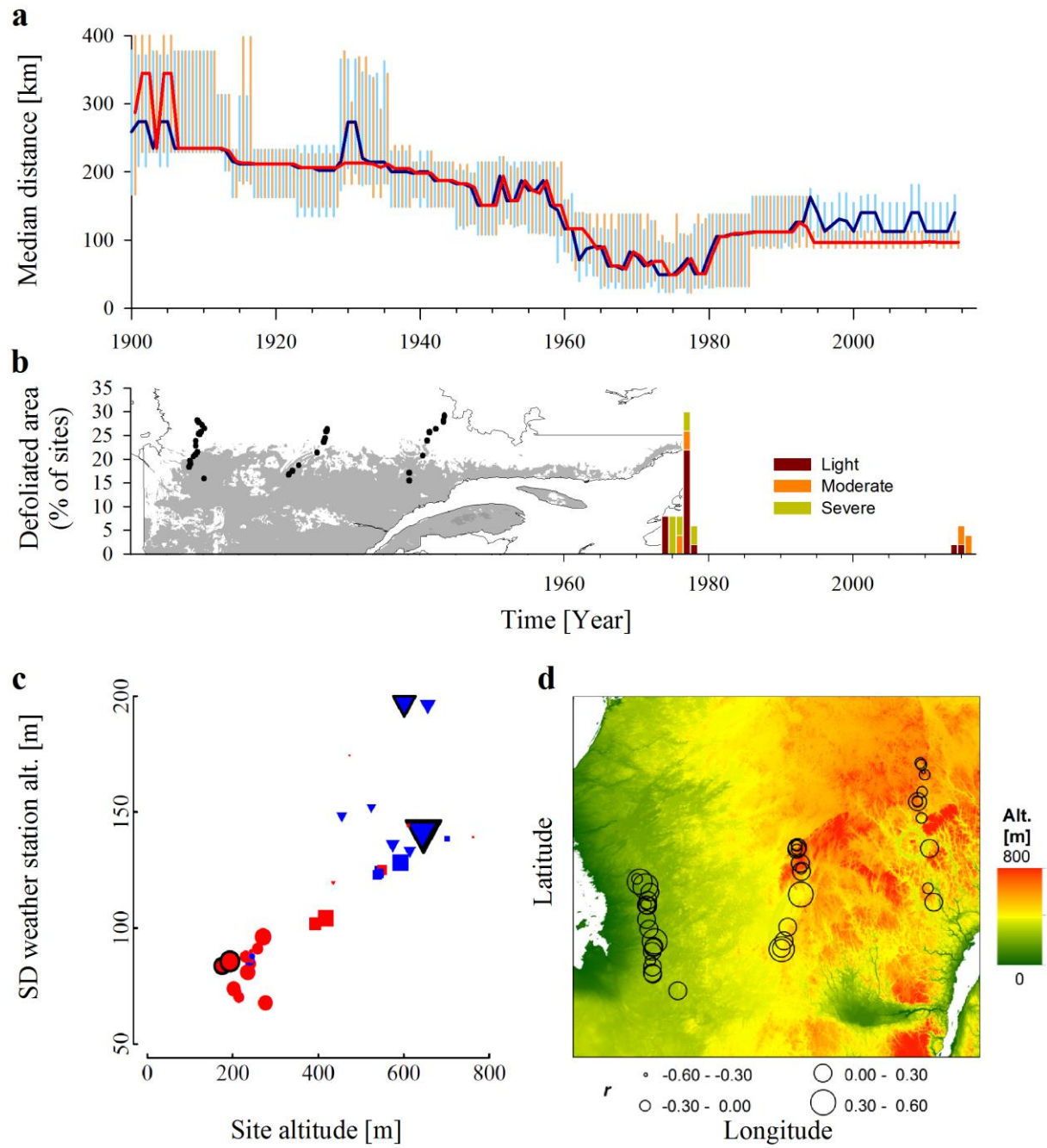
746 **Figure 4.**



747

748 **Figure 5.**

Shifts in tree growth sensitivity to climate



749

750 **Figure 6.**